# First Trust US Large Cap Core AlphaDEX® UCITS ETF

The Fund is a passively managed index-tracking fund that seeks to provide investors with a total return, taking into account capital and income returns, which reflects the NASDAQ AlphaDEX® Large Cap Core Index (the "Index"), before fees and expenses. The Index is a modified equally-weighted index that employs the unique AlphaDEX® stock selection methodology, using fundamental growth and value factors to objectively select stocks from the NASDAQ U.S. 500 Large Cap Index, with the aim to generate positive alpha relative to traditional passive indices.

# **Performance**

The figures shown relate to past performance and do not predict future returns

Performance Since Inception (%)

Acc USD



Performance Summary (%)	1 M	3 M	YTD	1 Y	3 Y	5 Y	Since Inception
Acc USD	4.37	10.32	10.32	24.58	8.59	11.92	11.31
Tracking Index	4.40	10.44	10.44	25.09	8.95	12.32	-

Performance for periods under one year is cumulative and over one year is annualised.

12-Month Performance Periods (%)		31.03.20 31.03.21			
Acc USD	-18.86	69.03	11.07	-7.44	24.58
Tracking Index	-18.66	69.89	11.47	-7.24	25.09

Fund performance is the performance of the share class since its inception, shown net of fees including reinvested dividends in the base currency of the share class which is in USD. Performance can go up as well as down, and investors may lose some or all of their capital. Returns may increase or decrease as a result of currency and exchange rate fluctuations. Please refer to the 'Risks' section on Page 3 for more details on risks associated with an investment in the fund.

"AlphaDEX®" is a registered trademark of First Trust Portfolios L.P. First Trust Portfolios L.P. has obtained a patent for the AlphaDEX® stock selection methodology from the United States Patent and Trademark Office.

The ongoing charges figure is based on the Fund's annual management fee, whereby the Investment Manager is responsible for the costs involved in running the Fund. In the event that the Fund's running costs exceed the stated figure the Investment Manager shall pay the excess from its own assets. The Investment Manager has undertaken to waive 0.10% of its annual management fee of 0.75% until 18 May 2024. The ongoing charges figure excludes portfolio transaction costs. Details of the transaction costs are available from your broker or financial advisor and at www.ftgportfolios.com. The figure may vary from year to year.



FEX	Acc USD	
ISIN	IE00B8X9NW27	
Asset Class	Equity	
Fund Inception	09.04.2013	
Share Class Inception	09.04.2013	
Ongoing Charges <sup>1</sup>	0.65%	
Base Currency	USD	
Income Treatment	Accumulating	
Total Fund AUM	\$96.37 million	
Outstanding Shares	632,556	
UCITS Compliant	Yes	
Domicile	Ireland	
Investment Manager	First Trust Advisors	
Rebalance Frequency	Quarterly	
Replication Method	Physical - Full Replication	
SFDR Classification	Article 6	
Tracking Index Nasdaq AlphaDEX® Large Cap Core NTR Index		

#### **RISK AND REWARD PROFILE**



The Synthetic Risk and Reward Indicator (SRRI) illustrates a fund's risk and reward profile through a number between 1 and 7.

# **Trading Information**

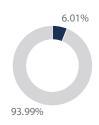
Exchange	Ссу	Ticker	SEDOL	
London Stock Exchange	GBP	FEX LN	B8XT9Q8	
London Stock Exchange	USD	FEXU LN	BGQW829	
Deutsche Börse Xetra	EUR	FTGU GY	BYQ4Q05	
✓ ISA ✓ SIPP	<b>~</b>	UK Reporti	ng Status	

### **Portfolio**

#### **TOP 10 HOLDINGS (%)**

Super Micro Computer, Inc.	1.15
NVIDIA Corporation	0.59
Deckers Outdoor Corporation	0.57
DoorDash, Inc.	0.56
Marathon Petroleum Corporation	0.55
Valero Energy Corporation	0.53
Diamondback Energy, Inc.	0.52
DraftKings Inc.	0.52
CrowdStrike Holdings, Inc.	0.51
General Motors Company	0.51



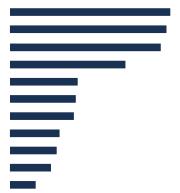


Top 10 holdings as a percentage of Total Net Assets. Portfolio Holdings are subject to change at any time. References to specific securities should not be construed as a recommendation to buy or sell and should not be assumed profitable.

#### **SECTOR ALLOCATION (%)**

**COUNTRY ALLOCATION (%)** 

Industrials	16.93
Financials	16.52
Information Technology	15.89
Consumer Discretionary	12.16
Health Care	7.20
Utilities	7.00
Materials	6.80
Communication Services	5.32
Energy	4.98
Consumer Staples	4.43
Real Estate	2.77



#### **FUND CHARACTERISTICS**

United States	94.85
( ) Ireland	2.13
• Switzerland	0.81
The Netherlands	0.69
Bermuda Bermuda	0.65
<b>=</b> Liberia	0.43
Panama	0.36
Curacao	0.08

Max Market Cap	\$3,126.1bn
Median Market Cap	\$38.0bn
Min Market Cap	\$9.5bn
Price/Earnings	18.39
Price/Book	2.75
Price/Cash Flow	12.15
Price/Sales	1.82

- The NASDAQ AlphaDEX® Large Cap Core Index is an "enhanced" index created and administered by Nasdaq, Inc. ("Nasdaq") which employs the AlphaDEX® stock selection methodology to select stocks from the NASDAQ US 500 Large Cap Index that meet certain criteria.
- Nasdaq constructs the NASDAQ AlphaDEX® Large Cap Core Index by ranking the eligible stocks from the NASDAQ US 500 Large Cap Index on growth factors including 3-, 6- and 12month price appreciation, sales to price and one year sales growth, and separately on value factors including book value to price, cash flow to price and return on assets. All stocks are ranked on the sum of ranks for the growth factors and, separately, all stocks are ranked on the sum of ranks for the value factors. A stock must have data for all growth and/ or value factors to receive a rank for that style.
- Each stock receives either its growth or value score as its selection score based on its style designation as determined by Nasdaq.
- The top 375 stocks based on the selection score determined in the previous step comprise the "selected stocks".
- The selected stocks are divided into quintiles based on their rankings and the top ranked quintiles receive a higher weight within the Index. The stocks are equally-weighted within each quintile.
- The Index is reconstituted and rebalanced quarterly.

# **Risks**

- The Fund may not achieve its investment objective and the value of shares in the Fund may fall.
- Neither First Trust Global Portfolios Management Limited ("FTGPM") nor any of its affiliates, guarantees the performance or the future returns of the Fund.
- There is no assurance that the Index will continue to be calculated and published on the basis described in the Prospectus. In addition, the Fund's return may not match the return of the Index.
- See also the description of the risks applicable to the Fund in "Risk Factors" in the Prospectus.

# **Important Information**

This marketing communication is issued by First Trust Global Portfolios Management Limited ("FTGPM") whose place of business is Fitzwilliam Hall, Fitzwilliam Place, Dublin 2, D02 T292. FTGPM is authorised and regulated by the Central Bank of Ireland ("CBI") (C185737). The Fund is also regulated by the CBI.

Nothing contained herein constitutes investment, legal, tax or other advice and it is not to be solely relied on in making an investment or other decision, nor does the document implicitly or explicitly recommend or suggest an investment strategy, reach conclusions in relation to an investment strategy for the reader, or provide any opinions as to the present or future value or price of any fund. It is not an invitation, offer, or solicitation to engage in any investment activity, including making an investment in the Fund, nor does the information, recommendations or opinions expressed herein constitute an offer for sale of the Fund.

The Fund is an open-ended sub-fund of First Trust Global Funds PLC (the "Company"), an umbrella UCITS fund with segregated liability between sub-funds, incorporated with limited liability as an investment company with variable capital under the laws of Ireland with UCITS registered number 514357.

The material in this marketing communication is not comprehensive and must therefore be read in conjunction with the Fund's prospectus and the UCITS key investor information document ("KID") or the PRIIPS key information document ("KID") which contain material information not contained herein, including the terms of investment and information regarding investment risks and restrictions, fees and expenses and conflicts of interests. Potential investors should pay particular attention to the risk disclosures in the "Risk Factors" section of the Fund's prospectus (available in English) and KIIDs (available for each share class of each of the sub-funds of the Company and in one of the official languages of each of the EU Member States into which each sub-fund has been notified for marketing under the Directive 2009/65/EC (the UCITS Directive)). No assurance can be given that the Fund's investment objective will be achieved or that the Fund will generate a positive return. Please contact FTGPM or visit www.ftglobalportfolios.com to obtain a prospectus and/or KIID/KID. Investors and potential investors can obtain a summary in English of investor rights

and information on access to collective redress mechanisms in the event of litigation at the following website: https://www.ftglobalportfolios.com/Content/SUMMARY\_OF\_INVESTOR\_RIGHTS.

The Fund is currently notified for marketing into a number of EU Member States under the UCITS Directive. The Company may however decide to terminate the arrangements made for the marketing of any share class of the Fund at any time using the process contained in the UCITS Directive.

Any UCITS ETF's units / shares purchased on the secondary market cannot usually be sold directly back to UCITS ETF. Investors must buy and sell units / shares on a secondary market with the assistance of an intermediary (e.g. a stockbroker) and may incur fees for doing so. In addition, investors may pay more than the current net asset value when buying units / shares and may receive less than the current net asset value when selling them.

The Company's portfolio holdings policy is designed to be transparent, whilst being in the best interest of the Fund and protecting the confidentiality of each Fund's portfolio holdings. The full portfolio holdings for the Fund shall generally be available daily, with a one-day lag, on www.ftglobalportfolios.com. Any portfolio holdings information which may otherwise be provided on request shall be provided on a confidential basis.

The NASDAQ AlphaDEX® Large Cap Core Index is a trademark of Nasdaq, Inc. and has been licensed for use by First Trust Portfolios L.P., and sub-licensed for use by First Trust Global Funds PLC. AlphaDEX® is a trademark owned by First Trust Portfolios L.P. and has been licensed to Nasdaq, Inc. for use in the name of the Index. The First Trust US Large Cap Core AlphaDEX® UCITS ETF is not sponsored, endorsed, sold or promoted by Nasdaq, Inc. and Nasdaq, Inc. makes no representation or warranty regarding the advisability of investing in the First Trust US Large Cap Core AlphaDEX® UCITS ETF or as to the result to be obtained by any person from use of the Index in connection with the trading of the First Trust US Large Cap Core AlphaDEX® UCITS ETF.