

Natixis International Funds (Lux) I

Société d'Investissement à Capital Variable
 organized under the laws of the Grand-Duchy of Luxembourg (the "Umbrella Fund")
 with several separate sub-funds (each, a "Fund")

Application Form

This Application Form should be read in conjunction with the most recent Prospectus of the Umbrella Fund. This application form is subject to being updated depending on the evolution of Luxembourg money laundering prevention law, regulation and industry standards.

The **original** form of this Application once completed and signed must be send **by post** along with any documentation required under section 7, to:

Brown Brothers Harriman (Luxembourg) S.C.A.
 2-8 avenue Charles de Gaulle, B.P. 403
 L-2014 Luxembourg
 Grand Duchy of Luxembourg
 Tel: + 352 474 066 425
 Fax: + 352 474 066 704
 E-mail: Natixis_Lux@bbh.com (for queries only)

1. Shareholder Registration Details	
<i>If you are an existing Shareholder:</i> Name: Account Number:	<input type="checkbox"/> <hr/> <hr/>
<i>If you are a corporate investor:</i> Company Name: Date of Incorporation: Place (city and country) of Incorporation: Country of Residence for Tax Purposes*: Tax Identification Number:	<input type="checkbox"/> <hr/> <hr/> <hr/> <hr/> <hr/>
<i>If you are an individual investor:</i> Last Name: First Name: Date of Birth: Place (city and country) of Birth: Citizenship(s): Country of Residence for Tax Purposes*: Tax Identification Number: Type of Account:	<input type="checkbox"/> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/>

If you are investing on behalf of a third party, please check the following box: ☐

If you are a new investor, please check the following box: ☐

*If you have any question about your country of residence for tax purposes, please consult your tax advisor.

Contact Details – Registered Address

Corporate investors MUST provide Company's registered address

Number:	
Street:	
Postal Code:	
City:	
Country:	
Telephone No.:	
Facsimile No.:	
Email address(es):	

Contact Details - Correspondence Address

To be completed only if it differs from the Registered Address provided above

Number:	
Street:	
Postal Code:	
City:	
Country:	
Telephone No.:	
Facsimile No.:	
Email address(es):	
Name of the Natixis Entity or Agent which introduced the Umbrella Fund to you:	

2. Investment Details

Please check the appropriate box:

Shares shall be issued as:

- ☐ registered uncertificated Shares with written confirmation of shareholding (*recommended*)
- ☐ registered Share certificates

If you have chosen to have the Shares issued as registered Share certificates, please check the appropriate box:

The certificates shall be:

- ☐ kept in my file at Brown Brothers Harriman at Luxembourg
- ☐ kept at my disposal
- ☐ sent to the following address:

Number:	
Street:	
Postal Code:	
City:	
Country:	

Equity Funds

Note: Before completing this part, please verify that you fulfil the requirements set forth in the Prospectus for investing in the class you have chosen. Minimum initial subscription and holdings in any Fund are as set out in the Prospectus.

Funds	C u r r e n c y (1)	Shares ⁽²⁾																Amount ⁽³⁾	Number of Shares ⁽³⁾				
		S				I				R			RE			Q	F	C		N			
		A	D	H - A	TH - A	A	D	H - A	H - D	A	D	H - A	A	D	H - A	A	A	A	D	A	H - A		
Emerise Asia Equity Fund	USD																						
Emerise Asia Equity Fund	EUR																						
Emerise Asia Equity Fund	GBP																						
Emerise Emerging Europe Equity Fund	USD																						
Emerise Emerging Europe Equity Fund	EUR																						
Emerise Emerging Europe Equity Fund	GBP																						
Emerise Emerging Europe Equity Fund	SGD																						
Emerise Latin America Equity Fund	USD																						
Emerise Latin America Equity Fund	EUR																						
Emerise Latin America Equity Fund	GBP																						
Emerise Pacific Rim Equity Fund	USD																						
Emerise Pacific Rim Equity Fund	EUR																						
Emerise Pacific Rim Equity Fund	GBP																						
Emerise Pacific Rim Equity Fund	SGD																						
Harris Associates Concentrated U.S. Equity Fund	USD																						
Harris Associates Concentrated U.S. Equity Fund	EUR																						
Harris Associates Concentrated U.S. Equity Fund	CHF																						
Harris Associates Concentrated U.S. Equity Fund	GBP																						

Equity Funds

Note: Before completing this part, please verify that you fulfil the requirements set forth in the Prospectus for investing in the class you have chosen. Minimum initial subscription and holdings in any Fund are as set out in the Prospectus.

Funds	C u r r e n c y (1)	Shares ⁽²⁾																		Amount ⁽³⁾		Number of Shares ⁽³⁾				
		S				S1	I				R			RE			Q	F	C		N		P			
		A	D	H - A	TH - A	A	A	D	H - A	H - D	A	D	H - A	A	D	H - A	A	A	A	D	A	H - A	A	H - A		
Harris Associates Global Equity Fund	USD																									
Harris Associates Global Equity Fund	EUR																									
Harris Associates Global Equity Fund	AUD																									
Harris Associates Global Equity Fund	GBP																									
Harris Associates Global Equity Fund	SGD																									
Harris Associates U.S. Equity Fund	USD																									
Harris Associates U.S. Equity Fund	EUR																									
Harris Associates U.S. Equity Fund	GBP																									
Harris Associates U.S. Equity Fund	SGD																									
IDFC India Equities Fund	USD																									
IDFC India Equities Fund	EUR																									
IDFC India Equities Fund	GBP																									
IDFC India Equities Fund	SGD																									

Equity Funds

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Funds	C u r r e n c y (1)	Shares ⁽²⁾																		Amount ⁽³⁾	Number of Shares ⁽³⁾	
		S			I				R			RE		Q	F	C		N				
		A	D	H - A	A	D	H - A	H - D	A	D	H - A	A	H - A	A	A	A	D	A	H - A	H - D		
Loomis Sayles U.S. Research Fund	USD																					
Loomis Sayles U.S. Research Fund	EUR																					
Loomis Sayles U.S. Research Fund	GBP																					
Loomis Sayles U.S. Research Fund	SGD																					
Natixis Europe Smaller Companies Fund	EUR																					
Natixis Europe Smaller Companies Fund	USD																					
Natixis Europe Smaller Companies Fund	SEK																					
Natixis Europe Smaller Companies Fund	GBP																					
Natixis Europe Smaller Companies Fund	SGD																					
Snyder U.S. Small Cap Equity Fund	USD																					
Snyder U.S. Small Cap Equity Fund	EUR																					
Snyder U.S. Small Cap Equity Fund	GBP																					
Snyder U.S. Small Cap Equity Fund	CHF																					
Snyder U.S. Small Cap Equity Fund	SGD																					
Vaughan Nelson U.S. Mid Cap Equity Fund	USD																					
Vaughan Nelson U.S. Mid Cap Equity Fund	EUR																					
Vaughan Nelson U.S. Mid Cap Equity Fund	GBP																					
Vaughan Nelson U.S. Small Cap Value Fund	USD																					
Vaughan Nelson U.S. Small Cap Value Fund	EUR																					
Vaughan Nelson U.S. Small Cap Value Fund	GBP																					

Bond Funds

Note: Before completing this part, please verify that you fulfil the requirements set forth in the Prospectus for investing in the class you have chosen. Minimum initial subscription and holdings in any Fund are as set out in the Prospectus.

Funds	C u r r e n c y (1)	Shares ⁽²⁾																				Amount (3)	Number of Shares ⁽³⁾					
		S				I				R				RE		Q			RE		Z			N				
		A	D	H - A	H - D	A	D	H - A	H - D	A	D	DM	H - A	H - D	A	D	A	H - A	H - D	H - A	H - D			A	D	H - A	H - D	H - DM
Loomis Sayles Duration Hedged Global Corporate Fund	USD																											
Loomis Sayles Duration Hedged Global Corporate Fund	EUR																											
Loomis Sayles Duration Hedged Global Corporate Fund	GBP																											
Loomis Sayles Duration Hedged Global Corporate Fund	CHF																											
Loomis Sayles Duration Hedged Global Corporate Fund	SGD																											
Loomis Sayles Duration Hedged Global Corporate Fund	NOK																											
Loomis Sayles Duration Hedged Global Corporate Fund	SEK																											
Loomis Sayles Emerging Debt and Currencies Fund	USD																											
Loomis Sayles Emerging Debt and Currencies Fund	EUR																											
Loomis Sayles Emerging Debt and Currencies Fund	GBP																											
Loomis Sayles Emerging Debt and Currencies Fund	SGD																											
Loomis Sayles Short Term Emerging Markets Bond Fund	USD																											
Loomis Sayles Short Term Emerging Markets Bond Fund	EUR																											
Loomis Sayles Short Term Emerging Markets Bond Fund	GBP																											
Loomis Sayles Short Term Emerging Markets Bond Fund	CHF																											
Loomis Sayles Short Term Emerging Markets Bond Fund	SGD																											

Bond Funds

Note: Before completing this part, please verify that you fulfil the requirements set forth in the Prospectus for investing in the class you have chosen. Minimum initial subscription and holdings in any Fund are as set out in the Prospectus.

Funds	C u r r e n c y ⁽¹⁾	Shares ⁽²⁾																				Amount ⁽³⁾	Number of Shares ⁽³⁾					
		S				I				R				RE		Q			RE		C			Z	N			
		A	D	H - A	H - D	A	D	H - A	H - D	A	D	DM	H - A	H - D	A	D	A	H - A	H - D	H - A	H - D			A	A	H - A	H - D	H - DM
Loomis Sayles Global Credit Fund	USD																											
Loomis Sayles Global Credit Fund	EUR																											
Loomis Sayles Global Credit Fund	GBP																											
Loomis Sayles Global Credit Fund	AUD																											
Loomis Sayles Global Credit Fund	CHF																											
Loomis Sayles Global Credit Fund	SGD																											
Loomis Sayles Global Credit Fund	NZD																											
Loomis Sayles Global Credit Fund	SEK																											
Loomis Sayles Global Credit Fund	NOK																											
Loomis Sayles Global Credit Fund	JPY																											
Loomis Sayles Institutional Global Corporate Bond Fund	USD																											
Loomis Sayles Institutional Global Corporate Bond Fund	EUR																											
Loomis Sayles Institutional Global Corporate Bond Fund	GBP																											
Loomis Sayles Institutional Global Corporate Bond Fund	AUD																											
Loomis Sayles Institutional Global Corporate Bond Fund	CHF																											

Bond Funds

Note: Before completing this part, please verify that you fulfil the requirements set forth in the Prospectus for investing in the class you have chosen.

Minimum initial subscription and holdings in any Fund are as set out in the Prospectus.

Funds	C u r r e n c y (1)	Shares ⁽²⁾																				Amount (3)	Number of Shares (3)		
		S				I				R				RE		Q		F		C				N	
		A	D	H-A	H-D	A	D	H-A	H-D	A	D	DM	H-A	H-D	A	D	A	H-A	H-D	A	D			A	D
Loomis Sayles Institutional High Income Fund	USD																								
Loomis Sayles Institutional High Income Fund	EUR																								
Loomis Sayles Institutional High Income Fund	GBP																								
Loomis Sayles Institutional High Income Fund	SGD																								

Funds	C u r r e n c y (1)	Shares ⁽²⁾																		Amount (3)	Number of Shares (3)					
		S				I				R				RE		Q		F				C		Z	N	S1
		A	D	H-A	H-D	A	D	H-A	H-D	A	D	DM	H-A	H-D	A	D	A	H-A	H-D			A	D	A	H-A	H-D
Loomis Sayles Strategic Alpha Bond Fund	USD																									
Loomis Sayles Strategic Alpha Bond Fund	EUR																									
Loomis Sayles Strategic Alpha Bond Fund	GBP																									
Loomis Sayles Strategic Alpha Bond Fund	SGD																									
Loomis Sayles Strategic Alpha Bond Fund	SEK																									
Loomis Sayles Strategic Alpha Bond Fund	JPY																									
Loomis Sayles Sustainable Global Corporate Bond Fund	USD																									
Loomis Sayles Sustainable Global Corporate Bond Fund	EUR																									
Loomis Sayles Sustainable Global Corporate Bond Fund	CHF																									
Loomis Sayles Sustainable Global Corporate Bond Fund	SEK																									
Loomis Sayles Sustainable Global Corporate Bond Fund	NOK																									

Bond Funds

Note: Before completing this part, please verify that you fulfil the requirements set forth in the Prospectus for investing in the class you have chosen.

Minimum initial subscription and holdings in any Fund are as set out in the Prospectus.

Funds	C u r r e n c y (1)	Shares ⁽²⁾																		Amount (3)	Number of Shares (3)							
		S				I				R				RE				Q				N				S1		
		A	D	H - A	H - D	A	D	H - A	H - D	A	D	DM	H - A	H - D	A	D	H - A	H - D	DM			H - DM	D	H - A	H - D	A	H - A	
Loomis Sayles U.S. Aggregate Bond Fund	USD																											
Loomis Sayles U.S. Aggregate Bond Fund	EUR																											
Loomis Sayles U.S. Aggregate Bond Fund	GBP																											
Loomis Sayles U.S. Aggregate Bond Fund	SGD																											
Loomis Sayles U.S. Core Plus Bond Fund	USD																											
Loomis Sayles U.S. Core Plus Bond Fund	EUR																											
Loomis Sayles U.S. Core Plus Bond Fund	GBP																											
Loomis Sayles U.S. Core Plus Bond Fund	SGD																											
Loomis Sayles U.S. Core Plus Bond Fund	CHF																											

Bond Funds

Note: Before completing this part, please verify that you fulfil the requirements set forth in the Prospectus for investing in the class you have chosen.

Minimum initial subscription and holdings in any Fund are as set out in the Prospectus.

Funds	C u r r e n c y (1)	Shares ⁽²⁾																Amount (3)	Number of Shares ⁽³⁾									
		S				I				R				RE		Q				C		N						
		A	D	H - A	H - D	A	D	DH	H - A	H - D	A	D	DM	H - A	H - D	A	D			H - A	H - D	A	D	A	D	D	H - A	H - D
Natixis Euro Aggregate Plus Fund	EUR																											
Natixis Euro High Income Fund	EUR																											
Natixis Euro High Income Fund	USD																											
Natixis Euro High Income Fund	SGD																											
Natixis Euro High Income Fund	CHF																											
Natixis Global Inflation Fund	USD																											
Natixis Global Inflation Fund	EUR																											
Natixis Global Inflation Fund	SGD																											
Natixis Short Term Global High Income Fund	USD																											
Natixis Short Term Global High Income Fund	EUR																											
Natixis Short Term Global High Income Fund	GBP																											
Natixis Short Term Global High Income Fund	CHF																											
Natixis Short Term Global High Income Fund	SGD																											

⁽¹⁾ Please note that investors may also make such payments in any other currency, in which case any currency conversion costs shall be borne by the investor.

⁽²⁾ Please check the appropriate box

⁽³⁾ Please indicate the amount of the subscription OR the number of Shares in the appropriate box

3. Dividends

Dividends, if declared, will be reinvested automatically in additional shares of the same class of the relevant Fund unless you indicate otherwise by checking this box: ☐

If dividend payments are requested, they will be credited directly to your bank account. Please ensure you have provided your bank details in section 6.

4. Bank Account References for Subscription Payments

Payments in EUR shall be made by bank transfer to the following account:	Intermediary Bank: HSBC Bank Plc, London - Swift: MIDLGB22 Beneficiary Bank: Brown Brothers Harriman New-York - Swift: BBHCUS33 Bank Account Number: GB49MIDL40051537860431 Beneficiary: Natixis Sub&Red account Account Number: 6002620
Payments in USD shall be made by bank transfer to the following account:	Intermediary Bank: Citibank New York - ABA: 021000089 - Swift: CITIUS33 Beneficiary Bank: Brown Brothers Harriman NY - Swift: BBHCUS33 Bank Account Number: 09250276 Beneficiary: Natixis Sub&Red account Account Number: 6002620
Payments in GBP shall be made by bank transfer to the following account:	Intermediary Bank: Barclays Bank, London - Sort Code: 203253 - Swift: BARCGB22 Beneficiary Bank: Brown Brothers Harriman & Co. - Swift: BBHCUS33 Bank Account Number: 53623157 Beneficiary: Natixis Sub&Red account Account Number: 6002620
Payments in CHF shall be made by bank transfer to the following account:	Intermediary Bank: Credit Suisse, Zurich - Swift: CRESCHZZ80A Beneficiary Bank: Brown Brothers Harriman New-York - Swift: BBHCUS33 Bank Account Number: - Beneficiary: Natixis Sub&Red account Account Number: 6002620
Payments in SEK shall be made by bank transfer to the following account:	Intermediary Bank: SEB Stockholm - Swift: ESSESESS Beneficiary Bank: Brown Brothers Harriman New-York - Swift: BBHCUS33 Bank Account Number: 5201-8513109 Beneficiary: Natixis Sub&Red account Account Number: 6002620
Payments in NOK shall be made by bank transfer to the following account:	Intermediary Bank: Nordea Bank Norge ASA, Oslo - Swift: NDEANOKK Beneficiary Bank: Brown Brothers Harriman New-York - Swift: BBHCUS33 Bank Account Number: NO2860010241084 Beneficiary: Natixis Sub&Red account Account Number: 6002620
Payments in SGD shall be made by bank transfer to the following account:	Intermediary Bank: HSBC, Singapore - Swift: HSBCSGSG Beneficiary Bank: Brown Brothers Harriman New-York - Swift: BBHCUS33 Bank Account Number: 141-140681-001 Beneficiary: Natixis Sub&Red account Account Number: 6002620
Payments in AUD shall be made by bank transfer to the following account:	Intermediary Bank: Westpac Banking Corporation - Swift: WPACAU2S Beneficiary Bank: Brown Brothers Harriman New-York - Swift: BBHCUS33 Bank Account Number: BBH0001971 Beneficiary: Natixis Sub&Red account Account Number: 6002620
Payments in NZD shall be made by bank transfer to the following account:	Intermediary Bank: Westpac Banking Corp. NZ Branch - Swift: WPACNZ2W Beneficiary Bank: Brown Brothers Harriman New-York - Swift: BBHCUS33 Bank Account Number: RET005793NZD220001 Beneficiary: Natixis Sub&Red account Account Number: 6002620
Payments in JPY shall be made by bank transfer to the following account:	Intermediary Bank: Bank of Tokyo-Mitsubishi., UFJ, Ltd., Tokyo Swift: BOTKJPJT Beneficiary Bank: Brown Brothers Harriman New-York - Swift: BBHCUS33 Bank Account Number: - Beneficiary: Natixis Sub&Red account Account Number: 6002620

5. Subscriber's Bank Details

The subscription payment MUST come from a bank account in the name of the registered Shareholder only.

Bank Name:	_____
Bank BIC / SWIFT Code:	_____
Bank Address:	_____

Name of Account Holder:	_____
Account Number:	_____
IBAN Number:	_____

6. Redemption Payment Instructions

Please note that all payments will be made to the registered Shareholder only, no third party payment will be effected. Any failure to complete these details could result in late or non-payment of redemption proceeds.

Bank Name:	_____
Bank BIC / SWIFT Code:	_____
Bank Address:	_____

Swift Code:	_____
Name of Account Holder:	_____
Account Number:	_____
Reference:	_____
IBAN Number:	_____

7. Identification of Investors

Please note that you should rather complete the below OR provide a W8-BEN-E

Foreign Account Tax Compliance Act ("FATCA") Classification

Under the terms of U.S. Treasury regulations or the Intergovernmental Agreement ("IGA") Model 1 or 2 signed between your country of residence and the United States of America

(a) You are a **Financial Institution**..... ☐

☐ Reporting/Partner Jurisdiction Financial Institution (Model 1 or 2)

Your Global Intermediary Identification Number ("GIIN"): _____

☐ Registered deemed-compliant Foreign Financial Institution

Your GIIN: _____

☐ Participating Foreign Financial Institution

Your GIIN: _____

☐ Sponsored Foreign Financial Institution

Name of the Sponsoring Entity: _____

GIIN of the Sponsoring Entity: _____

☐ Certified deemed-compliant Foreign Financial Institution

☐ Non-reporting IGA Foreign Financial Institution under the category* of _____

☐ Nonparticipating Foreign Financial Institution

☐ Owner-documented Foreign Financial Institution

☐ Other

Category: _____

(b) You are a **Non-Financial Institution**..... ☐

☐ Active Non-Financial Foreign Entity

☐ Passive Non-Financial Foreign Entity

*As per the provisions of the applicable IGA.

7.1. Please specify if you are investing (a) on your own behalf or (b) for the benefit of a third party by checking the appropriate box below; then please refer to the respective sections hereafter to determine the documents required to be provided prior to the first subscription.

(a) **Declaration on own behalf (Sole Investor)** ☐

I/We declare that :

- I am/we are applying for the Shares on my own/our own behalf; and
- I am/we are entitled to the Shares in respect of which this declaration is made.

(b) **Declaration as Intermediary *** ☐

I/We declare that I am/we are applying for Shares on behalf of persons who will be beneficially entitled to the Shares unless I/we specifically notify you to the contrary at the time of application.

*** Definition of Intermediary:** An intermediary is a generic term covering all participants (e.g. distributor, placement agent) interceding between the Transfer Agent and the investor. They are either a registered shareholder or depending on the contractual agreement in place, the underlying client is the registered shareholder.

Please note that KYC documentation requests are reflects standard requests. The Registrar and Transfer Agent reserves the right to request additional KYC documentation during the account opening process.

7.1a. If you are a **Sole Investor** please check the appropriate box below corresponding to your profile and provide the documents listed prior to the first subscription.

You are a Financial Institution (e.g. Banks, Insurance Companies) ☐

- Original or certified true copy of the list of authorized signatories on the account not more than one year old;
- Properly authorised mandate of the Directors to open/operate an account or to establish the business relationship provided;

If you do not reside in an Equivalent Country, **in addition**, please provide:

- Certified true copy of the Certificate/Articles of Incorporation with the seal of the regulatory body;
- Recent extract from the Register of Commerce (or equivalent).

You are a Quoted Corporation ☐

- Original or certified true copy of the list of authorized signatories on the account not more than one year old;
- Properly authorised mandate of the Directors to open/operate an account or to establish the business relationship provided;
- Certified true copies of valid photo ID (e.g.: passport, official identity card) of persons who will actually operate account;

You are a Non Quoted Corporation ☐

- Original or certified true copy of the list of authorized signatories on the account not more than one year old;
- Properly authorised mandate of the Directors to open/operate an account or to establish the business relationship provided;
- Certified true copy of the Certificate/Articles of Incorporation with the seal of the regulatory body;
- Recent extract from the Register of Commerce (or equivalent);
- List of shareholders specifying the share of capital owned and for shareholders holding more than 25% of the capital, the percentage of ownership, the country of residence and the Tax Identification Number;
- Certified true copy of valid photo ID of: (1) the shareholders holding more than 25% of the capital, (2) the persons who will actually operate the account.

You are a Private Person ☐

- Certified true copy of valid photo ID showing place and date of birth (e.g.: passport, official identity card);
- One proof of residency (e.g.: copy of utility bill) no more than three months old.

Meaning of Certified True Copy: A true copy of the original with date, original stamp and signature of a Public Notary (Police, Embassy or Consulate) or a Regulated Financial Institution in an Equivalent Country to attest to the fact that they have seen the original and that it is a true copy of the document. The certifier should mark on it the words "Original seen" or "Certified true copy of the original document", date, stamp and sign it giving their capacity and licence number (if applicable).

Equivalent Country: A country that is classified by the Transfer Agent as an equivalent country.

Note:

The Registrar or the Transfer Agent may request an investor to provide additional information to substantiate any representation made by the investor in its application. Any application that has not been completed to the satisfaction of the Registrar and Transfer Agent will be rejected. In addition, the Board of Directors, in its sole discretion, may at any time suspend or close the sale of any class of Shares or all Shares.

According to Luxembourg Anti-Money Laundering/Counter-Terrorist Financing ("AML/CTF") requirements (in particular the Law of 12 November 2004; relevant CSSF circulars) financial institutions need to obtain identification documents of customers prior to account opening.

The Intermediary represents that it will provide copies of client identification material and transaction information to the Umbrella Fund upon the Umbrella Fund's written request. The Intermediary also agrees that it will be responsible for incorporating into its client forms or otherwise obtaining client consents, if any, that may be required to facilitate the foregoing.

7.1b. If you are acting as an **Intermediary** please check the appropriate box below corresponding to your profile and provide the documents listed prior to the first subscription.

You are an Institution Regulated by the authority of the country in which you reside and this country is an Equivalent Country ☐

- Original or certified true copy of the list of authorized signatories on the account not more than one year old;
- An assurance letter confirming that the AML relevant checks have been carried out on the underlying beneficial owners and that you will provide the Transfer Agent with copies of identification documents upon request.

You are an Institution NOT regulated, but your Parent Company is a regulated body in the country in which it resides and this country is an Equivalent Country ☐

- Original or certified true copy of the list of authorized signatories on the account not more than one year old;
- An assurance letter **form and signed by your Parent Company** showing the link between the parent company and yourselves confirming that AML/CTF procedures equivalent to those imposed under Luxembourg laws and regulations exist and that all the relevant checks have been carried out on the underlying beneficial owners; that the procedures are regularly audited by the parent and that you will provide the Transfer Agent with copies of the identification documents upon request;
- Certified true copy of the Certificate/Articles of Incorporation with the seal of the regulatory body;
- Recent extract from the Register of Commerce (or equivalent).

You are an Institution and you reside in a country that is NOT an Equivalent Country ☐

- Original or certified true copy of the list of authorized signatories on the account not more than one year old;
- An assurance letter confirming that AML/CTF procedures equivalent to those imposed under Luxembourg laws and regulations exist and that all relevant checks have been carried out on the underlying beneficial owners and that you will provide the Transfer Agent with copies of identification documents upon request (only if you are regulated by the authority of the country in which you reside);
- Certified true copy of AML/KYC procedures in place;
- Certified true copy of the Certificate/Articles of Incorporation with the seal of the regulatory body;
- Recent extract from the Register of Commerce (or equivalent);
- **If your Parent Company is a regulated body** in the country in which it resides and this country is an Equivalent Country, you may choose to provide AML/KYC procedures/assurance **from and signed by your Parent Company** and, in addition, please provide:
 - a proof of the link between the parent company and yourselves;
 - original or certified true copy of the list of authorized signatories of your Parent Company, not more than one year old.

Meaning of Certified True Copy: A true copy of the original with date, original stamp and signature of a Public Notary (Police, Embassy or Consulate) or a Regulated Financial Institution in an Equivalent Country to attest to the fact that they have seen the original and that it is a true copy of the document. The certifier should mark on it the words "Original seen" or "Certified true copy of the original document", date, stamp and sign it giving their capacity and licence number (if applicable).

Equivalent Country: A country that is classified by the Transfer Agent as an equivalent country.

Note:

The Registrar or the Transfer Agent may request an investor to provide additional information to substantiate any representation made by the investor in its application. Any application that has not been completed to the satisfaction of the Registrar and Transfer Agent will be rejected. In addition, the Board of Directors, in its sole discretion, may at any time suspend or close the sale of any class of Shares or all Shares.

According to Luxembourg AML/CTF requirements (in particular the Law of 12 November 2004; relevant CSSF circulars) financial institutions need to obtain identification documents of customers prior to account opening.

8. EU Savings Tax Details

To be completed by individual investors resident in an EU country, or attaching a passport or identity card issued by an EU country, only.

If an investor has attached a passport or identity card issued by an EU country but wishes to claim residence in another country, a tax residence certificate – issued by the tax authority of that country – must be attached to this form. Failing this, the country that issued the passport or identity card will be treated as the investor's country of tax residence.

With effect from the introduction of the Luxembourg Law on Savings Income, the following alternatives are available to investors in relation to payments made to them directly by the Umbrella Fund. These alternatives may not be available in other circumstances where the Umbrella Fund does not act as paying agent. If in doubt, please consult your financial adviser.

Please check the appropriate box.

- ☐ **Withholding Tax**
Any withholding tax will be deducted from any dividends or redemptions paid to me/us in accordance with the Luxembourg Law on Savings Income. My/Our name(s), address(es) and taxation details will not be reported to the tax authorities.
- ☐ **Exchange of Information**
I/We hereby authorize the Umbrella Fund to report information on the amount of my/our reportable transactions to the tax authorities of my/our country of residence, including my/our name, address, tax identification number or date and place of birth, account number and the total amount of dividends and redemptions paid to me/us and falling within the scope of the Luxembourg Law on Savings Income.

Withholding tax will be deducted if no alternative is specified.

9. FATCA

- ☐ **Exchange of Information**
I/We hereby authorize the Umbrella Fund to report information on the amount of my/our reportable transactions to the Luxembourg tax authorities.

10. Declarations and Signatures

By signing this Application Form, you:

- Declare that you are aware that this contract will be legally binding when it is signed and the sums payable to the Umbrella Fund are received;
- Declare that you are aware that, pursuant to applicable Luxembourg laws to combat drug addiction and money laundering, the Registrar and Transfer Agent of the Umbrella Fund may request from you details concerning the financial institutions from which the transfer of payment is to be made. If you are not able to provide the details required or other necessary documents, the Registrar and Transfer Agent of the Umbrella Fund will reject the subscription;
- Acknowledge that you have received a copy of and read the Key Investor Information Document(s) for the Sub-Fund(s) and class(es) of Shares to which its subscription relates (and where relevant the most recent Prospectus, Annual or Semi-Annual Report of the Umbrella Fund), and that you understand and accept the objectives and risks outlined therein. You hereby confirm that for any subsequent investments, you will obtain and read the latest version of the appropriate Key Investor Information Document(s);
- Declare that you are duly authorized to sign the present subscription form and that all information contained in this Application Form is accurate to the best of your knowledge and belief;
- Confirm that you fulfil all investor suitability requirements as defined in the Prospectus for the class of Shares selected in Section 2 above. If investing on behalf of a third party, you confirm that such third party fulfils all investor suitability requirements as defined in the Prospectus for the class of Shares selected in Section 2 above;
- Confirm that you, or, if investing on behalf of a third party, such third party, is acquiring Shares (a) for investment purposes only, (b) with funds derived from legitimate sources and (c) not to launder money, finance terrorism or violate or evade any law or regulation of any jurisdiction;
- Confirm, if investing on behalf of a third party, that you have (a) confirmed the identity of such third party in accordance with the AML/KYC procedure, as provided to the Registrar and Transfer Agent in accordance with Section 7 above and (b) been authorized by such third party to conduct investment activities on its behalf;
- Certify that you are not a U.S. Person as defined in the Prospectus of the Umbrella Fund and are not using funds obtained from a U.S. Person to effect the purchase of Shares of the Umbrella Fund. If investing on behalf of a third party, you certify that the beneficial owner of the Shares being purchased under this agreement is not a U.S. Person;

- Certify that neither you nor the beneficial owner of the Shares was solicited to purchase Shares in the United States, and that, at the time of subscription, you were outside the United States; Certify that you, or if investing on behalf of a third party, such third party, will not resell, assign or transfer Shares of the Umbrella Fund to a U.S. Person;
- Agree to provide the declaration and warranties contained herein to the Umbrella Fund at such times as the Umbrella Fund may request, and to provide on request such certifications, documents or other evidence as the Umbrella Fund may reasonably require to substantiate such representations;
- Agree to provide the Umbrella Fund, each Fund or its agents with tax information, in particular the documentary evidence required by the FATCA, as it may from time to time request, (ii) acknowledge and agree that such information may be provided to the United States and other governmental agencies, (iii) acknowledge and agree that failure to provide requested information may subject you to liability for any resulting U.S. withholding taxes, U.S. tax information reporting and/or mandatory redemption, transfer or other termination of your interest in Shares of the Funds, and (iv) agree to waive any provision of law that would prevent such reporting, withholding or termination of your interest in Shares of the Funds.
- Agree to indemnify and hold the relevant fund, NGAM S.A. and its affiliates harmless from any losses, liabilities, expenses and damages resulting from a failure to have settled funds in the account by the date specified in the relevant prospectus.
- Authorize Brown Brothers Harriman (Luxembourg) S.C.A. (the "Transfer Agent") to collect, use, process, share, store and transmit data, such as personal data, identification documents and details as to your investment in a Fund, to the Umbrella Fund, NGAM S.A. and its affiliates as well as to Brown Brothers Harriman & Co., Brown Brothers Harriman Fund Administration Services (Ireland) Ltd. and Brown Brothers Harriman (Hong Kong) Ltd. (in the event that you use its services to invest in a Fund). These data will be collected, used, processed, shared, stored and transmitted for the following purposes: (i) to facilitate your subscriptions, redemptions and conversions in the Umbrella Fund or the other funds of NGAM S.A.; (ii) to process, manage and administer your holdings in a Fund and any related accounts on an on-going basis; (iii) to provide you with reporting, communications and other shareholder services related to your investment in a Fund; (iv) to comply with legal or regulatory requirements applicable to the Umbrella Fund, the Umbrella Fund Service Providers or yourself; and (v) where applicable, for the purposes of notification to the relevant revenue authorities in accordance with the EU Directive 2003/48/EC and applicable local regulations on taxation of savings income in the form of interest payments. You have the right at any time to access to your personal data and have the right to amend and rectify any inaccuracies in your personal data by making a request in writing to the Umbrella Fund at the Transfer Agent's address.
- Instruct the Transfer Agent to comply with such requests from NGAM Distribution to provide NGAM Distribution with this information;
- Agree to notify the Registrar and Transfer Agent within 30 days of any change in circumstances which would affect the information contained in this Application Form.

☐ **By checking this box, I/we confirm that I/we have read and approve all declarations in this Section 10.**

Place and date: _____

Subscriber's Signature(s): Name: _____ Title: _____	Subscriber's Signature(s): Name: _____ Title: _____
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