

## **GUINNESS ASSET MANAGEMENT FUNDS PLC (the Company)**

### **Supplementary Information Document (SID)**

This document provides supplementary information related to your investment in one or more of the Company's sub-funds and should be read in conjunction with the Key Investor Information Document (KIID) for the relevant fund.

#### **What are the Key Investor Information Documents (KIIDs) and Supplementary Information Document (SID)?**

The KIIDs and SID are essential documents available to all investors. The KIIDs contain details of the investment objectives, charges and risks of our funds. The KIID is aimed at helping you assess whether a particular fund meets your needs. It comes in a standardised format. The SID provides additional useful information you should be aware of before investing with us.

When considering an investment in any of our funds, you should read the KIID and SID carefully so that you understand what you are buying, and then keep them safe for future reference. To make sure you have the most up-to-date version of the relevant KIID(s) and SID, visit our website ([www.guinnessfunds.com](http://www.guinnessfunds.com)) or email us at [info@guinnessfunds.com](mailto:info@guinnessfunds.com).

#### **What is the status of the funds in the UK?**

The funds are sub-funds of the Company, which is an open-ended umbrella-type investment company, incorporated in Ireland and authorised and supervised by the Central Bank of Ireland. The funds have been approved by the Financial Services Authority (FSA) for sale in the UK. The Company and the funds have been recognised in the UK by the Financial Services Authority pursuant to section 264 of the Financial Services and Markets Act. The Company has appointed as UK distributor Guinness Asset Management Ltd, which is authorised and regulated by the Financial Services Authority.

#### **What if I change my mind?**

If you invested via an authorised financial advisor you will receive a notice from them advising you of any right to change your mind and instructions on how to cancel. Otherwise you may sell your shares on any working day in Ireland and cancellation rights will not apply. Please note that if the value of your shares has changed since you invested you may not receive all your money back.

#### **What if I have a complaint?**

You should write to the Compliance Officer, Guinness Asset Management Ltd, 14 Queen Anne's Gate, London SW1H 9AA. If your complaint is not resolved to your satisfaction you may be entitled to refer it to the Financial Ombudsman Service Ltd, South quay Plaza, 183 Marsh Wall, London E14 9SR. A summary of the distributor's internal process for dealing with complaints is available on request.

#### **What if something goes wrong – will I be entitled to compensation?**

The Company is not authorised and regulated by the FSA and is not a member of the UK Financial Services Compensation Scheme, and therefore a UK investor will not benefit from any rights under this scheme.

#### **How can I get further information about the funds?**

Details about the funds, including copies of the Prospectus and accounts, are available on the website [www.guinnessfunds.com](http://www.guinnessfunds.com).

15<sup>th</sup> June 2012