

ODEY INVESTMENTS PLC

NON-US APPLICATION FORM

ODEY
ASSET MANAGEMENT

**ODEY INVESTMENTS PLC (THE “COMPANY”)
ODEY ODYSSEY FUND (THE “FUND”), ODEY SWAN FUND (THE “FUND”),
ODEY EUROPEAN FOCUS ABSOLUTE RETURN FUND (THE “FUND”),
ODEY ABSOLUTE RETURN (IRL) FUND (THE “FUND”),
ODEY SPECIAL SITUATIONS FUND (THE “FUND”).**

Please complete in CAPITAL LETTERS

1. APPLICATIONS

Your application to invest in the Fund should be made by sending this Application Form (the “Application Form”) via fax or email with the printed original to follow via post to:-

Dealing email: InvestorDealing@usbank.com
Dealing fax: + 353 1 523 8390
Postal address: Odey Investments plc
c/o U.S. Bank Global Fund Services (Ireland) Limited
24-26 City Quay
Dublin 2
Ireland
Attention: Shareholder Services

For investor queries please contact: Tel: + 353 1 523 8290
Email: odeyinvestors@usbank.com

Applicants (the “Applicants”) must read the Company’s Prospectus, relevant Supplement and the relevant Key Investor Information Document before completing this Application Form.

This Application Form is the property of the Company and may not be tampered with or amended without the consent of the Company. Any breach of the foregoing may render the Application Form void.

All applications must be made in writing using the printed Application Form. Please write name(s) using block capitals and fill in the address as indicated. Where there are joint applicants, all correspondence will be sent to the first named Applicant at that address. A completed Application Form must be received by the Administrator no later than 2pm (Irish time) on the Business Day immediately preceding the relevant Dealing Day.

U.S. Bank Global Fund Services (Ireland) Limited (the “Administrator”), acting on the Company’s behalf, must be sent a completed Application Form for each Share issue together with relevant Anti Money Laundering documents.

Where an application is made by fax, the original signed Application Form must be mailed to the Company, at the above address within ten business days.

2. COMPLETING THE FORM

The main Application Form must be completed in full. Please ensure that both the section headed “**APPLICANT SIGNATURE**” and the section headed “**DECLARATION OF RESIDENCE OUTSIDE OF IRELAND**” have been properly completed and signed before returning this form to the Administrator.

For all regulated Intermediaries investing on behalf of another person or entity please ensure that page **26** is fully completed.

Please ensure that the relevant self-certification form as per **Appendix 2** is properly completed, signed and returned to the Administrator.

3. QUERIES

All queries regarding the completion of the Application Form should be addressed to the Company c/o the Administrator and specifying the fund to which the query relates.

The Administrator can be contacted by phone at +353 1523 8290 or via email at odeyinvestors@usbank.com

4. PAYMENT

Payment by SWIFT or Telegraphic Transfer

Applicants may make payment by SWIFT (details of which should be available from your bank). The Applicant's bank must be instructed at the time of application to forward the appropriate remittance by the fastest available means to reach the bank listed below no later than 5pm (Irish time) on the fifth Business Day following the relevant Dealing Day.

Payment, net of charges, should be sent to:-

ODEY EUROPEAN FOCUS ABSOLUTE RETURN FUND			
Currency:	EUR	GBP	USD
Bank:	Citibank London	Citibank, London	Citibank, London
SWIFT:	CITIGB2L	CITIGB2L	CITIGB2L
Account name:	USBGFS Ireland Collection Account Odey EFAR Fund	USBGFS Ireland Collection Account Odey EFAR Fund	USBGFS Ireland Collection Account Odey EFAR Fund
Account number:	17575440	17575459	18363986
IBAN:	GB76CITI18500817575440	GB48CITI18500817575459	GB58CITI18500818363986
Intermediary Bank:	Citibank Europe PLC Dublin	N/A	Citibank, NY
Intermediary SWIFT:	CITIE2X	N/A	CITIUS33
Ref:	Investor name	Investor name	Investor name

ODEY SPECIAL SITUATIONS FUND				
Currency:	EUR	GBP	USD	CHF
Bank:	Citibank Dublin	Citibank Dublin	Citibank Dublin	Citibank Dublin
SWIFT:	CITIE2X	CITIE2X	CITIE2X	CITIE2X
Account name:	USBGFS COLLAC ODEY SPEC SITUATIONS	USBGFS COLLAC ODEY SPEC SITUATIONS	USBGFS COLLAC ODEY SPEC SITUATIONS	USBGFS COLLAC ODEY SPEC SITUATIONS
Account number:	31882869	31881846	31881854	33006039
IBAN:	IE40CITI99005131882869	IE16CITI99005131881846	IE91CITI99005131881854	IE45CITI99005133006039
Intermediary Bank:	N/A	N/A	Citibank, NY	N/A
Intermediary SWIFT:	N/A	N/A	CITIUS33	N/A
Ref:	Investor name	Investor name	Investor name	Investor name

ODEY SWAN FUND				
Currency:	EUR	GBP	USD	AUD
Bank:	Citibank London	Citibank, London	Citibank, London	Citibank, London
SWIFT:	CITIGB2L	CITIGB2L	CITIGB2L	CITIGB2L
Account name:	USBGFS Ireland Collection Account Odey Swan Fund	USBGFS Ireland Collection Account Odey Swan Fund	USBGFS Ireland Collection Account Odey Swan Fund	USBGFS Ireland Collection Account Odey Swan Fund
Account number:	17797869	17797885	17797893	17797877
IBAN:	GB54CITI18500817797869	GB10CITI18500817797885	GB85CITI18500817797893	GB32CITI18500817797877
Intermediary Bank:	Citibank Europe PLC Dublin	N/A	Citibank, NY	N/A
Intermediary SWIFT:	CITIE2X	N/A	CITIUS33	N/A
Ref:	Investor name	Investor name	Investor name	Investor name

Currency:	NOK
Bank:	Citibank, London
SWIFT:	CITIGB2L
Account name:	USBGFS Ireland Collection Account Odey Swan Fund
Account number:	17664133
IBAN:	GB04CITI18500817664133
Intermediary Bank:	N/A
Intermediary SWIFT:	DNBANOKK
Ref:	Investor name

ODEY ODYSSEY FUND			
Currency:	EUR	GBP	USD
Bank:	Citibank London	Citibank, London	Citibank, London
SWIFT:	CITIGB2L	CITIGB2L	CITIGB2L
Account name:	USBGFS Ireland Collection Account Odey Odyssey Fund	USBGFS Ireland Collection Account Odey Odyssey Fund	USBGFS Ireland Collection Account Odey Odyssey Fund
Account number:	17797796	17797818	17797826
IBAN:	GB85CITI18500817797796	GB73CITI18500817797818	GB51CITI18500817797826
Intermediary Bank:	Citibank Europe PLC Dublin	N/A	Citibank, NY
Intermediary SWIFT:	CITIE2X	N/A	CITIUS33
Ref:	Investor name	Investor name	Investor name

ODEY ABSOLUTE RETURN (IRL) FUND			
Currency:	EUR	GBP	USD
Bank:	Citibank London	Citibank, London	Citibank, London
SWIFT:	CITIGB2L	CITIGB2L	CITIGB2L
Account name:	USBGFS Ireland Collection Account Odey Absolute Return (IRL) Fund	USBGFS Ireland Collection Account Odey Absolute Return (IRL) Fund	USBGFS Ireland Collection Account Odey Absolute Return (IRL) Fund
Account number:	10354171	10354198	10354201
IBAN:	GB71CITI18500810354171	GB21CITI18500810354198	GB37CITI18500810354201
Intermediary Bank:	Citibank Europe PLC Dublin	N/A	Citibank, NY
Intermediary SWIFT:	CITIE2X	N/A	CITIUS33
Ref:	Investor name	Investor name	Investor name

5. GENERAL INFORMATION

If subscription monies have not been received by the Administrator, for further credit to Odey Investments Plc, within the time period specified above, the directors of the Company may cancel any share allotment made and charge the Applicant for any loss, cost, expense or fees suffered by the Company as a result of such cancellation.

The Company reserves the right to reject any application in whole or in part, in which event the application money or any balance will be returned at the risk of the Applicant.

If the amount paid does not correspond to a specific number of Shares, the Company will issue such number of Shares as is applicable, calculated to four decimal points, and will not return any remainder to the Applicant.

6. CONTRACT NOTES

It is anticipated that contract notes will normally be sent to Applicants as soon as possible, and no later than the first business day following execution.

7. REDEMPTIONS

Redemption requests may be submitted by fax to the Company at +353 1 523 8390.

REGISTRATION DETAILS

(please PRINT in CAPITAL LETTERS)

	First Applicant	Joint Applicant (1)	Joint Applicant (2)
Title			
Surname			
First name			
Middle name			
Date of birth			
Registered address			
Correspondence address (if different)			
Phone			
Fax			
Email			

COMPANY / ENTITY

Name of Company / Entity	
Company registration No.	
Are you regulated?	Yes No
Regulator name and website	
Registered business address	
Registered address	
Correspondence address (if different)	
Phone	
Fax	
Email	

INVESTOR BANK ACCOUNT DETAILS

Name of Bank	
Swift / Sort code	
Bank address	
IBAN	
Account number	
Account Name	
Corresponding bank	
Swift address	

If the investment is in a GBP class, please ensure the bank details provided are CHAPS details.

Subscription monies must come from the account detailed above and all monies will only be paid to the account above. No third party payments will be made.

INTRODUCING AGENT

Name	
Company registration No.	
Are you regulated?	Yes No
Regulator name and website	
Registered business address	
Correspondence address (if different)	
Phone	
Fax	
Email	

ODEY ODYSSEY FUND - INVESTMENT DETAILS

Share Class	Amount (Figures)	Amount (in words) of Subscription monies	
USD I	\$		The initial minimum investment is GBP 1,000,000 (or its equivalent in USD)
USD R	\$		The initial minimum investment is GBP 5,000 (or its equivalent in USD)
EUR I 100% hedged	€		The initial minimum investment is GBP 1,000,000 (or its equivalent in EUR)
EUR R 100% hedged	€		The initial minimum investment is GBP 5,000 (or its equivalent in EUR)
GBP I 100% hedged	£		The initial minimum investment is GBP 1,000,000
GBP R 100% hedged	£		The initial minimum investment is GBP 5,000
GBP MGT (Not publicly available) 100% hedged	£		The initial minimum investment is GBP 1,000

ODEY ABSOLUTE RETURN (IRL) FUND - INVESTMENT DETAILS

Share Class	Amount (Figures)	Amount (in words) of Subscription monies	
GBP I	£		The initial minimum investment is GBP 1,000,000
GBP R	£		The initial minimum investment is GBP 5,000
GBP MGT (Not publicly available)	£		The initial minimum investment is GBP 1,000
EUR I 100% hedged	€		The initial minimum investment is GBP 1,000,000 (or its equivalent in EUR)
EUR R 100% hedged	€		The initial minimum investment is GBP 5,000 (or its equivalent in EUR)
USD I 100% hedged	\$		The initial minimum investment is GBP 1,000,000 (or its equivalent in USD)
USD R 100% hedged	\$		The initial minimum investment is GBP 5,000 (or its equivalent in USD)

ODEY SPECIAL SITUATIONS FUND - INVESTMENT DETAILS

Share Class	Amount (Figures)	Amount (in words) of Subscription monies	
USD I	\$		The initial minimum investment is GBP 1,000,000 (or its equivalent in USD)
USD R	\$		The initial minimum investment is GBP 5,000 (or its equivalent in USD)
EUR I 100% hedged	€		The initial minimum investment is GBP 1,000,000 (or its equivalent in EUR)
EUR R 100% hedged	€		The initial minimum investment is GBP 5,000 (or its equivalent in EUR)
GBP I 100% hedged	£		The initial minimum investment is GBP 1,000,000
GBP R 100% hedged	£		The initial minimum investment is GBP 5000
GBP MGT (Not publicly available) 100% hedged	£		The initial minimum investment is GBP 1,000
CHF I 100% hedged	CHF		The initial minimum investment is GBP 1,000,000 (or its equivalent in CHF)
CHF R 100% hedged	CHF		The initial minimum investment is GBP 5,000 (or its equivalent in CHF)

ODEY SWAN FUND - INVESTMENT DETAILS

Share Class	Amount (Figures)	Amount (in words) of Subscription monies	
EUR I	€		The initial minimum investment is GBP 1,000,000 (or its equivalent in EUR)
EUR R	€		The initial minimum investment is GBP 5,000 (or its equivalent in EUR)
EUR I R	€		The initial minimum investment is GBP 1,000,000 (or its equivalent in EUR)
EUR MGT (Not publicly available)	€		The initial minimum investment is GBP 1,000 (or its equivalent in EUR)
GBP I 100% hedged	£		The initial minimum investment is GBP 1,000,000
GBP R 100% hedged	£		The initial minimum investment is GBP 5,000
GBP MGT (Not publicly available) 100% hedged	£		The initial minimum investment is GBP 1,000
USD I 100% hedged	\$		The initial minimum investment is GBP 1,000,000 (or its equivalent in USD)
USD R 100% hedged	\$		The initial minimum investment is GBP 5,000 (or its equivalent in USD)
USD I R 100% hedged	\$		The initial minimum investment is GBP 1,000,000 (or its equivalent in USD)
USD M (Not publicly available) 100% hedged	\$		The initial minimum investment is GBP 1,000
AUD \$ I 100% hedged	AUD \$		The initial minimum investment is GBP 1,000,000 (or its equivalent in AUD \$)
NOK I 100% hedged	NOK		The initial minimum investment is GBP 1,000,000 (or its equivalent in NOK)
EUR G	€		The initial minimum investment is GBP 20,000,000 (or its equivalent in EUR)

ODEY EUROPEAN FOCUS ABSOLUTE RETURN FUND - INVESTMENT DETAILS

Share Class	Amount (Figures)	Amount (in words) of subscription monies	
EUR I	€		The initial minimum investment is GBP 1,000,000 (or its equivalent in EUR)
GBP I 100% hedged	£		The initial minimum investment is GBP 1,000,000
GBP M (not publically available) 100% hedged	£		The initial minimum investment is GBP 1,000
USD M (not publically available) 100% hedged	\$		The initial minimum investment is GBP 1,000

Hedged/unhedged share classes

If the share classes are hedged:

I/we acknowledge that where the Share Classes are shown as 'hedged', they will be hedged against exchange rate fluctuation risks which arise between the denominated currency of the Share Class and the Base Currency of the Sub-Fund. I/we further understand that the successful execution of this hedging strategy cannot be assured. I/we also understand that other Share Classes within the Sub-Fund may engage in currency hedging transactions in order to hedge currency exposure in respect of that Share Class. Although the Company has taken steps to ensure that the risk of contagion between Share Classes is mitigated by ensuring that the additional risk introduced to the Sub-Fund through the use of a derivative overlay is borne by the Shareholders in that Class, this risk cannot be fully eliminated.

If the share classes are not hedged:

I/we hereby acknowledge that where the Share Classes are shown as not hedged, the Share Classes will not be hedged against any exchange rate fluctuation risks/currency exposure arising as a result of the Share Class being denominated in a currency other than the Base Currency of the Sub-Fund. I/we also understand that a currency conversion will take place on subscriptions, redemptions, conversions and distributions at prevailing exchange rates and that the value of the Shares acquired by me shall be subject to exchange rate risk in relation to the Base Currency and in relation to the designated currencies of the underlying assets of the Sub-Fund. I/we further understand that other Classes of the Sub-Fund may engage in currency hedging transactions and that although the Company has taken steps to ensure that the risk of contagion between Classes is mitigated by ensuring that any additional risk introduced to the Sub-Fund as a result of the use of a derivative overlay is borne by the relevant Class only, this risk cannot be fully eliminated.

DIVIDEND REINVESTMENT

(Please tick as appropriate)

☐

Please reinvest all dividends into shares of the same class in the Fund

☐

Please pay all dividends to the bank details on page 6 by electronic transfer

(subject to the minimum payment amounts as set out in the Prospectus)

(If left incomplete all dividends will be reinvested)

Disclosure Statement

To: Odey Investments Plc; U.S. Bank Global Fund Services (Ireland) Limited;

1. I / We acknowledge that shares in the Company are issued in registered form. Proof of title is evidenced by reference to the Register.
2. I / We agree to substantiate the foregoing representation upon request to the satisfaction of the Company.
3. I/We, the undersigned, declare that I/we am/are over the age of 18, not a US Person as defined in the Prospectus, and that I/we am/are not acting on behalf of a US Person(s), nor do I/we intend selling or transferring any Shares which I/we may purchase to any person who is a US Person, and will promptly notify the Administrator if I/we should become a US person.
4. I/We hereby acknowledge that the Prospectus and KIID in issue for the relevant Class may, at the discretion of the Directors, be provided by means of a website or by Electronic Delivery (which includes email delivery to the email address set out herein or as otherwise agreed with the Administrator from time to time) and that a paper copy will be provided free of charge on request. I/We agree that the Company has offered the choice of receipt of the Prospectus and relevant KIIDs by way of a website or by Electronic Delivery or in paper format and unless I request a paper copy, I/we agree that the Prospectus and relevant KIIDs may be provided by way of the website or Electronic Delivery. I/ We further agree that where I/we choose for the Prospectus and relevant KIIDs to be provided to me/us by way of a website, I/we consent to receipt thereof by way of publication on www.odey.com or such other website address as may be notified to be/us from time to time. I/we further acknowledge that updated versions of the Prospectus and KIIDs will be made available on the website www.odey.com. I/We further acknowledge that the Company is required to provide the relevant KIID or KIIDs prior to each subsequent subscription for or conversion of Shares in the relevant Class or other Classes in other Funds and I/ we hereby agree that for each subsequent application for subscriptions and/or conversion of Shares in any Class, the relevant KIID will be provided to me/us via the above website unless a paper copy or Electronic Delivery is requested by me/us from the Distributor or Administrator.
5. I/We warrant that I/we have the right and authority to make the investment pursuant to this Application Form, whether the investment is my/our own or is made on behalf of another person or entity and that I/we are/will not be in breach of the Prospectus, Supplement and/or any laws or regulations of any relevant jurisdiction. I/we hereby agree to indemnify the Company, the Fund, the Depositary, the Administrator and other Shareholders for any loss suffered by them as a result of this warranty/representation not being true in every respect.
6. I/We hereby confirm that the Company, the Fund, the Directors and the Administrator acting on behalf of the Company, are each authorised and instructed to accept and execute any subsequent instructions in respect of the Shares to which this Application relates given by me/us in written form, by facsimile or electronic dealing facilities where applicable. I/We acknowledge that facsimile instructions and / or electronic dealing facilities may not be a secure means of communication, and are aware of the risks involved. I/We hereby indemnify the Company, the Fund, the Directors and the Administrator and agree to keep each of them indemnified against any loss of any nature whatsoever arising to each of them as a result of any of them acting on my/our facsimile instructions or electronic dealing instruction. The Company, the Fund, the Directors and the Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instructions or other instrument believed, in good faith, to be genuine or to be signed by properly authorised persons.
7. I/We understand that the tax disclosures set forth in the Prospectus are of a general nature and may not cover the jurisdiction in which I am /we are subject to taxation and that the tax consequences of my/our purchase of Shares depend on my/our individual circumstances.
8. I am/We are not subscribing for Shares on behalf of one or more (i) employee benefit plans (“ERISA Plans”) subject to Title I of United States Employee Retirement Income Security Act of 1974, as amended (“ERISA”), (ii) retirement plans covering only self-employed individuals and individual retirement accounts or otherwise defined as a “plan” in Section 4975(e)(1) of the United States Internal Revenue Code of 1986, as amended (collectively “Qualified Plans”), or (iii) entities deemed to hold the assets of an ERISA Plan or Qualified Plan.
9. I/we hereby acknowledge that I/we have read and fully considered and understood the Prospectus, the relevant Supplement, the relevant KIID in connection with this Application for Shares in the Company and the most recent Annual Report and Accounts of the Company and (if issued after such report and accounts) its most recent Unaudited Semi-Annual Report and that I/we have evaluated my/our investment in the Company in the light of my/our financial condition and resources. I/We confirm that I/we am/are aware of the risks involved in investing in the Company and that an inherent risk in this investment is the potential to lose all of my/our investment. I/We hereby further acknowledge that I/we am/are applying for Shares on the basis of the Prospectus, the relevant Supplement and the relevant KIID and that I/we have not relied on any representations or statements made or information provided by or on behalf of the Company other than information contained in the Prospectus, the relevant Supplement and the relevant KIID.

I/We hereby undertake to observe and be bound by the provisions of the Prospectus and the Memorandum & Articles of Association of the Company and apply to be entered in the Register of Shareholders as the registered holder/ holders of the Shares issued in relation to this application.

10. I/We acknowledge that the Company, the Administrator acting on behalf of the Company and any delegate may require certain due diligence documentation in order to comply with all Anti-Money Laundering and Counter Financing of Terrorism regulatory and legislative requirements in force in Ireland which would include but are not limited to proof of identity, address and source of funds before processing applications and redemption requests, and the Company and/or the Administrator and its delegate shall be held harmless and indemnified against any loss ensuing due to the failure to process this application, if such information as has been required by the parties hereto has not been provided by me/us within the timescales requested.
11. I/We hereby accept such lesser number of Shares, if any, than may be specified above in respect of which this application/transfer may be accepted.
12. (In respect of Joint applicants only) We direct that on the death of one of us, the Shares for which we hereby apply be held in the name of and to the order of the survivor(s) or the estate of the last remaining survivor. I/We acknowledge and agree that in the event of the death of one or more of us the Administrator will require further documentation in relation to any proposed change of name or authority to act in respect of the Shares for which we hereby apply including without limitation a copy of the relevant Death Certificate, Grant of Probate or copy of a valid will.
13. I/We shall provide the Company with any additional information and documentation which it may reasonably request in connection with tax or Anti-Money Laundering and Counter Financing of Terrorism or other similar requirements in order to substantiate any representations made by me/us or otherwise and I/We authorise the Company or its delegates to disclose such information relating to this application to such persons as they consider appropriate. I/We acknowledge and agree that, where I/we fail to meet any of the Company's verification and identification policies as applied from time to time in the Company's compliance with all applicable Anti-Money Laundering and Counter Financing of Terrorism laws and regulations imposed upon me/us, the Administrator, after notification to the Directors where relevant, may suspend any dealings on the account in respect of my/our holding in the Company until I/we comply with such applicable verification and identification standards.
14. I/We represent and warrant that all personal data provided by me/us (or on on my/our behalf) to the Company, Investment Manager or Administrator will be provided in accordance with applicable laws and regulations.

I/We will ensure that all personal data provided to the Company, Investment Manager or Administrator is accurate and up-to-date and I/we will notify the Administrator if I/we become aware that any personal data is no longer accurate or up-to-date.

The Company's privacy notice is available at www.odey.com/privacy-policy ("Privacy Notice"). I/We acknowledge receipt of the Privacy Notice and acknowledge my/our personal data being used and processed in accordance with its terms. I agree to provide the Privacy Notice (and updates) to anyone whose personal data I/we have provided to the Company, Investment Manager or Administrator in connection with my/our investment in the Company (such as a director, partner, trustee, employee, agent or direct or indirect owner) and anyone else connected to me/us as requested by the Company, Investment Manager or Administrator.

By signing this Application Form, I/we consent to the recording of telephone calls made to and received from me/us by the Company, its service providers and delegates and duly appointed agents (including the Administrator) and any of their respective related, associated or affiliated companies for record keeping, security and/or training purposes.

15. I/We hereby represent that I/we and the persons we represent as agent or nominee or our beneficial owners or persons who control us or are controlled by us is/are not a prohibited country, territory, individual or entity listed on any EU sanction list, a foreign shell bank or a senior foreign political figure or an immediate family member or close associate of a senior foreign political figure and subscription monies are not directly or indirectly derived from activities that may contravene Irish, or international laws and regulations, including anti-money laundering laws and regulations.

I/We agree to indemnify and hold harmless the Company, the Fund, the Investment Manager, the Administrator, the Depositary and their respective directors, officers, employees, and agents against any loss, liability, cost or expense (including without limitation legal fees, taxes and interest) that may result directly or indirectly, from any breach of any representation, warranty, condition, covenant or agreement contained herein or in any other document delivered by me/us to the Company, the Investment Manager, the Administrator or the Depositary. Each of the indemnified parties referred to in this undertaking is an intended third party beneficiary of this undertaking. In the case of delay or failure to provide satisfactory information, each of the Company or Administrator, may take such action (including declining to accept an application) as they think fit.

16. I/We have made arrangements for payment to be made to the relevant bank account(s) specified above for subscriptions.
17. I/We hereby acknowledge and agree that subscription monies received by the Administrator prior to investment in a Fund will be held in a collection account operated by the Administrator in accordance with the Central Bank (Supervision and Enforcement) Act 2013 (Section 48(1)) Investor Money Regulations 2015 for Fund Service Providers (the "Investor Money Regulations") which requires inter alia that such monies are held on a segregated basis. I/We further acknowledge and agree that monies held in the collection account will be held on trust for and at the risk of the investors on whose behalf such monies are being held. I/we acknowledge and agree that monies held in the collection account are not an asset of the relevant Fund until such time as the monies are transferred to the account of the relevant Fund, that I/we may be exposed to the creditworthiness of the relevant credit institution where the collection account is held (the "Relevant Institution"). I/we accordingly acknowledge and agree that neither the Company nor the Fund shall have any liability or responsibility from any losses arising in the event of the insolvency or other failure of the Relevant Institution.
18. I/We acknowledge that the Company reserves the right to reject any application in whole or part without assigning any reason therefore.
19. I/We understand that the confirmations, representations, declarations, indemnities and warranties made or given herein are continuous and apply to all subsequent subscriptions of Shares by me/us in the Company.
20. I/We understand and agree that in normal circumstances any redemption proceeds will only be paid to a bank account from which the subscription money has been remitted from and held in my/our name with a recognised financial institution. I/We acknowledge that if I/We request the Administrator to pay redemption proceeds to an account or bank the details of which differ from those held on file I/we understand that payment cannot be effected until such time as the relevant documentation as specified by the Administrator regarding the change is forwarded by me.
21. I/We acknowledge that the Company reserves the right to cancel without notice any contract for which payment has not been received by the relevant settlement date and to recover any losses incurred.
22. I/We understand that while an initial application will be actioned upon receipt of a fax I/we undertake to confirm this by forwarding an original copy of the completed application form used on initial subscription. I/We acknowledge that redemption proceeds may not be paid out until the original of the application form used on initial subscription together with all documentation required by the Company and the Administrator, including all documentation required for anti-money laundering purposes has been received by the Administrator.
23. I/We hereby acknowledge that any notice, information or document may be served by the Company on me/us in the manner specified from time to time in the Prospectus and, for the purposes of the Electronic Commerce Act 2000 as amended, if I have provided an email address or fax number to the Company or its delegate, consent to any such notice or document being sent to me/us by fax or electronically to the fax number or email address previously identified to the Company or its delegate which I/We acknowledge constitutes effective receipt by me/us of the relevant notice or document. I/We acknowledge that I am/we are not obliged to accept electronic communication and may at any time choose to revoke my/our agreement to receive communications by fax or electronically by notifying the Company in writing at the above address provided that my/our agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Company of written notice of such revocation.
24. I/We acknowledge the restrictions on dealing as disclosed in the Prospectus and the right of the Company to act at its discretion in order to protect the Company from market timing, excessive trading or other activity which it believes is harmful to the Company.
25. If any of the foregoing representation, warranties or covenants ceases to be true or if the Company and /or Administrator no longer reasonably believes that it has satisfactory evidence as to their truth, notwithstanding any other agreement to the contrary, the Company and/or Administrator may be obliged to freeze my/our investment, either by prohibiting additional investments, declining or suspending any redemption requests and/or segregating the assets constituting the investment in accordance with applicable regulations, or my/our investment may immediately be redeemed by the Company and the Company, and/or Administrator may also be required to report such action and disclose my/our identity to a relevant authority. In the event that the Company and/or Administrator is required to take any of the foregoing actions, I/we understand and agree that I/we shall have no claim against the Company, the Investment Manager, the Administrator and their respective affiliates, directors, officers, members, partners, employees, shareholders and agents from any damages as a result of any of the aforementioned actions.

26. I/We agree to provide these confirmations to the Company at such times as the Company may request, and to provide on request such certifications, documents or other evidence as the Company may reasonably require to substantiate such representations to the satisfaction of the Company.
27. I/We agree to notify the Company immediately if I/we become aware that any of the confirmations are no longer accurate and complete in all respects and agree immediately either to have redeemed or to tender to the Company for redemption a sufficient number of Shares to allow the confirmation to be made.
28. Swiss Declaration
I/we* declare that, no individual advice within the meaning of the Guidelines on the Distribution of Collective Investment Schemes issued by the Swiss Funds & Asset Management Association has been provided to me/us by Odey (Switzerland) SA in connection with my/our application for Shares and I/we understand that Odey (Switzerland) SA does not receive any remuneration for the distribution of the Shares.
29. I/We acknowledge that any failure to provide complete identification and verification documentation at the account-opening stage and upon request at any other stage will result in my/our account being blocked for redemptions and distribution payments pending receipt of such outstanding documentation and I/we may therefore be classified as a 'non-compliant investor'. Where documentation in the required format remains outstanding from the date of my/our initial subscription, all transactions (including additional subscriptions) may be blocked pending receipt of any outstanding documentation. I/we acknowledge that the Investment Manager and/or Board of Directors have the right to discontinue the business relationship with me/us upon my/our being classified as a non-compliant investor.

Anti Money Laundering

We require detailed verification of the Investor's identity for anti money laundering purposes, in accordance with the Criminal Justice (Money Laundering & Terrorist Financing) Act 2010.

The information in Appendix 1 will provide you with the minimum requirements for different types of applicants, for example individuals and corporate entities. It is strongly recommended that you contact the Administrator for full list of identification / verification documents before you place a subscription.

The Company reserves the right to request any further documentation it requires to satisfy its obligations under anti-money laundering procedures or legislation.

FATCA/ CRS

I/We confirm that I/we will accurately and correctly complete, sign and deliver Appendices 1 and 2 to the Company in order to comply with all anti-money laundering regulations, FATCA and CRS. I/we agree to notify the Company immediately if I/we become aware that any of the confirmations in the Appendices are no longer accurate and complete in all respects.

Signature

First applicant name	
Signature	
Capacity of Authorised Signatory (if applicable)	
Date	
Second applicant name	
Signature	
Capacity of Authorised Signatory (if applicable)	
Date	
Third applicant name	
Signature	
Capacity of Authorised Signatory (if applicable)	
Date	
Forth applicant name	
Signature	
Capacity of Authorised Signatory (if applicable)	
Date	

IMPORTANT: To be valid, Application Forms must be signed by each Applicant and received by the Administrator. In the case of a legal entity, Application Forms should be executed under seal or signed by duly authorised signatories provided that a certified copy of the authorised signatories list together with sample signatures and details of any signing protocol accompanies the Application Form. If this Application Form is signed under power of attorney, such power of attorney or a copy thereof must accompany it. The right is reserved to reject any Application Form in whole or in part, or to seek such further information as the Company may require to satisfy itself in regard to the identity and legal capacity of any Applicant.

Declaration of residence outside the Republic of Ireland

Applicants resident outside the Republic of Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares.

(a) Declaration on own behalf

I/we* declare that I am/we are* applying for the Shares on my own/our own behalf/on behalf of a company* and that I am/ we are/the company is* entitled to the Shares in respect of which this declaration is made and that:

- I am/we are/the company is* not currently resident or ordinarily resident in Ireland, and
- Should I/we/the company* become resident in the Republic of Ireland I/we* will so inform the Company, in writing, accordingly.

(b) Declaration as Intermediary

I/we* declare that I am/we are* applying for Shares on behalf of persons:

- who will be beneficially entitled to the Shares; and
- who, to the best of my/our* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/we* also declare that:

- unless I/we* specifically notify you to the contrary at the time of application, all application for Shares made by me/us* from the date of this application will be made on behalf of such persons; and
- I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* holds Shares, becomes resident in Ireland.

* Delete as appropriate

Notes:

1. Declarations of Residence outside of Ireland are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, the Declaration of Residence outside of Ireland must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be executed under seal or signed by duly authorised signatories.
3. If the Declaration of Residence outside of Ireland is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.
4. If the Applicant is an Exempt Irish Investor it should contact the Administrator who will provide it with the appropriate declaration which must be made to confirm its status.
5. Definitions of an "Intermediary" and the concepts of "Residence in Ireland" and "Ordinary Residence in Ireland" are set out on the next page. I/we the undersigned request that the Shares issued pursuant to this application are registered in the name set out below.

I/we the undersigned request that the Shares issued pursuant to this application are registered in the name set out below.

First applicant name	
Signature	
Capacity of Authorised Signatory (if applicable)	
Date	
Second applicant name	
Signature	
Capacity of Authorised Signatory (if applicable)	
Date	
Third applicant name	
Signature	
Capacity of Authorised Signatory (if applicable)	
Date	
Fourth applicant name	
Signature	

Capacity of Authorised Signatory (if applicable)	
Date	

DEFINITIONS

Intermediary

An “Intermediary” means a person who:

- carries on a business which consists of, or includes, the receipt of payments from an investment undertaking resident in the State on behalf of other persons;
- holds units in an investment undertaking on behalf of other persons.

Residence

(1) Individual

An individual will be regarded as being resident in Ireland for a tax year if s/he

1. spends 183 days or more in the State in that tax year (135 days for the “short tax year” 6 April 2001 to 31 December 2001); or
2. has a combined presence of 280 days in the State, taking into account the number of days spent in the State in that tax year together with the number of days spent in the State in the preceding year.

Presence in a tax year by an individual of not more than 30 days in the State will not be reckoned for the purpose of applying the two-year test. Presence in the State for a day means the personal presence of an individual at any time during the day.

(2) Company

A Company which has its central management and control in Ireland (the State) is resident in the State irrespective of where it is incorporated. A Company which does not have its central management and control in Ireland but which is incorporated in the State is resident in the State except where: -

1. the company or a related company carries on a trade in the State, and either the company is ultimately controlled by persons resident in EU Member States or countries with which the Republic of Ireland has a double taxation treaty, or the company or a related company are quoted companies on a recognised Stock Exchange in the EU or in a tax treaty country; or
2. the company is regarded as not resident in the State under a double taxation treaty between the Republic of Ireland and another country.

It should be noted that the determination of a company’s residence for tax purposes can be complex in certain cases and declarants are referred to the specific legislative provisions which are contained in section 23A Taxes Consolidation Act, 1997.

Ordinary Residence

The term “ordinary residence” as distinct from “residence” relates to a person’s normal pattern of life and denotes residence in a place with some degree of continuity. An individual who has been resident in the State for three consecutive tax years becomes ordinarily resident with effect from the commencement of the fourth tax year.

An individual who has been ordinarily resident in the State ceases to be ordinarily resident at the end of the third consecutive tax year in which s/he is not resident. Thus, an individual who is resident and ordinarily resident in the State in 2000/2001 and departs from the State in that year will remain ordinarily resident up to the end of the tax year 1 January 2003 to 31 December 2003.

Appendix 1

Anti-Money Laundering Requirements

IMPORTANT: All applicants must at a minimum complete the questionnaire in PART 1 below prior to investment including disclosure of Beneficial Owners and Directors/Controlling Persons. Failure to complete may result in your investment being rejected or delayed. The Administrator, Fund and Directors are indemnified by you against any loss of any nature whatsoever arising from rejecting, or delaying to proceed with an investment

PART I: AML Questionnaire – To be completed by <u>ALL</u> Applicants

Investor Name: _____

Country of residence: _____

Principal place of Business: _____

Wiring Bank: _____

Name on Wiring Account*: _____

**Note investment monies must be received from an account in the Applicants name.*

Business Activity – Entities only:

Please indicate the purpose, nature and business activity of the entity):

Source of Funds *(Please indicate how the funds associated with this particular investment were generated):*

☐ Income ☐ Savings ☐ Bonus ☐ Gift or Inheritance
☐ Property sale ☐ Company sale ☐ Share sale ☐ Dividend ☐ Other please specify:

Source of Wealth: *(Please indicate the activities which have generated the applicant's total net worth, please also include details of your occupation and employers' details where applicable):*

☐ Income ☐ Savings ☐ Bonus ☐ Gift or Inheritance
☐ Property sale ☐ Company sale ☐ Share sale ☐ Dividend ☐ Other please specify:

Please note that we may require additional information regarding the above Source of Funds and Source of Wealth declarations.

Politically Exposed Person PEP Declaration (please tick ✓)

- ☐ I/We confirm that I/we meet the definition of a politically exposed person ('PEP')* or any immediate family member** or close associate of a PEP as such terms are defined in the footnotes below¹ and will provide the necessary disclosures and documentation regarding source of wealth and where relevant the source of wealth of any beneficial owners who meet the definition of a PEP
- ☐ I/We confirm that I/we are acting as Financial Intermediary/Nominee and we have procedures in place to identify and conduct enhance customer due diligence on investors who are PEP or any immediate family member or close associate of a PEP as such terms are defined in the footnotes below.
- ☐ I/We confirm that I/we do not meet the definition of a PEP or any immediate family member or close associate of a PEP as such terms are defined in the footnotes below.

IMPORTANT: PLEASE COMPLETE PRIOR TO INVESTMENT

(A) Please provide details of Directors / Partners / Trustees / Controllers of the Applicant.

Name

Residential Address

Business Address

Country of residence Passport Country Country of Birth

Occupation Date of Birth

Name

Residential Address

Business Address

Country of residence Passport Country Country of Birth

Occupation Date of Birth

Name

Residential Address

Business Address

Country of residence Passport Country Country of Birth

Occupation Date of Birth

Name

Residential Address

Business Address

Country of residence Passport Country Country of Birth

Occupation Date of Birth

If additional Directors/Partners/Trustees please submit details on headed paper or alternatively provide a register of directors if available.

¹ **"Politically Exposed Person"** means an individual who is, or has at any time in the preceding year been, entrusted with a prominent public function, including either of the following individuals (but not including any middle ranking or more junior official): (a) a specified official; (b) a member of the administrative, management or supervisory body of a state-owned enterprise. A *"specified official"* means any of the following officials (including any such officials in an institution of the European Communities or an international body); (i) head of state, head of government, government minister or deputy or assistant government minister; (ii) a member of a parliament; (iii) a member of a supreme court, constitutional court or other high level judicial body whose decisions, other than in exceptional circumstances, are not subject to further appeal; (iv) a member of a court of auditors or of the board of a central bank; (v) an ambassador, charge d'affairs or high-ranking officer in the armed forces.

****The definition also expands to a "close associate", "immediate family member"** of a PEP and includes any of the following persons: (a) any individual who has joint beneficial ownership of a legal entity or arrangement or any other close business relations with a PEP (b) any individual who has sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of a PEP (c) any spouse of a PEP (d) any person who is the equivalent of a spouse under the national law of the place where the PEP resides (e) any cohabitant, (f) any child or parent of the PEP or spouse of the child of the PEP (g) any other family member who is of a prescribed class.

(B) Please provide details of all Beneficial Owners² who have a beneficial interest of 25% or more of the Applicant.

Please complete (i) or (ii) below as applicable (*not required where the Applicant is a Bank or Financial Institution regulated in an Equivalent Jurisdiction*).

Note: Where the Beneficial Owner is an entity and not a natural person please provide details including an organisation structure chart and details of the ultimate beneficial owner which is a natural person(s).

☐ **(i) I/We confirm the following beneficial owner(s) with a holding or beneficial interest of 25% or more.**

Name

Residential Address

Business Address

Country of residence Passport Country Country of Birth

Occupation Date of Birth

Underlying beneficial interest.....%

Name

Residential Address

Business Address

Country of residence Passport Country Country of Birth

Occupation Date of Birth

Underlying beneficial interest.....%

Name

Residential Address

Business Address

Country of residence Passport Country Country of Birth

Occupation Date of Birth

Underlying beneficial interest.....%

Name

Residential Address

Business Address

Country of residence Passport Country Country of Birth

Occupation Date of Birth

Underlying beneficial interest.....%

OR

☐ **(ii) I/We confirm that there are NO Beneficial Owner(s) with a holding or beneficial interest of 25% or more.**

Where there is no beneficial owner(s) with a holding or beneficial interest of 25% or more please provide details of the Senior Managing Official which is any natural person(s) who exercises control over the entity or legal arrangement.

² A Beneficial Owners any person who owns or controls either directly or indirectly at least 25% of a trust or who owns or controls either directly or indirectly more than 25% of any other entity type. Where the Beneficial Owner an entity, any individual who owns more than 25% of that entity or can exercise control must also be disclosed.

Name
Residential Address
Business Address
Country of residence Passport Country Country of Birth
Occupation Date of Birth

I/We hereby confirm that all disclosures, information and documentation supplied to the Administrator are true and correct. I /We agree to notify the Administrator if any of the information changes or is no longer true or accurate including any changes to Beneficial Owners or Directors where applicable.

The Administrator reserves the right to request further documentation in addition to the requirements specified below in order to satisfy regulatory requirements. Where an investor or beneficial owner is a PEP or is resident in a jurisdiction which the Administrator has deemed as higher risk, further due diligence documentation maybe required prior to investment.

The Undersigned hereby confirm(s) that he/she is duly authorised to make the above representations and declarations on behalf of the Applicant.

Execution Signature:

Print Name: _____

Title: _____

Signature: _____

Date: _____

Print Name: _____

Title: _____

Signature: _____

Date: _____

AML Documentation Requirements

Part II	Individual Applicants and Joint Applicants.
Part III	Banks and Regulated Financial Institutions acting on their own behalf
Part IV	Financial Intermediaries and Nominee Companies.
Part V	LLC
Part VI	Companies.
Part VII	Partnerships
Part VIII	Trusts
Part IX	Funds / Collective Investment Schemes
Part X	Pension Schemes
Part XI	Charities / Foundations

Certification Requirements

- Certified documents must bear the words '**Certified as a true copy of the original**', or words to this effect.
- Certified documents **must clearly detail the Certifier's full details** including name, title, company, address, telephone, practising number and bear the original stamp/signature of the certifier, if any. Details can be provided by way of business card if appropriate. Please note the administrator may contact the Certifier to confirm details.
- Documents must bear the **original ink** signature of the certifier. Photocopies / facsimile copies are not acceptable.
- Where documents are **not in English**, a notarized translation is required.

Who can certify: Authorised staff of a regulated financial or credit institutions (*regulated in an equivalent jurisdiction*), Police Officer, Practising Chartered & Certified Public Accountant, Reputable Notaries, Public/Practicing Solicitor, Justice of the Peace, Commissioner for Oaths, Embassy/Consular Staff, Medical Professional, Company Secretary.

Note: Certifications by family members are not acceptable

Equivalent Countries

"Equivalent Jurisdiction" includes all Member States of the European Union, Australia, Canada, Channel Islands, Hong Kong, Iceland, Isle of Man, Japan, Liechtenstein, Norway, Singapore, South Korea, Switzerland, and United States of America. (*List is subject to change*).

PART II – Individual / Joint Applicants

Individual and Joint applicants should provide documentation outlined in (A) or (B) below, as appropriate:

- In the case of joint holders, please supply the relevant documentation in respect of ALL holders.
- Proof of identification documentation must be clearly legible, in date and bear the applicants photo & signature.
- Bills and statements should reflect the applicant's home address. PO Box addresses are not acceptable.
- Bills and statements should be less than 6 months old unless the bill typical term is annual for example home insurance.
- Mobile phone invoices not acceptable (*examples of acceptable bills are electricity, water, gas, landline, bin charges, home insurance etc.*)

(A) Investors who are located in Equivalent Jurisdictions	(B) Investors who are <u>NOT</u> located in Equivalent Jurisdictions
<input type="checkbox"/> Proof of identification for example passport/drivers licence; <input type="checkbox"/> Proof of Address for example utility bill or bank statement.	<input type="checkbox"/> Certified original copy of proof of identification for example passport/drivers licence; <input type="checkbox"/> Original or certified copy of <u>two different</u> proofs of Address for example a utility bill <u>and</u> bank statement.

PART III– Banks / Financial Institutions acting on your own behalf

Banks / Financial Institutions acting on their own behalf should provide documentation outlined in section (A) or (B) below as applicable:

(A) Banks / Financial Institutions which are regulated in an Equivalent Jurisdiction	(B) Banks / Financial Institutions which are NOT regulated in an Equivalent Jurisdiction
<input type="checkbox"/> Please confirm details of web-site where evidence of regulation can be verified: <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <input type="checkbox"/> Authorised signatory list.	<input type="checkbox"/> Please provide documentation in accordance with your legal entity type for example PART VI for a company or PART VII for a Partnership.

PART IV – Financial Intermediaries / Nominee Companies

Financial Intermediaries or Nominee companies should provide documentation as outlined in (A) or (B) below as appropriate:

Note: this section should only be completed where shares are registered and held directly in the name of the financial intermediary or nominee on behalf of a third party/underlying client.

(A) Regulated Intermediaries/Nominees or wholly owned subsidiaries of a regulated parent in an Equivalent Jurisdiction.	(B) Intermediaries/Nominees is NOT regulated or not a subsidiary of a parent regulated in an Equivalent Jurisdiction.
<input type="checkbox"/> Please confirm details of web-site where evidence of regulation can be verified <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <input type="checkbox"/> Authorised signatory list; AND (in the case of wholly owned subsidiaries); <input type="checkbox"/> A letter from the parent company signed by an authorised signature on headed paper confirming: <ul style="list-style-type: none"> ○ The parent company's home jurisdiction and its regulating authority; ○ That the Nominee is a wholly owned subsidiary and that it applies the AML policy of its parent entity. 	<input type="checkbox"/> Please provide documentation in accordance with your legal entity type for example PART VI for a Company or PART VII for a Partnership; <input type="checkbox"/> Please provide details of the third party you are transacting on behalf of, including details of any Directors and Beneficial Owners of the third party where applicable; <input type="checkbox"/> Identification documents are also required for the third party in accordance with their legal form (please contact the Administrator for details).

PART V - LLC

LLCs should provide documentation as outlined in (A) or (B) below as appropriate:

(A) LLCs located in an Equivalent Jurisdiction	OR	(B) LLCs <u>NOT</u> located in an Equivalent Jurisdiction.
<ul style="list-style-type: none"> <input type="checkbox"/> Certificate of Formation or equivalent; <input type="checkbox"/> Operating Agreement; <input type="checkbox"/> Authorised signatory list; <input type="checkbox"/> Names and addresses of the Managing Members; <input type="checkbox"/> Identification documents for at least two managing members; Or one managing member and one authorised signatory (<i>Identification documents as per an Individual as outlined in Part II (A) above</i>); <input type="checkbox"/> Names and addresses of any members who own more than 25% of the share capital, profit or voting rights. 		<ul style="list-style-type: none"> <input type="checkbox"/> Certified original copy of Certificate of Formation or equivalent; <input type="checkbox"/> Certified original copy of Operating Agreement; <input type="checkbox"/> Certified original copy of Authorised signatory list; <input type="checkbox"/> Certified original copy of Names and addresses of the Managing Members; <input type="checkbox"/> Certified original copy of Identification documents for at least two managing members; Or one managing member and one authorised signatory (<i>Identification documents as per an Individual as outlined in Part II (B) above</i>); <input type="checkbox"/> Certified copy of names and addresses of any members who own 25% or more of the share capital, profit or voting rights; <input type="checkbox"/> Certified original copy of Identification documents for members with 25% or more (<i>Identification documents as per an Individual as outlined in Part II (B) above</i>).

PART VI - Companies

Companies should provide documentation as outlined in (A), (B) or (C) as appropriate:

(A) Where the Company is listed on an Regulated Market within the E.E.A (*Regulated by the European Securities & Markets Association ESMA*) or on the US NYSE/ NASDAQ please provide the following;

☐ Please provide name and country of regulated stock exchange:

☐ Copy of your latest authorised signatory list.

(B) Companies located in an Equivalent Jurisdiction	OR	(C) Companies <u>NOT</u> located in an Equivalent Jurisdiction
<input type="checkbox"/> Certificate of Incorporation; <input type="checkbox"/> Memorandum and Articles of Association or equivalent; <input type="checkbox"/> Authorised signatory list; <input type="checkbox"/> Names and addresses/Register of Directors; <input type="checkbox"/> Certified original copy of Identification documents for at least two directors Or one director and one authorised signatory (<i>Identification documents as per an Individual as outlined in Part II (A) above</i>); <input type="checkbox"/> Names and addresses / Register of all Beneficial Owners with a holding of 25% or more.		<input type="checkbox"/> Certified original copy of Certificate of Incorporation; <input type="checkbox"/> Certified original copy of Memorandum and Articles of Association or equivalent; <input type="checkbox"/> Certified original copy of Authorised signatory list; <input type="checkbox"/> Certified original copy of the Names and addresses/ Register of Directors; <input type="checkbox"/> Certified original copy of Identification documents for at least two directors Or one director and one authorised signatory (<i>Identification documents as per an Individual as outlined in Part II (B) above</i>); <input type="checkbox"/> Certified original copy of names and addresses/ Register of all Beneficial Owners with a holding of 25% or more; <input type="checkbox"/> Certified original copy of Identification documents for all Beneficial Owners with a holding greater than 25% (<i>Identification documents as per an Individual as outlined in Part II (B) above</i>).

PART VII - Partnerships

Partnerships should provide documentation as outlined in (A) or (B) below as appropriate:

(A) Partnerships located in an Equivalent Jurisdiction	(B) Partnerships <u>NOT</u> located in an Equivalent Jurisdiction
<ul style="list-style-type: none"> <input type="checkbox"/> Partnership Agreement or equivalent; <input type="checkbox"/> Authorised signatory list; <input type="checkbox"/> Names and addresses of all partners <input type="checkbox"/> Identification documents for at least two partners*; Or one partner and one authorised signatory (<i>Identification documents as per an Individual as outlined in Part II (A) above</i>); <input type="checkbox"/> Names and addresses of any partners* with a partnership interest of 25% or more. 	<ul style="list-style-type: none"> <input type="checkbox"/> Certified original copy of Partnership Agreement or equivalent; <input type="checkbox"/> Certified original copy of Authorised signatory list; <input type="checkbox"/> Certified original copy of Names and addresses of all partners; <input type="checkbox"/> Certified original copy of Identification documents for at least two partners*; Or one partner and one authorised signatory (<i>Identification documents as per an Individual as outlined in Part II (B) above</i>); <input type="checkbox"/> Certified original copy of names and addresses of any partners* with a partnership interest of 25% or more; <input type="checkbox"/> Certified original copy of Identification documents for partners* with a partnership interest of 25% or more (<i>Identification documents as per an Individual as outlined in Part II (B) above</i>).

* For a Partnership which is a Fund with a General Partner, the General Partner should provide documentation in accordance with their legal form.

PART VIII - Trusts

Trusts should provide documentation as outlined in (A), (B) or (C) below as appropriate:

(A) Where the Trustee is regulated in an Equivalent Jurisdiction please provide the following;

- ☐ Trust Deed, or equivalent constitutional document, which confirms the trustee exercises control of the trust;
- ☐ Please provide website where regulatory status of Trustee can be evidenced:

- ☐ Authorised Signatory List.

(B) Trusts located in an Equivalent Jurisdiction		(C) Trusts <u>NOT</u> located in an Equivalent Jurisdiction
<ul style="list-style-type: none"> <input type="checkbox"/> Trust Deed, or equivalent constitutional document; <input type="checkbox"/> Authorised Signatory List; <input type="checkbox"/> Name and address of the settlor, grantor, protector or controller; <input type="checkbox"/> Names and address of all trustees; <input type="checkbox"/> Identification documents for at least two trustees; Or one trustee and one authorised signatory (<i>Identification documents as per an Individual as outlined in Part II (A) above</i>); <input type="checkbox"/> Names and address of all Beneficial Owners who own at least 25% of the share capital or voting rights. 		<ul style="list-style-type: none"> <input type="checkbox"/> Certified original copy of Trust Deed, or equivalent constitutional document; <input type="checkbox"/> Certified original copy of Authorised Signatory List; <input type="checkbox"/> Certified original copy name and address of the settlor, grantor, protector or controller; <input type="checkbox"/> Certified original copy of Identification documents for the settlor, protector or controller (<i>Identification documents as per an Individual as outlined in Part II (B) above</i>); <input type="checkbox"/> Certified original copy of names and address of all trustees; <input type="checkbox"/> Certified original copy of Identification documents for at least two trustees; Or one trustee and one authorised signatory (<i>Identification documents as per an Individual as outlined in Part II (B) above</i>); <input type="checkbox"/> Certified original copy of names and address of all beneficiaries with an interest of 25% or more; <input type="checkbox"/> Certified original copy of Identification documents for any beneficiaries of 25% or more (<i>Identification documents as per an Individual as outlined in Part II (B) above</i>).

PART IX – Funds / Collective Investment Schemes

Funds/Collective Investment Schemes should provide documentation as outlined in (A) or (B) as appropriate

Note: For Funds which do not fall into either category below please provide documentation accordance with the Funds legal form for example Part VI Companies or Part VII Partnerships.

(A) Funds regulated in an Equivalent Jurisdiction or listed on a Stock exchange in an Regulated Market within the E.E.A or on the US NYSE/ NASDAQ		(B) Where the Funds Administrator is regulated in an Equivalent Jurisdiction
<div data-bbox="186 588 773 653"> <input type="checkbox"/> Website where evidence of regulatory status or stock exchange listing can be evidenced: <div data-bbox="235 682 760 724" style="border-bottom: 1px solid black; height: 10px; width: 100%;"></div> </div> <div data-bbox="186 745 699 777"> <input type="checkbox"/> Copy of your latest authorised signatory list. </div>		<div data-bbox="873 588 1321 688"> <input type="checkbox"/> Prospectus, or equivalent document; <input type="checkbox"/> Authorised signatory list; <input type="checkbox"/> Name and address of Fund Promoter; <div data-bbox="922 724 1446 745" style="border-bottom: 1px solid black; height: 10px; width: 100%;"></div> </div> <div data-bbox="873 751 1372 781"> <input type="checkbox"/> Name and address of Fund Administrator: <div data-bbox="922 814 1446 835" style="border-bottom: 1px solid black; height: 10px; width: 100%;"></div> </div> <div data-bbox="873 842 1446 1205"> <input type="checkbox"/> Website where the Administrators regulatory status can be evidenced; <input type="checkbox"/> Letter from the Fund's Administrator confirming that they: <ul style="list-style-type: none"> <input type="checkbox"/> Carry out AML checks upon the Fund investors; <input type="checkbox"/> Confirmation of the AML legislation that they adhere to; <input type="checkbox"/> Confirmation of who they are regulated and supervised by for AML. </div> <div data-bbox="873 1213 1446 1392"> <input type="checkbox"/> Names and addresses of all investors who own more than 25% of the Fund. <i>(Where the investor is an entity and not a natural person please provide the details of the ultimate beneficial owner who is a natural person).</i> </div>

PART X – Pension Schemes

Pension Schemes should provide documentation as outlined in (A), (B) or (C) as appropriate:

(A) Registered Pension Schemes in an Equivalent Jurisdiction please provide the following;

- ☐ Proof of registration from the relevant Tax Authorities, Pensions Board or Pensions Regulator in an Equivalent Jurisdiction;
- ☐ Written confirmation of the following points:
 - The scheme provides retirement benefits to employees;
 - Contributions are made by an employer or by way of deduction from an employee's wages ;
 - The scheme rules do not permit the assignment of a members interest under the scheme
- ☐ Authorised Signatory List.

(B) Non registered Pension Schemes located in an Equivalent Jurisdiction		(C) Pension Schemes <u>NOT</u> located in an Equivalent Jurisdiction
<ul style="list-style-type: none"> <input type="checkbox"/> Trust Deed, or equivalent constitutional document <input type="checkbox"/> Authorised Signatory List <input type="checkbox"/> Names and addresses of all Principals (i.e. Trustees/Directors/Governors/Board Members or equivalent) <input type="checkbox"/> Identification documents for at least two Principals; Or one Principals and one authorised signatory (Identification documents as per an Individual as outlined in Part II (A) above); <input type="checkbox"/> Names and address of all Beneficial Owners entitled to 25% or more of the proceeds. 		<ul style="list-style-type: none"> <input type="checkbox"/> Certified original copy of Trust Deed, or equivalent constitutional document; <input type="checkbox"/> Certified original copy of Authorised Signatory List; <input type="checkbox"/> Certified original copy of names and addresses of all Principals (i.e. Trustees/Directors/Governors/Board Members or equivalent); <input type="checkbox"/> Certified original copy of Identification documents for at least two Principals; Or one Principals and one authorised signatory (Identification documents as per an Individual as outlined in Part II (B) above); <input type="checkbox"/> Obtain names and address of all Beneficial Owners entitled to 25% or more of the proceeds; <input type="checkbox"/> Certified original copy of Identification documents for any Beneficial Owners of 25% or more (Identification documents as per an Individual as outlined in Part II (B) above).

PART XI – Charities /Foundations

Charities/ Foundations should provide documentation as outlined in (A), (B) or (C) as appropriate;

(A) Registered Charities in an Equivalent Jurisdiction please provide the following;

- ☐ Proof of registration from relevant Tax Authorities confirming tax exempt status, and/or evidence of registered status with public charities register (e.g. UK Charities Commission);
- ☐ Names and address of all Principals (i.e. Trustees/Directors/Governors/Board Members or equivalent);
- ☐ Confirmation of the purpose of the charity and the class of individuals who stand to benefit from charities objectives: _____

- ☐ Authorised Signatory List.

(B) Non Registered Charities/Foundations located in an Equivalent Jurisdiction		(C) Charities/Foundations <u>NOT</u> located in an Equivalent Jurisdiction
<ul style="list-style-type: none"> <input type="checkbox"/> Trust Deed/ constitutional or formation document; <input type="checkbox"/> Authorised Signatory List; <input type="checkbox"/> Names and addresses of all Principals (i.e. Trustees/Directors/Governors/Board Members or equivalent); <input type="checkbox"/> Identification documents for at least two Principals; Or one Principals and one authorised signatory (Identification documents as per an Individual as outlined in Part II (A) above); <input type="checkbox"/> Names and address of all Beneficial Owners entitled to 25% or more of the proceeds. 		<ul style="list-style-type: none"> <input type="checkbox"/> Certified original copy of Trust Deed/Constitutional or formational document; <input type="checkbox"/> Audited financial statements; <input type="checkbox"/> Certified original copy of Authorised Signatory List; <input type="checkbox"/> Certified original copy of names and addresses of all Principals (i.e. Trustees/Directors/Governors/Board Members or equivalent); <input type="checkbox"/> Certified original copy of Identification documents for at least two Principals; Or one Principals and one authorised signatory (Identification documents as per an Individual as outlined in Part II (B) above); <input type="checkbox"/> Obtain names and address of all Beneficial Owners entitled to 25% or more of the proceeds; <input type="checkbox"/> Certified original copy of Identification documents for any Beneficial Owners of 25% or more (Identification documents as per an Individual as outlined in Part II (B) above).

ALL Applicants MUST complete PART 1 below PRIOR TO INVESTMENT including disclosure of Beneficial Owners and Directors/Controlling Persons; otherwise your investment may be rejected or delayed. The Administrator reserves the right to request additional information or documentation, reject any application, freeze any transaction or delay or suspend payment of redemption proceeds where requirements are not satisfied.

Appendix 2a

FATCA & CRS Self-Certification Form For Individual and Joint Account Holders (Including Controlling Persons)

To be completed by ALL Individual & Joint Account Investors.

We are obliged under the U.S. Foreign Account Tax Compliance Act (FATCA) and the Common Reporting Standard (CRS) to collect certain information about each account holders tax arrangements.

Please note that by completing this form you are providing personal data and we may be legally obliged to share this and other financial information with respect to an account holders or Controlling Persons interests in the Fund with the Funds local tax authority. They may in turn exchange this information and other financial information with foreign tax authorities including tax authorities outside the EU.

Customer Information Notice

Common Reporting Standard (CRS)

CRS, formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information (AEOI), developed in the context of the Organisation for Economic Co-operation and Development (OECD).

The standard requires that Financial Institutions in participating jurisdictions gather certain information from Account Holders (and, in particular situations, also collect information in relation to relevant Controlling Persons of such Account Holders).

Under CRS, account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such Account Holders) is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder's resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s).

Further information is available on the OECD website: <http://oecd.org/tax/automatic-exchange>

For further information on CRS please refer to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/>

Instructions for completion

Please complete all sections below.

In the case of joint account Holders each account holder is required to complete a separate Self-Certification Form.

Section 1, 2 and 3 must be completed by all account holders.

Controlling Persons must also complete Section 4.

It is the responsibility of the account holder or Controlling Person to ensure that information provided is complete and accurate and, to provide U.S. Bank Global Fund Services (Ireland) Limited (as the Fund's Administrator) or the Fund with any additional documentation or information when requested. If any of the information below about your tax residence or account holders FATCA/CRS classification changes in the future, you are required to notify us of these changes promptly.

If you have questions about this form or defining your tax residency status, please speak to your tax adviser or local tax authority.

A glossary of some commonly used terms is included in this document for reference purposes only and does not constitute tax advice. Further information can be found on the OECD CRS Information Portal (Section VIII Defined Terms):.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

Section 1: Account Holder/Controlling Person Identification

Applicant	
Investor Name	
Fund Name	
Residential Address	
City, Town, State, Province, County	
Postal Code	
Country	
Correspondence Address (if different)	
City, Town, State, Province, County	
Postal Code	
Country	
Country of Birth	
Date of Birth	

Section 2: FATCA Declarations of U.S. Citizenship or U.S. Residence for Tax purposes

Please tick (☐) (i), (ii) or (iii) below and complete as appropriate:

<input type="checkbox"/>	(i) I confirm that I am a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows: U.S. TIN: _____
<input type="checkbox"/>	(ii) I confirm that I was born in the U.S. (or a U.S. territory) but am no longer a U.S. citizen and/or resident in the U.S. for tax purposes as I have voluntarily surrendered my citizenship. (Please provide documentary evidence to support status claimed): _____
<input type="checkbox"/>	(iii) I confirm that I am not a U.S. citizen and I am not a resident in the U.S. for tax purposes

Section 3: CRS Declaration of Tax Residency /Residencies

Please indicate your country of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TINs")).

For further guidance please refer to the OECD CRS information portal (<http://www.oecd.org/tax/automatic-exchange/>).

Please provide the place of Tax Residence (i.e. where you are liable to pay tax), the Tax ID Type, and the Tax ID Number for the country indicated.

Country of Tax Residence	Tax ID Type	Tax ID Number

Note a TIN is required, If a TIN is unavailable, please tick (☐) (i), (ii) or (iii) and complete as appropriate.

<input type="checkbox"/>	(i) The country /jurisdiction where the account holder is resident does not issue TINs or TIN equivalents to its residents.
<input type="checkbox"/>	(ii) No TIN required. <i>(Note: Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country jurisdiction i.e. a non- participating jurisdiction such as the United States)</i>
<input type="checkbox"/>	(iii) The account holder is otherwise unable to obtain a TIN <i>(Please explain why you are unable to obtain a TIN):</i> _____

Section 4: Type of Controlling Person (If applicable)

4.1 Please confirm if you are a Direct Account Holder or a Controlling Person:

<input type="checkbox"/>	I am a Direct Account Holder/Joint Account Holder
<input type="checkbox"/>	I am a Controlling Person of an Entity

4.2 Please select one of the following (ONLY to be completed by Controlling Persons)

ONLY to be completed by any individual who is a Controlling Person of an entity account holder which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating jurisdiction and managed by another Financial Institution.

Where there is more than one Controlling Person please use a separate Self-Certification form for each Controlling Person

Please confirm the type of Controlling Person applicable under CRS that applies to you/the account holder by ticking the appropriate box

	Type of Controlling Person	Entity Name
<input type="checkbox"/>	Controlling Person of a legal person – Control by ownership	
<input type="checkbox"/>	Controlling Person of a legal person – Control by other means	
<input type="checkbox"/>	Controlling Person of a legal person – Senior Managing Official	
<input type="checkbox"/>	Controlling Person of a trust – settlor	
<input type="checkbox"/>	Controlling Person of a trust – trustee	
<input type="checkbox"/>	Controlling Person of a trust – protector	
<input type="checkbox"/>	Controlling Person of a trust – beneficiary	
<input type="checkbox"/>	Controlling Person of a trust - other	
<input type="checkbox"/>	Controlling Person of a legal arrangement (non-trust) – settlor – equivalent	
<input type="checkbox"/>	Controlling Person of a legal arrangement (non-trust) – trustee – equivalent	
<input type="checkbox"/>	Controlling Person of a legal arrangement (non-trust) – protector – equivalent	
<input type="checkbox"/>	Controlling Person of a legal arrangement (non-trust) – beneficiary – equivalent	
<input type="checkbox"/>	Controlling Person of a legal arrangement (non-trust) – other – equivalent	

Section 5: Declaration and Undertakings

I declare that the information provided in this form is, to the best of my knowledge and belief it is true, accurate, and complete.

I acknowledge and consent to the fact that the information contained in this form and information regarding the account holder or Controlling Person may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the account holders or Controlling Person may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly within 30 days and provide an updated Self-Certification Form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect

Data Protection- Customer Information Notice

Common Reporting Standard (CRS)

CRS, formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information (AEOI), developed in the context of the Organisation for Economic Co-operation and Development (OECD).

The standard requires that Financial Institutions in participating jurisdictions gather certain information from Account Holders (and, in particular situations, also collect information in relation to relevant Controlling Persons of such Account Holders).

Under CRS account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such Account Holders) is to be reported to the relevant tax authority where the account is held), which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder's resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s).

Further information is available on the OECD website: <http://oecd.org/tax/automatic-exchange>

Foreign Account Tax Compliance Act (FATCA)

FATCA was signed into law by U.S. Congress on 18 March 2010. FATCA requires Foreign Financial Institutions ("FFI"s) located in countries which have entered into an Intergovernmental Agreement with the U.S., to exchange financial account information on U.S. Citizens and U.S. Tax Residents (U.S. Specified Persons) with the U.S. Inland Revenue Service ("IRS").

FFIs are required to conduct due diligence and gather information on account holders (and in particular situations, information in relation to relevant Controlling Persons of such account holders) and exchange such information to the relevant tax authority where the account is held, who will in turn share the information with the IRS.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments and TIN(s).

Print Name: _____

Authorised signature: _____

Date: (dd/mm/yyyy): _____

Capacity (of controlling person): _____

Appendix 2b

FATCA & Common Reporting Standard Self-Certification Form For ALL Entities

To be completed by ALL Entities (including trusts, companies, partnerships & financial institutions).

We are obliged under the U.S. Foreign Account Tax Compliance Act (FATCA) and the Common Reporting Standard (CRS) to collect certain information about each account holders tax arrangements. Please note that by completing this form you are providing personal data and we may be legally obliged to share this and other financial information with respect to an account holders or Controlling Persons interests in the Fund with the Funds local tax authority. They may in turn exchange this information, and other financial information with foreign tax authorities including tax authorities outside the EU.

For further information on CRS please refer to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/>

Instructions for completion

Section 1, 2, 4, 5 and 7 must be completed by all account holders

Section 3 FATCA Classification only applies to NON U.S. Persons

Section 6 to be completed by entities which are Passive Non Financial Entities or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution

Nominees/Intermediaries/Depositarys (the “Intermediary”): Where shares are held by an Intermediary which is a Reporting Model 1 Financial Institution (FI), this form should be completed by Intermediary itself rather than the underlying client. The Intermediary as a reporting FI will be responsible for FATCA/CRS tax reporting on the underlying client.

It is the responsibility of the account holder or Controlling Person to ensure that information provided is complete and accurate and, to provide U.S. Bank Global Fund Services (Ireland) Limited (as Fund administrator) or the Fund with any additional documentation or information when requested. If any of the information below about your tax residence or account holders FATCA/CRS classification changes in the future, you are required to notify us of these changes promptly.

If you have questions about this form or defining your tax residency status, please speak to your tax adviser or local tax authority.

Account holders that are individuals or Controlling Persons should not complete this form and should complete the form entitled “Self- Certification Form for Individual (including Controlling Persons).

A glossary of some commonly used terms is included in this document for reference purposes only and does not constitute tax advice. Further information can be found on the OECD CRS Information Portal (Section VIII Defined Terms):. <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

Section 1: Account Holders Identification (to be completed by all entity types)

Account holders Name (the “Entity”)	
Registered Address	
City, Town, State Province, County	
Postal Code	
Country	
Correspondence address (if different)	
City, Town, State Province, County	
Postal Code	
Date of Incorporation/Organisation	

Section 2: FATCA U.S. Declarations

Please tick (☐) (i),(ii) or (iii) below and complete as appropriate:

<input type="checkbox"/>	(i) The Entity is a Specified U.S. Person and the Entity’s U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows: U.S. TIN: _____
<input type="checkbox"/>	(ii) The Entity is a US person but not a Specified U.S. Person (Please also complete Sections 4 & 5) (Indicate exemption) : _____
<input type="checkbox"/>	(iii) The Entity is not a Specified U.S. Person (Please also complete Sections 3, 4 and 5)

Section 3: FATCA Classifications

All Non U.S. entities should have a FATCA classification, please note your FATCA classification may differ from your CRS classification in Section 5.

All Financial Institutions should complete 3.1 or 3.2 as appropriate all other entities should complete 3.3

Note: this section does not need to be completed if the entity is a U.S. Person per 2(a) or 2(c) above.

3.1 Financial Institutions under FATCA (with a GIIN):

Please provide the Entity’s Global Intermediary Identification Number (GIIN):

GIIN: _____

Please tick the appropriate FATCA classification below:

<input type="checkbox"/>	(i) Model 1 IGA Partner Jurisdiction Financial Institution (Model 1 Reporting FFI)
<input type="checkbox"/>	(ii) Registered Deemed Compliant Foreign Financial Institution
<input type="checkbox"/>	(iii) Participating Foreign Financial Institution
<input type="checkbox"/>	(iv) Limited Financial Institution

3.2 Financial Institutions under FATCA (without a GIIN)

If the Entity is a Financial Institution but unable to provide a GIIN, please tick **one** of the below:

<input type="checkbox"/>	<p>(i) GIIN not yet obtained but is sponsored by another entity which does have a GIIN*Please provide the sponsor's name and sponsor's GIIN:</p> <p>Sponsor's Name: _____</p> <p>Sponsor's GIIN: _____</p> <p><i>*this option is only available to Sponsored Investment Entities in Model 1 IGA jurisdictions. Sponsored Investment Entities that do not have U.S. reportable accounts are not required to register and obtain a GIIN with the IRS unless and until U.S. reportable accounts are identified.</i></p>
<input type="checkbox"/>	<p>(ii) Exempt Beneficial Owner: Please tick and confirm the category of Exempt Beneficial Owner;</p> <p> <input type="checkbox"/> Government Entity <input type="checkbox"/> International Organization <input type="checkbox"/> Foreign Central Bank <input type="checkbox"/> Exempt Retirement Fund <input type="checkbox"/> Collective Investment Vehicle Wholly Owned by Exempt Beneficial Owners. </p>
<input type="checkbox"/>	<p>(iii) Certified Deemed Compliant Foreign Financial Institution (Including a deemed compliant Financial Institution under Annex II of the IGA Agreement)</p> <p>Indicate exemption: _____</p>
<input type="checkbox"/>	(iv) Non-Participating Foreign Financial Institution (NPFFI)
<input type="checkbox"/>	<p>(v) Excepted Foreign Financial Institution</p> <p>Indicate exemption: _____</p>
<input type="checkbox"/>	<p>(vi) Trustee Documented Trust. Please provide your Trustee's name and GIIN</p> <p>Trustee's Name: _____</p> <p>Trustee's GIIN: _____</p>

3.3. Non-Financial Institutions (Non-Financial Foreign Entity (NFFE)) under FATCA:

If the Entity is **not** a Financial Institution, please tick the Entity's FATCA classification below:

<input type="checkbox"/>	(i) Active Non-Financial Foreign Entity (NFFE)
<input type="checkbox"/>	(ii) Passive Non-Financial Foreign Entity (NFFE) <i>(Please tick the box that applies)</i> <input type="checkbox"/> with no Controlling Persons that are specified U.S Person <input type="checkbox"/> with Controlling Persons that are specified U.S Persons. <i>(If this box is ticked, please indicate the name of all natural Controlling Person(s) of the Entity in section 6 below and separately complete and include self-certification forms for each of your Controlling Persons)</i>
<input type="checkbox"/>	(iii) Excepted Non-Financial Foreign Entity (NFFE)
<input type="checkbox"/>	(iv) Direct Reporting Non-Financial Foreign Entity (NFFE) Please provide your GIIN: _____

Section 4: CRS Declaration of Tax Residency/Residencies

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")). Please refer to the OECD CRS Web Portal for AEOI for more information on Tax Residence: <http://www.oecd.org/tax/automatic-exchange/>.

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

Country of Tax Residence	Tax ID Type	Tax ID Number

Note a TIN is required, If a TIN is unavailable, please provide a reason as follows:

<input type="checkbox"/>	(i) The country /jurisdiction where the account holder is resident does not issue TINs or TIN equivalents to its residents.
<input type="checkbox"/>	(ii) No TIN required. <i>(Note: Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country jurisdiction i.e. a non- participating jurisdiction such as the United States)</i>
<input type="checkbox"/>	(iii) The account holder is otherwise unable to obtain a TIN <i>(Please explain why you are unable to obtain a TIN):</i> _____

Section 5: CRS Classifications

All Account Holders must disclose their CRS classification by completing Section 5.1 or 5.2 as appropriate. Financial Institutions should complete section 5.1 and Non-financial entities should complete section 5.2

Please note an Entity's CRS classification may differ from its FATCA classification in Section 3 above). In addition please note that the information that the Entity has to provide may differ depending on whether they are resident in a participating or non-participating CRS Jurisdiction. For more information please see the OECD CRS Standard and associated commentary:

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

5.1 Financial Institutions under CRS:

If the Entity is a Financial Institution, **Resident in either a Participating or Non-Participating CRS Jurisdiction** please review and tick one of the below categories that applies and specify the type of Financial Institution below.

Note: A list of participating jurisdictions can be found at <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/crs-by-jurisdiction/>

<input type="checkbox"/>	(i) A Reporting Financial Institution resident in a participating CRS jurisdiction
<input type="checkbox"/>	(ii) A Financial Institution Resident in a Non-Participating CRS Jurisdiction <i>Please also tick the box that applies:</i> <input type="checkbox"/> managed by another Financial Institution <i>(If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity in section 6 below and complete a separate individual self-certification forms for each of your Controlling Persons“)</i> <input type="checkbox"/> not managed by another Financial Institution <input type="checkbox"/> Other Financial Institution , including a Depository Financial Institution, Custodial
<input type="checkbox"/>	(iii) Non-Reporting Financial Institution under CRS. <i>Specify the type of Non-Reporting Financial Institution below:</i> <input type="checkbox"/> Governmental Entity <input type="checkbox"/> International Organization <input type="checkbox"/> Central Bank <input type="checkbox"/> Broad Participation Retirement Fund <input type="checkbox"/> Narrow Participation Retirement Fund <input type="checkbox"/> Pension Fund of a Governmental Entity, International Organization, or Central Bank <input type="checkbox"/> Exempt Collective Investment Vehicle <input type="checkbox"/> Trust whose trustee reports all required information with respect to all CRS Reportable Accounts <input type="checkbox"/> Qualified Credit Card Issuer <input type="checkbox"/> Other Entity defined under the domestic law as low risk of being used to evade tax. <input type="checkbox"/> Specify the type provided in the domestic law: _____

5:2 Non-Financial Institution (Non-Financial Foreign Entity/NFE) under CRS:

If the Entity is a *not defined as a Financial Institution under CRS* then, please tick one of the below categories confirming if you are an Active or Passive NFE.

<input type="checkbox"/>	<p>(i) Active Non-Financial Entity (NFE) – a corporation the stock of which is regularly traded on an established securities market.</p> <p>Please provide the name if the established securities market on which the corporation is regularly traded: _____</p> <p>_____</p>
<input type="checkbox"/>	<p>(ii) Active Non-Financial Entity (NFE) – if you are a Related Entity of a regularly traded corporation.</p> <p>Please provide the name of the regularly traded corporation that the Entity is a Related Entity of: _____</p> <p>Please provide details of the securities market that the entity is listed on : _____</p> <p>_____</p>
<input type="checkbox"/>	<p>(iii) Active Non-Financial Entity (NFE) – a Government Entity or Central Bank</p>
<input type="checkbox"/>	<p>(iv) Active Non-Financial Entity (NFE) – an International Organization</p>
<input type="checkbox"/>	<p>(v) Active Non-Financial Entity (NFE) – other than those listed in I, II, III or IV above. (for example a start-up NFE or a non-profit NFE)</p>
<input type="checkbox"/>	<p>(vi) Passive Non-Financial Entity (NFE) - (if this box is ticked, please also complete Section 6 Controlling Person(s) below and indicate the name of all natural Controlling Person(s) of the Entity and complete a separate Individual Self-Certification Form for each of your Controlling Person(s)</p>

Section 6: Controlling Person(s)

This section need only be completed by entities which are Passive Non Financial Entities or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.

See glossary for definition of a Controlling Person. If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard: <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

6.1 Indicate the name of all Controlling Person(s) of the Account Holder:

N.B Please note that each Controlling Person must complete a Separate Individual Self-Certification form.

(i) _____

(ii) _____

(iii) _____

Note: In case of a trust, Controlling Persons means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiary(ies), AND any other natural person(s) exercising ultimate effective control over the trust. With respect to an Entity that is a legal person, if there are no natural person(s) who exercise control over the Entity, then the Controlling Person will be the natural person who holds the position of senior managing official of the Entity

6.2 Complete a separate Individual (Controlling Person's) Self-Certification for FATCA and CRS (page 1-5) for each Controlling Person listed in Section 6.1.

Section 7: Declaration and Undertakings

I/we declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief it is true, correct, and complete.

I acknowledge and consent to the fact that the information contained in this form and information regarding the account holder and any Controlling persons may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the account holder and any Controlling Persons may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We on behalf of the Entity undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

I/We hereby confirm that I/we is/are duly authorised to sign this declaration on behalf of the account holder.

Print Name: _____

Title/Capacity: _____

Authorised Signature: _____

Date: (dd/mm/yyyy): _____

Print Name: _____

Title/Capacity: _____

Authorised Signature: _____

Date: (dd/mm/yyyy): _____

The following supporting glossary of terms is for reference purposes only and does not constitute tax advice. Please note that local legislation may define the meaning of certain terms differently. Clients should consider such local legislation and seek appropriate external tax advice, where necessary.

<p>Active Non-Financial Foreign Entity (Active NFFE) (FATCA)</p> <p>*Please note that this definition differs under CRS. Please see CRS definition below</p>	<p>An “Active NFFE” means any NFFE that meets any of the following criteria:</p> <p>a) Less than 50 percent of the NFFE’s gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;</p> <p>b) The stock of the NFFE is regularly traded on an established securities market or the NFFE is a Related Entity of an Entity the stock of which is traded on an established securities market;</p> <p>c) The NFFE is organized in a U.S. Territory and all of the owners of the payee are bona fide residents of that U.S. Territory;</p> <p>d) The NFFE is a non-U.S. government, a government of a U.S. Territory, an international organization, a non-U.S. central bank of issue, or an Entity wholly owned by one or more of the foregoing;</p> <p>e) Substantially all of the activities of the NFFE consist of holding (in whole or in part) the outstanding stock of, and providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an NFFE shall not qualify for this status if the NFFE functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;</p> <p>f) The NFFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution; provided, that the NFFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFFE;</p> <p>g) The NFFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;</p> <p>h) The NFFE primarily engages in financing and hedging transactions with or for Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;</p> <p>Or</p> <p>i) The NFFE meets all of the following requirements:</p> <p>a. It is established and maintained in its country of residence exclusively for religious, charitable, scientific, artistic, cultural, or educational purposes;</p> <p>b. It is exempt from income tax in its country of residence;</p> <p>c. It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;</p> <p>d. The applicable laws of the Entity’s country of residence or the Entity’s formation documents do not permit any income or assets of the Entity to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the Entity’s charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the Entity has purchased;</p> <p>and</p> <p>e. The applicable laws of the Entity’s country of residence or the Entity’s formation documents require that, upon the Entity’s liquidation or dissolution, all of its assets be distributed to a governmental Entity or other non-profit organization, or escheat to the government of the Entity’s country of residence or any political subdivision thereof.</p>
<p>Active Non-Financial Entity (Active NFE) (CRS)</p>	<p>An “Active NFE” means any NFE that meets any of the following criteria:</p> <p>a) Less than 50 percent of the NFE’s gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;</p> <p>b) The stock of the NFE is regularly traded on an established securities market or the NFE is a Related Entity of an Entity the stock of which is traded on an established securities market;</p> <p>c) The NFE is a Governmental Entity, an International Organization, a Central Bank or an Entity wholly owned by one or more of the foregoing;</p>

	<p>d) Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, and providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an NFE shall not qualify for this status if the NFFE functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;</p> <p>e) The NFFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution; provided, that the NFFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFFE;</p> <p>f) The NFFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;</p> <p>g) The NFFE primarily engages in financing and hedging transactions with or for Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;</p> <p>Or</p> <p>h) The NFFE meets all of the following requirements:</p> <ol style="list-style-type: none"> It is established and maintained in its country of residence exclusively for religious, charitable, scientific, artistic, cultural, or educational purposes; It is exempt from income tax in its country of residence; It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the Entity's country of residence or the Entity's formation documents do not permit any income or assets of the Entity to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the Entity's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the Entity has purchased; <p>and</p> <ol style="list-style-type: none"> The applicable laws of the Entity's country of residence or the Entity's formation documents require that, upon the Entity's liquidation or dissolution, all of its assets be distributed to a governmental Entity or other non-profit organization, or escheat to the government of the Entity's country of residence or any political subdivision thereof.
Certified Deemed-Compliant FFI	<p>A type of deemed-compliant FFI that is not required to register with the IRS as described in in §1.1471-5(f)(2) of the U.S. Treasury Regulations or that is otherwise treated as a deemed-compliant FFI under Annex II of an IGA. In order to qualify as a certified deemed-compliant FFI, an entity must meet the requirements to qualify as one of the following types of FFIs: (i) non-registering local bank; (ii) FFI with only low value accounts; (iii) sponsored, closely held investment vehicle; (iv) limited life debt investment entity; or (v) any non-reporting IGA FFI; or (vi) any other Deemed Compliant FFI as may be described in an Annex II of an IGA.</p>
Common Reporting Standard	<p>The standard for automatic exchange of financial account information developed by the OECD, with G20 countries, presented to the G20 in 2014 and published on the OECD website.</p>
Controlling Person(s)	<p>The term "Controlling Persons" means:</p> <ol style="list-style-type: none"> The natural persons who exercise control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. <p>The term "Controlling Persons" shall be interpreted in a manner consistent with the Recommendations of the Financial Action Task Force.</p>
Crown Dependencies and Overseas Territories (CDOT)	<p>Crown Dependencies include Jersey, Guernsey, Isle of Man and Overseas Territories include Cayman Islands, Bermuda, Montserrat, Turks & Caicos, British Virgin Islands, Anguilla and Gibraltar.</p>

Direct Reporting Non-Financial Foreign Entity (Direct Reporting NFFE)	A Direct Reporting NFFE is an entity as described in the U.S. Treasury Regulations that chooses to register with the IRS and elects to report information about its direct or indirect substantial U.S. owners to the IRS. A Direct Reporting NFFE will also be required to register with the IRS to obtain its Global Intermediary Identification Number (GIIN).
Exempt Beneficial Owner	An exempt beneficial owner means any person or entity as described in §1.1471-6(b) through (g) of the U.S. Treasury Regulations or that is otherwise treated as an exempt beneficial owner pursuant to an IGA. Some examples include Governmental entities, International Organizations, Central Banks, or Retirement Funds. Exempt Beneficial Owners are Non-Reporting Financial Institutions.
Excepted Non-Financial Foreign Entity (<i>Excepted NFFE</i>)	Excepted NFFEs include: (i) publicly traded corporations and affiliates; (ii) territory entities; (iii) exempt beneficial owners (foreign governments and their wholly owned agencies and instrumentalities, international organisations, central banks of issue, governments of U.S. territories, certain non-U.S. retirement funds, entities wholly owned by exempt beneficial owners, and any entity treated as an exempt beneficial owner under an IGA); (iv) Active NFFEs.
FATCA	Foreign Account Tax Compliance Act is a U.S. information reporting regime aimed at foreign (non-U.S.) financial institutions.
Foreign Financial Institution (FFI)	Any entity that is not a U.S. Person and as described in §1.1471-5(d) of the U.S. Treasury Regulations or as otherwise described in an IGA. Includes Custodial Institutions, Depository Institutions, Investment Entities, Specified Insurance Companies and under the U.S. Treasury Regulations also Relevant Holding Companies or Treasury Companies or any other entity otherwise described in an IGA.
Global Intermediary Identification Number (GIIN)	The identification number that is assigned to participating FFIs registered deemed-compliant FFIs, and reporting 'Model 1' FFIs.
Intergovernmental Agreement (IGA) – U.K.	Means an Intergovernmental Agreement entered into between the U.K and its Crown dependencies and Overseas Territories CDOT. The U.K. has adopted a similar approach to a U.S. Model 1 IGA , requiring FIs located in CDOTs to report equivalent information on financial accounts held by Specified U.K. Person to the partner jurisdictions government, followed by automatic exchange of reported information to the HM Revenue & Customs (HMRC).
Investment Entity	Any entity that conducts as a business (or is managed by an entity that conducts as a business) one or more of the following activities or operations for or on behalf of a customer: ▶ trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; ▶ individual and collective portfolio management; or ▶ otherwise investing, administering, or managing funds or money on behalf of other persons.
Limited Foreign Financial Institution (Limited FFI)	Limited FFI as described in the U.S. Treasury Regulations is an FFI that is a member of an expanded affiliated group that cannot comply with FATCA because of the laws of its jurisdiction but agrees to a conditional status.
Model 1 IGA Partner Jurisdiction Financial Institution – U.S.	This is a FFI in a Model 1 IGA jurisdiction that performs account holder reporting to the jurisdiction's government for the purposes of FATCA. Model 1 IGA means an agreement between the U.S. and a partner jurisdiction to implement FATCA through reporting by FFIs to such government, followed by automatic exchange of reported information with the IRS. FFIs located in Model 1 Jurisdictions will also be required to register with the IRS to obtain its Global Intermediary Identification Number (GIIN) by January 1st 2015.
Non-Participating FFI (NPFFI)	An FFI that is not a participating FFI, a deemed-compliant FFI or an exempt beneficial owner.
Participating Foreign Financial Institution (PFFI)	An FFI that has agreed to comply with the requirements of an FFI agreement, including an FFI described in a 'Model 2' IGA. The term also includes a Qualified Intermediary branch of a U.S. financial institution, unless the branch is a reporting 'Model 1' FFI.
Passive Non-Financial Foreign Entity (Passive NFFE) (FATCA) *Please note that this	An NFFE that is not an Active NFFE.

definition differs under CRS.	
Passive Non-Financial Entity (Passive NFE) (CRS)	(I) AN NFE that is not an Active NFE; and (II) an Investment Entity described in subparagraph A(6)(b)Section VIII of the CRS.
Registered Deemed-Compliant FFI	A type of deemed-compliant FFI as described in §1.1471-5(f)(1) of the U.S. Treasury Regulations that is required to register with the IRS, but not required to enter into an FFI agreement. A deemed-compliant FFI must meet certain criteria. There are many different types of registered deemed-compliant FFIs: (i) local FFIs; (ii) non-reporting members of participating FFI groups; (iii) qualified collective investment vehicles; (iv) restricted funds; (v) qualified credit card issuers; (vi) sponsored investment entities and controlled foreign corporations; and (vii) any FFI, branch of an FFI that is a reporting 'Model 1' FFI that complies with the registration requirements of a 'Model 1' IGA.
Specified Insurance Company	Any entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.
Specified U.K. Person	<p>A person or entity who is resident in the United Kingdom for tax purposes and includes a person or Entity who is resident in both the United Kingdom and a Crown Dependency or Overseas Territory, under the respective domestic law of each Party, other than:</p> <ul style="list-style-type: none"> ▶ A corporation the stock of which is regularly traded on one or more established securities markets; ▶ A corporation that is a member of the same affiliated group, as defined in Section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described above; ▶ A Depository Institution; ▶ A broker or dealer in securities, commodities, or derivative financial instruments (including notional principle contracts, futures, forwards, and options) that is registered as such under the laws of the United Kingdom; or ▶ A Non-Reportable United Kingdom Entity
Specified U.S. Person	<p>Any U.S. Person other than:</p> <ul style="list-style-type: none"> ▶ A corporation, the stock of which is regularly traded on one or more established securities markets or a corporation that is a member of the same expanded affiliated group as such publicly traded corporation. ▶ Any organisation exempt from taxation under section 501(a) or an individual retirement plan as defined in section 7701(a)(37). ▶ The United States or any wholly owned agency or instrumentality thereof. ▶ Any state, any U.S. territory, any political subdivision or any wholly owned agency of the foregoing. <p>And, as defined in the Internal Revenue Code:</p> <ul style="list-style-type: none"> ▶ Any bank. ▶ Any real estate investment trust. ▶ Any regulated investment company or any entity registered with the SEC under the Investment Company Act. ▶ Any common trust fund. ▶ Any trust that is exempt from tax under IRC Section 664(c) or is described in Section 4947(a)(1). ▶ A dealer in securities, commodities or derivative financial instruments that is registered as such under the laws of the U.S. ▶ A broker. ▶ Any tax exempt trust under a section 403 (b) plan or section 457 (g) plan.
TIN	The Term "TIN" means Taxpayer Identification Number (or functional equivalent in the absence of a Taxpayer Identification Number).
U.S. Person	<p>The term 'United States person' means:</p> <ul style="list-style-type: none"> ▶ A citizen or resident of the United States. ▶ A partnership organized in the United States or under the laws of the United States or any State thereof. ▶ A corporation organized in the United States or under the laws of the United States or any State thereof. ▶ Any estate of a decedent that is a citizen or resident of the United States. ▶ Any trust if (i) a court within the United States is able to exercise primary supervision over the administration of the trust, and (ii) one or more United States persons have the authority to control all substantial decisions of the trust.

U.S. Resident	A U.S. resident includes someone who has a lawful permanent resident status in the United States (e.g., a 'green card' holder) or someone who is considered a U.S. taxpayer under the substantial presence test contained in the Internal Revenue Code.
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