

# First State Global Umbrella Fund PLC (“Company”)

(an umbrella fund with segregated liability between subfunds)

## Application form for Corporate Investors (Master Copy)

Minimum initial investment is US \$1,500/GBP £1,000/ EURO €1,500 for Class I or

US \$500,000/GBP £350,000/EURO €500,000/YEN ¥50,000,000 for Class III and US \$500,000 for Class VI

Before completing this form, please read the statement overleaf on the use of customer information by First State Investments (UK) Limited (“FSI (UK)”) or its group companies. Please ensure that you complete all relevant sections of the form, including the reverse. Return the form to HSBC Securities Services (Ireland) Ltd, 1 Grand Canal Square, Grand Canal Harbour, Dublin 2, Ireland, or send it by fax to +353 1 649 7560. (\*Optional fields, in the case of daytime or evening telephone numbers at least one number must be provided). Terms defined in the prospectus of the Company (“Prospectus”) shall, unless the context requires otherwise, bear the same meaning herein.

**Applicants resident outside of Ireland are required by the Irish Revenue Commissioners to sign the “Declaration of Residence Outside Ireland” on page 2.**

IN ORDER THAT WE CAN OPEN ACCOUNTS AND PAY COMMISSION, PLEASE COMPLETE THIS INFORMATION.	
Stamp	Based on the information provided in this application form, commission payable to the advisor is as follows: Initial – \$ / % Trail Commission
Country	
Regulated by	
Regulatory Authorisation/Licence Ref.	

### 1. Applicant details

#### 1A. Corporate applicant (e.g.: adviser investing on behalf of his/her clients)

Name

Contact person (full name)

Telephone  Country Code  Area Code  Tel

Email address

Existing client no. (if applicable)

Fax  Country Code  Area Code  Tel

Place of incorporation

Correspondence address

Postcode

Country

Designation

#### Tax residency

Please list below your country/ies of tax residency and applicable Tax ID / TIN (if applicable, continue on a blank sheet and attach to this form).

Tax Resident Country	<input type="text"/>	Tax ID / TIN**	<input type="text"/>
Tax Resident Country	<input type="text"/>	Tax ID / TIN**	<input type="text"/>
Tax Resident Country	<input type="text"/>	Tax ID / TIN**	<input type="text"/>

\*\* A Tax ID / TIN is a Tax Identification Number which is issued by your country of residence for tax purposes. If no country of tax residency, please provide country/ies of effective management.

### Foreign Account Tax Compliance Act (“FATCA”) and Common Reporting Standard (“CRS”)

Global Intermediary Identification No. (“GIIN”)

(Please select your entity status)

<input type="checkbox"/> Participating Foreign Financial Institution	<input type="checkbox"/> Sponsored Entity
<input type="checkbox"/> Registered Deemed Compliant Foreign Financial Institution	Sponsored Entity’s Name <input type="text"/>
<input type="checkbox"/> US Financial Institution	<input type="checkbox"/> Trustee Documented Trust
<input type="checkbox"/> Direct reporting Non-Financial Foreign Entity	Trustee’s Name <input type="text"/>

If the entity status and GIIN have been provided please proceed to section F, Common Reporting Standard below.

If you have not provided your entity status and GIIN above, select  only ONE of the following categories and provide the requested information

#### A. United States Persons

If you answered “Yes” then please provide the entity’s US Taxpayer Identification Number (“TIN”) (if not already provided) Yes  No

TIN

Are you a Specified United States Person? Yes  No

If the entity is a United States Person this section is now complete, please proceed to section F, Common Reporting Standard below. If not, proceed to section B below.

#### B. Financial Institution (custodial or depository institution, an investment entity or a specified insurance company for FATCA purposes)

Please tick your status below:

IGA Foreign Financial Institution

Registered Deemed Compliant Foreign Financial Institution

Certified Deemed Compliant Foreign Financial Institution

Non-Participating Foreign Financial Institution

If you have ticked a FATCA status above, please proceed to section F, Common Reporting Standard below. If not, please proceed to section C below.

**C. Non-Financial Entity (NFE)**

Are you an Active NFE (including an Excepted NFFE as defined in FATCA)

Yes  No

If yes, please proceed to Investment Details below. If no, is your entity's primary business activity investing?

Yes  No

Select "Yes" if:

The entity earns more than 50% of its total income from investment activities; for example, rent, interest or dividends or

More than 50% of the entity's assets produce or are held for producing investment income

If yes, proceed to section G Controlling Person section below. If no, proceed to Section D.

**D. Government Entity, Central Bank, International Organisation** (exempt Beneficial Owners under FATCA)

Yes  No

If yes, please proceed to Investment Details. If no proceed to section E below.

**E. Listed Public Company or Subsidiary of a Listed Public Company**

Yes  No

If yes, please proceed to Investment Details. If no, proceed to section F below.

**F. Common Reporting Standard (CRS)**

Yes  No

Are you an Investment Entity as defined in CRS.

Yes  No

If no, proceed to Investment Details. If yes, are you located in a Non-Participating Jurisdiction (as defined in CRS)

If yes, are you managed by another financial institution and is 50% or more of your gross income derived from investing, reinvesting or trading in financial assets?

Yes  No

If yes, proceed to section G Controlling Person section below.

If no, proceed to Investment Details.

**G. Controlling Person Section**

Please complete this section in respect of each Controlling Person of the entity. Controlling Persons means the individual(s) who exercise control over an entity. For companies and similar legal persons this will include individuals that directly or indirectly beneficially own 25% or more of the value or voting rights in relation to the entity. If there are no individuals who exercise control over the entity through ownership or other means, then the Controlling Persons will be the individual(s) who hold the position of senior managing official. For trusts and other similar legal arrangements, Controlling Persons will include the settlor, the trustee(s), the protector (if any), the beneficiaries and any other individual exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, such term means persons in equivalent on similar positions.

Full name	Full residence address including postcode and country (and mailing address, if different)	Country/ Countries of Tax residence	Tax ID / TIN**	Date of Birth

**1B. Personal details of Trustees or Signatories**

**First applicant**

Title  Surname

Forename(s) in full

Permanent address

Postcode  Country

\*Telephone (daytime) Country Code  Area Code  Tel

\*Telephone (evening) Country Code  Area Code  Tel

Date of birth

Country of Birth

City/Town of Birth

ID/Passport number  Tax ID / TIN\*\*

Email address

Existing client no. (if applicable)

Designation

**Second applicant**

Title  Surname

Forename(s) in full

Permanent address

Postcode  Country

\*Telephone (daytime) Country Code  Area Code  Tel

\*Telephone (evening) Country Code  Area Code  Tel

Date of birth

Country of Birth

City/Town of Birth

ID/Passport number  Tax ID / TIN\*\*

Email address

Existing client no. (if applicable)

Designation

## 2. Investment details

Please indicate which fund you wish to invest in (each being referred to as a "Fund", with shares (of whatever class) in each Fund being referred to as "Shares" in this form). The minimum investment is US \$1,500/GBP £1,000/EURO €1,500 per Fund for Class I or US \$500,000/GBP £350,000/EURO €500,000/YEN ¥50,000,000 for Class III and US \$500,000 for Class VI. Please state which share class you wish to invest in. In the absence of agreement to the contrary, your investment will be made in Share Class I in each Fund. All shares will be issued in non-certified form.

Fund	Amount	Share type (please tick)		Class (please tick)		
		Dis	Acc	I	III	VI
<input type="text"/>	US \$/E/€/¥ <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fund <input type="text"/>	Amount <input type="text"/>	Dis <input type="checkbox"/>	Acc <input type="checkbox"/>	I <input type="checkbox"/>	III <input type="checkbox"/>	VI <input type="checkbox"/>
Fund <input type="text"/>	Amount <input type="text"/>	Dis <input type="checkbox"/>	Acc <input type="checkbox"/>	I <input type="checkbox"/>	III <input type="checkbox"/>	VI <input type="checkbox"/>
Fund <input type="text"/>	Amount <input type="text"/>	Dis <input type="checkbox"/>	Acc <input type="checkbox"/>	I <input type="checkbox"/>	III <input type="checkbox"/>	VI <input type="checkbox"/>
Fund <input type="text"/>	Amount <input type="text"/>	Dis <input type="checkbox"/>	Acc <input type="checkbox"/>	I <input type="checkbox"/>	III <input type="checkbox"/>	VI <input type="checkbox"/>

## 3. Payment details

Telegraphic transfer (preferred method)

Please make your cheques payable to "HSBC Securities Services (Ireland) Limited – House (FSI Collection)".

Cheque

Bank draft

Cheque number of

Bank draft number of

Amount

Amount

Please state clearly on the remittance advice "Re – name of Fund" and "Ref – name of applicant"

### For remittances:

Bank	Account	Account No.
<b>US \$</b> HongKong and Shanghai Banking Corporation Limited 1 Queen's Road, Central, Hong Kong	HSBC Securities Services (Ireland) Limited House (FSI Collection)	741-003560-201
<b>Euro €</b> HongKong and Shanghai Banking Corporation Limited 1 Queen's Road, Central, Hong Kong	HSBC Securities Services (Ireland) Limited House (FSI Collection)	741-003560-220
<b>GBP £</b> HongKong and Shanghai Banking Corporation Limited 1 Queen's Road, Central, Hong Kong	HSBC Securities Services (Ireland) Limited House (FSI Collection)	741-003560-202
<b>YEN ¥</b> HongKong and Shanghai Banking Corporation Limited 1 Queen's Road, Central, Hong Kong	HSBC Securities Services (Ireland) Limited House (FSI Collection)	741-003560-207

## 4. Applicant Bank Account Details

The bank details for receipt of redemption proceeds are as outlined below. We undertake to inform the Administrator/FSI (UK) in writing of changes to those details immediately. In the event that we require payment of redemption proceeds to an account other than that specified hereunder, we must provide a request in writing, executed by an authorised signatory, to the Administrator/FSI (UK) on or prior to the date of receipt of the redemption request form.

Name of Bank or Building Society	<input type="text"/>	Name of account holder	<input type="text"/>
Address	<input type="text"/>	Account no.	<input type="text"/>
		Sort code	<input type="text"/>
Postcode	<input type="text"/>	Building society roll number	<input type="text"/>

Redemption proceeds will only be returned to an account held in the name of the registered Shareholder(s). No third party payments will be made.

## 5. Income (if applicable)

We can pay income where you have chosen distributing shares and the Fund is making a distribution to its shareholders. If you would like any income to be paid directly to your bank or building society account, please complete this section. If you have chosen accumulation shares, or do not request to have income paid to you, or do not complete this section, any income will be automatically reinvested for you.

Name of Bank or Building Society	<input type="text"/>	Name of account holder	<input type="text"/>
Address	<input type="text"/>	Account no.	<input type="text"/>
		Sort code	<input type="text"/>
Postcode	<input type="text"/>	Country	<input type="text"/>
		Building society roll number	<input type="text"/>

## 6. Policy of Charging Expenses

It should be noted that 100 per cent of the investment management fees and operational expenses of the First State Asian Equity Plus Fund, the First State Asian Property Securities Fund, the First State Global Emerging Markets Debt Fund, the First State Emerging Markets Bond Fund, the First State Global Property Securities Fund and the First State Global Listed Infrastructure Fund will be charged to the capital of the relevant Fund. The policy seeks to increase the amount of distributable income of the Fund but this may be achieved by foregoing the potential for future growth. Applicants should note that this policy will have the effect of lowering the capital value of their investment in the First State Asian Equity Plus Fund, the First State Asian Property Securities Fund, the First State Global Emerging Markets Debt Fund, the First State Emerging Markets Bond Fund, the First State Global Property Securities Fund and the First State Global Listed Infrastructure Fund. Thus on redemption of holdings, Applicants may not receive back the full amount invested.

## 7. Declaration of Residence Outside Ireland

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares. Terms used in this declaration are defined in the Prospectus. Delete (a) or (b) as appropriate.

(a) Declaration on own behalf (\* Delete as appropriate)

I/we\* declare that I am/we are\* applying for the Shares on my own/our own behalf/on behalf of a company\* and that I am/we are/the company is\* entitled to the Shares in respect of which this declaration is made and that:

- I am/we are/the company is\* not currently resident or ordinarily resident in Ireland; and
- should I/we/the company\* become resident in Ireland I/we\* will so inform you, in writing, accordingly.

(b) Declaration as Intermediary (\* Delete as appropriate)

Note: An "Intermediary" means a person who carries on a business which consists of, or includes, the receipt of payments from an investment undertaking resident in Ireland on behalf of other persons, or who holds units in an investment undertaking on behalf of other persons.

I/we\* declare that I am/we are\* applying for Shares on behalf of persons:

- who will be beneficially entitled to the Shares; and
- who, to the best of my/our\* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/we\* also declare that:

- unless I/we\* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us\* from the date of this application will be made on behalf of such persons; and
- I/we\* will inform you in writing if I/we\* become aware that any person, on whose behalf I/we\* holds Shares, becomes resident in Ireland.

**First applicant**

<b>Signature:</b>
<b>Date:</b>

**Second applicant**

<b>Signature:</b>
<b>Date:</b>

**IMPORTANT NOTES**

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, this declaration must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. Applicants who are Exempt Irish Residents should contact the Administrator/Registrar for the alternative declaration available for Exempt Irish Residents.
4. No application (including any transfer application) will be processed until all required information has been provided by the applicant. The Authorised Corporate Director reserves the right to reject any application at its sole discretion.
5. Irish legislation requires the Company to collect and disclose certain information about each investor and certain related persons and their investments in the Company to the Irish Revenue Commissioners who may forward such information to tax authorities overseas. This legislation (as amended, supplemented or replaced from time to time) was enacted pursuant to an intergovernmental agreement signed by Ireland with the United States to implement the US Foreign Account Tax Compliance Act ("FATCA"), a multilateral agreement Ireland signed with various jurisdictions to implement a similar regime known as the OECD Common Reporting Standard ("CRS", and together with FATCA and similar regimes, the "AEOI regimes") and EU Council Directive 2014/107 implementing the CRS and mandatory automatic exchange of taxation information within the EU. The Company may have further future obligations under the CRS and similar AEOI regimes. All prospective investors should consult with their own advisors regarding the possible implications and classifications under the AEOI regimes of an investment in the Company.
6. The Company, Administrator and the Distributor reserve the right to request additional documents as are necessary to verify the identity of the applicant(s) for the purpose of anti-money laundering, counter terrorist financing and any other laws or regulations.

**8. Your signature and declaration**

Please read the statements in the box below and under the heading "Declarations" before you sign and date this application form. By signing you confirm that you have read and understood this form, and that you understand that any investment made by you is on the terms of the Company's Prospectus. All joint applicants should sign this form. A corporation should sign under the hand of a duly authorised official.

**Declarations: To the Company**

1. I/we hereby declare that we are:
  - (i) a legal person not being an individual and not acting in a representative capacity on behalf of an individual; or
  - (ii) an entity liable to corporation tax in Ireland or an equivalent tax in another country; or
  - (iii) an undertaking for collective investments in transferable securities ("UCITS") or have elected for the purposes of the EU Savings Directive to be treated as a UCITS.
 If the Legal Person is unable to make any of these declarations please contact the Administrator/FSI (UK).
2. I/we have checked the information about me/us in this form and otherwise provided by me/us and it is correct to the best of my/our knowledge and belief. I/we undertake to notify the Administrator/FSI (UK) of any changes to the information as soon as reasonably possible;
3. I/we understand and accept having received and considered the Prospectus that this investment involves risks and accept that this application is made solely on the terms and conditions of the Prospectus in relation to the Company as currently in issue and subject to the provisions of the constitutive documents of the Company and the material contracts disclosed in the Prospectus.
4. I/we acknowledge that we have been offered the choice of receiving the Prospectus and the KIID on paper and in electronic form by means of a website or by email and hereby specifically consent to receiving the KIID in electronic form by way of accessing the latest version of the document online.
5. I/we acknowledge that the KIIDs can be obtained from the website [www.firststateinvestments.com](http://www.firststateinvestments.com) or hard copies can be obtained by contacting Client Services on 0800 587 4141 (+44 131 525 8870).
6. I/we have received and read the KIID for the relevant share class.
7. I/we hereby undertake and agree to accept any number of Shares in respect of which this application may be accepted. I/we understand that the Directors reserve the right to restrict or prevent the ownership of Shares by any person, firm or corporation including but not limited to the circumstances outlined in the Prospectus.
8. Furthermore we acknowledge that the Company reserves the right to reject at its absolute discretion any application for Shares in a Fund.
9. I/we declare that we are not a United States Person(s) as defined in the Prospectus and that we are not acting on behalf of a United States Person(s) nor do we intend selling or transferring any Shares which we may purchase to any person who is a United States Person.
10. Unless otherwise specified we are not a (i) "Specified United States Person" under the tax laws of the U.S.\*\*\* or a non-U.S. entity with one or more Specified United States Persons as "substantial United States owners" defined in the U.S. Tax Withholding and Reporting regulations under the Foreign Account Tax Compliance Act ("FATCA") or (ii) "Controlling Persons" for the purposes of CRS.
 

\*\*\*For this purpose, a "Specified United States Person" will include, subject to certain exceptions, (A) an individual who is a citizen or resident of the U.S., (B) a partnership or corporation (including any entity treated as a partnership or corporation for U.S. tax purposes, such as a limited liability company) organised in or under the laws of the U.S. or any state of the U.S. thereof (including the District of Columbia), (C) any estate the income of which is subject to U.S. tax regardless of its source, and (D) any trust if (i) a court within the U.S. is able to exercise primary supervision over the administration of the trust and (ii) one or more United States persons have the authority to control all substantial decisions of the trust.

I/we declare that I/we do not intend to sell or transfer any Shares/Units which I/we may purchase to any person who is a Specified United States Person.
11. I/we are not a person resident or otherwise located in Canada.
12. I/we confirm that we have the authority to make the investment pursuant to this Application Form whether the investment is our own or is made on behalf of another person or institution.
13. I/we have made arrangements for payment of the amount set out above in accordance with the payment instructions detailed above and in the Prospectus.
14. I/we acknowledge and confirm that we are permitted but not obliged to avail of the facility to send subsequent subscription and redemption requests electronically in accordance with the terms of the Prospectus.

15. I/we confirm that we have the right and authority in this regard to request redemption of Shares and confirm that we will comply with the redemption instructions set out in the Prospectus.
16. I/we understand that redemption payment instructions sent by telegraphic transfer to our designated account in our name are sent at our risk insofar as the onus to provide bank account details rests solely on us.
17. I/we declare that we are/will not, by virtue of this holding, be in breach of the laws and regulations of any competent jurisdiction and agree that if this holding could, in the opinion of the Directors/FSI (UK) cause the Company some financial, legal or fiscal disadvantage to indemnify the Company/FSI (UK), the Custodian, the Administrator/Registrar, for any loss suffered by them as a result of our acquiring or holding Shares.
18. I/we direct that on the death of one of us the Shares for which we hereby apply be held in the name of and to the order of the survivor(s) of us or the executors and/or administrator of such survivor (applicable where there is more than one Shareholder).
19. I/we agree (i) to provide such representations to the Company/FSI (UK) at such times as the Directors/FSI (UK) may request, and (ii) to provide on request such certifications, documents or other evidence as the Company/FSI (UK) may reasonably require to substantiate such representations.
20. I/we agree to notify the Company/FSI (UK) immediately if we become aware that any of the representations herein is no longer complete and accurate in all respects and agree immediately either to sell or to tender to the Company/FSI (UK) for redemption a sufficient number of Shares to allow the representations to be made. Furthermore, we understand that the representations and warranties made herein are continuous and all subsequent subscriptions for shares in the Fund by us shall be governed by them.
21. I/we hereby agree to indemnify and hold harmless each of the Company, the Directors, the Administrator and FSI (UK) and any director, officer, employee or agent thereof (each an "Indemnified Party") from any claims, demands, proceedings, liabilities, damages, losses, costs and expenses directly or indirectly suffered or incurred by such Indemnified Party arising as a result of the declarations made herein being untrue or as a result of our failure to notify the Company, the Administrator or FSI (UK) of a change in our status which would render the declarations contained herein untrue subsequent to the date of this declaration.
22. I/we agree to compensate the Company, the Administrator and the Distributor and their respective agents from time to time for any losses or expenses arising in connection with a breach of the above declarations and agreements our actions or failure to provide any information or documents requested by any of them to comply with the AEOI regimes or other laws or regulations.
23. I/we agree to provide on request in a timely manner any information or documentation in relation to ourselves as may be necessary or desirable for the Company (or its agents) to comply with any reporting or other obligations and/or prevent the withholding of tax under the AEOI regimes.
24. I/we hereby confirm that the Company, the Directors, the Administrator and FSI (UK) are each authorised and instructed to accept and execute any instructions including subscription and/or redemption instructions, instructions relating to payment of redemption proceeds, given by us by facsimile. I/we acknowledge that facsimile instructions are not a secure means of communication, and are aware of the risks involved. I/we hereby indemnify the Company, the Directors, the Administrator, FSI (UK) and any director, officer, employee or agent thereof and agree to keep each of them indemnified, against any loss of any nature whatsoever arising to each of them as a result of any of them acting on facsimile instructions. The Company, the Directors, the Administrator, FSI (UK) and any director, officer, employee or agent thereof may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instructions or other instrument believed, in good faith, to be genuine or to be signed by properly authorised persons. I/we acknowledge that if we request the Administrator and FSI (UK) to pay redemption proceeds to an account or bank the details of which differ from those held on file we understand that payment cannot be affected until such time as an original instruction requesting this change is forwarded by me/us to the Administrator and FSI (UK), together with any other documentation required by the Administrator, including that required for anti-money laundering purposes. Similarly, we understand that while an initial application will be actioned upon receipt of a fax we undertake to confirm this by forwarding an original copy of the completed application form used on initial subscription.
25. I/we acknowledge that the Company may, in accordance with the provisions of the Prospectus compulsorily redeem my/our shares in the Fund(s) if we acquire or are holding such units in breach of the requirements or in the circumstances detailed in the Prospectus of the Fund(s), whether at the point of our investment or thereafter; and  
I/we undertake to observe and be bound by any such decision by the Company and agree that the Company/Investment Manager shall not assume liability for any losses arising from a compulsory redemption of any of our shares in the Fund.
26. I/we hereby authorise the Company/Investment Manager and any other relevant person to disclose to any relevant tax authority, competent department or authority of the government or administration of the United Kingdom, Ireland or other countries any information (including regarding our tax status, identity or residency or other personal and payment information), documents or self-certifications provided by us to the Company/Investment Manager.  
To the extent permitted by law, we agree to waive any provision of any data protection, privacy, banking secrecy or other law or regulation of any jurisdiction and/or the terms of any confidentiality agreement, arrangement or understanding that would otherwise prevent the Company's compliance with the AEOI regimes or any other laws, including, but not limited to, my/our provision of any requested information and/or documentation. I/we shall have no claim against the Company/Investment Manager (or their respective agents from time to time) for any losses, liabilities, costs or expenses suffered by me/us as a result of the use or disclosure of such information or documentation or any actions or omissions taken by any of them in connection with the Company's compliance with the AEOI regimes. I/we confirm that all the information made by us in this Application Form and as otherwise provided by us to the Company/Investment Manager shall remain valid and we also undertake to immediately notify the Company/Investment Manager of any changes to such information.
27. I/we agree that the information supplied on the Application Form and through other (written or oral) communications from time to time in connection with our subscription for Shares or as Shareholder(s) of the Fund(s) including any information which I/we supply, by whatever means, that relates to me/us or a third party individual ("Personal Data") may be held by the Company, the Fund(s), the Investment Manager, the Sub-Investment Managers, the UK Representative and other relevant service providers appointed in respect of the Company and/or the Fund(s) (including the Custodian, the Administrator and the Registrar) and their duly appointed delegates (including their employees, officers, directors or agents) and will be used for the purposes of providing us with various services in relation to our Shares including without limitation, matching for the purpose of processing or verifying our data, processing our subscriptions and investments in the Fund(s), the completion/maintenance of information on the investor registers, carrying out our instructions and/or responding to enquiries purported to be given by us on our behalf, performance of anti-money laundering, counter terrorist financing and know-your-client checks and related processes, dealing with any matters in relation to our holdings of Shares investor communications (including the mailing and/or emailing of financial reports and notices), and observing any legal, governmental or regulatory requirements of any relevant jurisdictions. All such information may be retained after our Shares in the Fund(s) have been transferred/redeemed or upon the termination of the relevant Fund(s) and/or the Company in accordance with applicable laws.
28. I/we acknowledge that the Investment Manager will treat me/us as a professional client under the FCA's categorisation rules. I/we acknowledge that we have the right to request that I am/we are re-categorised as a retail client but that that such re-categorisation may be refused by the Investment Manager. I/we acknowledge that the categorisation of a professional client means that I/we will not have the right to any complaints to the Financial Ombudsman service nor will I/we be eligible for any compensation under the Financial Services Compensation Scheme.
29. I/we confirm that all the information made by us in this Application Form shall remain valid and we also undertake to immediately notify you in writing if there is any change in our registration details above in the subsequent years.
30. I/we acknowledge that due to money laundering requirements operating within their respective jurisdictions, the Company/FSI (UK) or the Administrator will require verification of the applicants identity before the application can be processed or redemptions paid. I/we acknowledge that the Company, the Administrator or FSI (UK) may revoke our application, return all subscription monies and/or refuse to issue Shares or refuse to process redemption requests if there has been any delay and/or failure by us to provide the Administrator/FSI (UK) with all the information and documentation requested by the Administrator/FSI (UK) to verify our identity. I/we acknowledge that redemption proceeds may not be paid out until the original of the application form used on initial subscription together with all documentation required by the Company, the Administrator and FSI (UK), including all documentation required for anti-money laundering purposes has been received by the Administrator and FSI (UK). Furthermore, we acknowledge that subsequent subscriptions for shares may not be processed until the original application form and all anti-money laundering documentation have been received from the applicant. I/we acknowledge that the Company/FSI (UK) or the Administrator shall be held harmless and indemnified against any loss arising due to the failure to process this application or request for redemption of shares if such information and documentation as has been requested by any of the said parties has not been provided by us.

31. I/we acknowledge that if we provide information and documentation that is in any way misleading, or fail to provide the Company (and/or its agents) with the requested information and documentation necessary in either case to satisfy the Company's obligations under the AEOL regimes, the Company reserves the right: (i) to take any action and/or pursue all remedies at its disposal.

**If you wish to contact us:**

HSBC Securities Services (Ireland) Ltd, 1 Grand Canal Square, Grand Canal Harbour, Dublin 2, Ireland, Phone: +353 1 635 6798 Fax: +353 1 649 7560

9.00 am to 5.30 pm (UK time) Monday to Friday except for bank holidays in Ireland, the last business day before Christmas and other days as the Directors of the Company may determine.

32. I/we agree and consent to First State Investments (UK) Limited processing information about us in accordance with the statement below on the use of customer information.

33. I/we agree and consent to First State Investments (UK) Limited contacting us at the email address stated overleaf.

**Joint applicants only**

In relation to our holdings of any or all the Shares registered in our joint names all redemption/switching requests or other instructions must be signed by all signatories listed below, unless otherwise indicated by placing an X in this box.

**First applicant**

<b>Signature:</b>
<b>Position:</b>
<b>Date:</b>
<b>Company (if any):</b>

**Second applicant**

<b>Signature:</b>
<b>Position:</b>
<b>Date:</b>
<b>Company (if any):</b>

Note: if this form is being signed under a power of attorney, a copy of the power of attorney must be furnished in support of the signature.

First State Investments (UK) Limited is authorised and regulated by the Financial Conduct Authority. Registered as a company in England and Wales with number 2294743. Registered office: Finsbury Circus House, 15 Finsbury Circus, London EC2M 7EB, (FCA registration number 143359).

**USE OF CUSTOMER INFORMATION**

First State Investments (UK) Limited, its subsidiaries or holding companies or any subsidiary of any such holding company or any of their agents (the "First State Group") may process the information contained within this application form and may provide information to other First State Group companies and/or any independent financial advisor appointed for the purpose of account administration or any other general business purposes. You understand that all information provided by you and any other information relating to this application may be held on computer and will be treated in confidence. Save as aforesaid, it will not be disclosed to any third parties, except where required by law or regulatory bodies or where your consent has been given. However, you understand that information may be passed to other financial organisations to protect First State Group companies and their clients against fraud. Enquiries about you may also be made with licensed credit reference agencies for verification of identity and fraud checking purposes and in such cases, the agencies will keep a record of the enquiry. This may include disclosure to third parties such as auditors, the Irish Revenue Authorities and the Central Bank of Ireland or agents of the Administrator who process data for anti-money laundering purposes or for compliance with foreign regulatory requirements.

Your personal information will be handled by the Administrator (as Data Processor on behalf of the Company) in accordance with the Data Protection Acts 1988 to 2003. Your information will be processed for the purposes of carrying out the services of Administrator, registrar and transfer agent of the Company and to comply with legal obligations including legal obligations under company law and anti-money laundering legislation. The Administrator or Company will disclose your information to third parties where necessary or for legitimate business interests. This may include disclosure to third parties such as auditors, the Irish Revenue Authorities and the Central Bank of Ireland or agents of the Administrator who process the data for anti-money laundering purposes or for compliance with foreign regulatory requirements. The Applicant hereby consents to the processing of his/her information (including the recording of telephone calls for the purpose of confirming data) and the disclosure of his/her information as outlined above and to the Investment Manager and where necessary or in the Company's or the Administrator's legitimate interests to any company in the Administrator's and/or the Investment Manager's group of companies or agents of the Administrator including companies situated in countries outside of the European Economic Area which may not have the same data protection laws as in Ireland.

From time to time we and other First State Group companies and their representatives may wish to send you information about other products and services available from First State Investments by telephone, mail or email. If you would like to receive such information, please mark this box with an X.

We will not disclose your information to third parties, except where required by law or regulatory bodies or where you have given your consent.

**Return your form to:**

**HSBC Securities Services (Ireland) Ltd**  
**1 Grand Canal Square,**  
**Grand Canal Harbour**  
**Dublin 2, Ireland**  
**Fax: +353 1 649 7560**

Applications received and accepted by FSI (UK) before 10.00 am (Irish time) will be processed using the applicable price calculated at the 11.00 am valuation point. Applications received and accepted by FSI (UK) after 10.00 am (Irish time) will be processed using the applicable price calculated at the next available valuation point.

Please make sure you have:

- enclosed a cheque or bank draft or telegraphic transfer advice;
- completed "Declaration of Residence Outside Ireland" printed on page 2;

If you are a corporate applicant, please supply:

- a board resolution to open and operate the accounts and authorising the signatory to this document;
- your certificate of incorporation;
- latest Report and Accounts.

If you are a nominee, please supply:

- evidence of your relationship or control by a regulated person.

**Certified copies of documents**

The person undertaking the certification should clearly document their name, job title and the company who employs them, ideally through the application of a company address stamp. Certified copies should be dated, and signed "original seen" by the certifier. The following professional people may certify documents: a lawyer or solicitor, a bank officer, an accountant, a doctor, a minister of religion, a teacher, a post master, a police officer, a regulated financial advisor.