



UNION BANCAIRE PRIVÉE

## MARKETING MATERIAL

# UBAM - SWISS SMALL AND MID CAP EQUITY

Class AC CHF (capitalisation share)

Factsheet | February 2024

## FUND CHARACTERISTICS

Legal form	UBAM is a Luxembourg SICAV (UCITS, Part I of the Luxembourg law of 17/12/2010)
Fund domicile	LUXEMBOURG
SFDR Classification	Art. 8
Currency	CHF
NAV C	150.45
Fund's AUM	CHF 62.46 mio
Track record since	14 July 2015
Minimum investment	-
Subscription	Daily
Redemption	Daily
Price publication	www.ubp.com
Management fee	1.00 %
Number of holdings	56
ISIN	LU1088703548
Telekurs	24867877
Bloomberg ticker	USSMACC LX
Index	SWISS SPI EXTRA - RI - CHF

**The benchmark is for information purposes only. The investment objective does not aim at replicating the benchmark.**

## SPECIFIC RISKS

Counterparty risk, ESG and sustainability risk, liquidity risk  
Further information on the fund's potential risks can be found in the prospectus or in the Key Investor Information Documents or Key Information Documents available on [www.ubp.com](http://www.ubp.com). Any capital invested may be at risk and investors may not get back some or all of their original capital.

	Fund	Index
<b>ESG Quality Score</b>	6.98	6.92
<b>Environmental</b>	4.78	5.11
<b>Social</b>	5.10	5.02
<b>Governance</b>	6.51	6.42
<b>Weighted Average Carbon Intensity*</b>	27.17	30.87

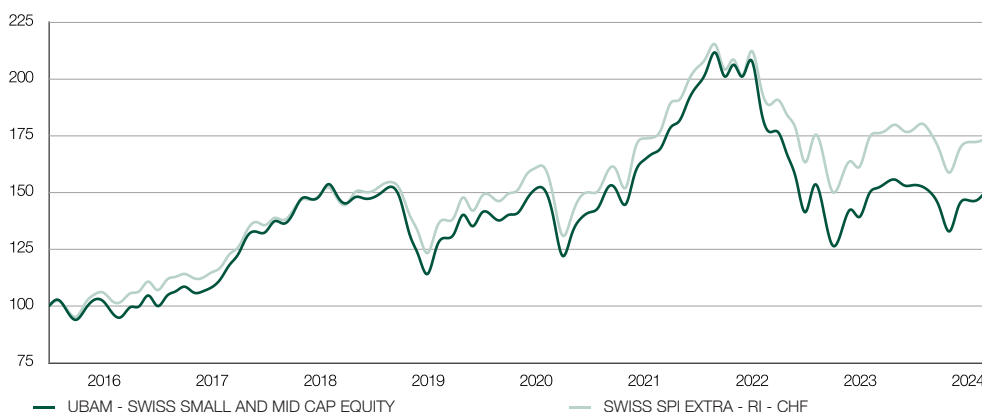
Source: ©2022 MSCI ESG Research LLC - Reproduced by permission. The MSCI methodology, used to calculate the ESG quality score, was changed in April 2019.  
\*(tons CO2e/\$M Sales)



## ABOUT THE FUND

The fund offers access to global growth opportunities through high-quality Swiss small- and mid-cap stocks. UBAM - Swiss Small and Mid Cap Equity is actively managed and integrates ESG considerations along its investment process. The concentrated portfolio is a reflection of the investment team's high-conviction ideas in the Swiss non-large cap space.

## PERFORMANCE EVOLUTION CHF (NET OF FEES)

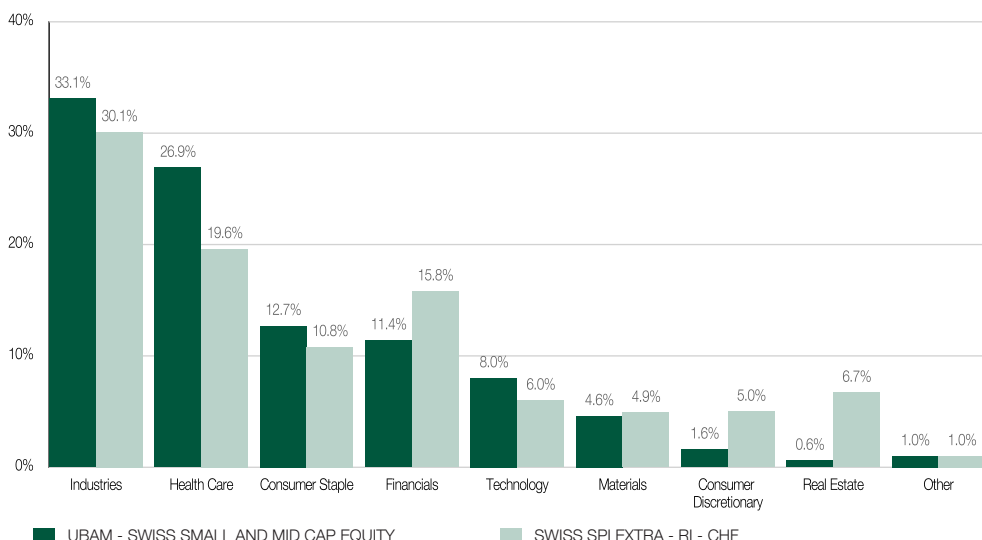


## PERFORMANCE HISTORY CHF (NET OF FEES)

	February 2024	YTD	2023	2022	2021	2020	2019
UBAM - SWISS SMALL AND MID CAP EQUITY	2.60 %	2.56 %	5.31 %	-33.10 %	26.75 %	8.36 %	32.80 %
SWISS SPI EXTRA - RI - CHF	0.74 %	0.84 %	6.53 %	-24.02 %	22.19 %	8.07 %	30.42 %
	3 months	6 months	1 year	3 years	5 years	10 Years	Since inception
UBAM - SWISS SMALL AND MID CAP EQUITY	4.59 %	0.57 %	-1.03 %	-11.49 %	15.72 %		50.45 %
SWISS SPI EXTRA - RI - CHF	3.35 %	-1.12 %	-1.60 %	-2.58 %	25.69 %		73.37 %

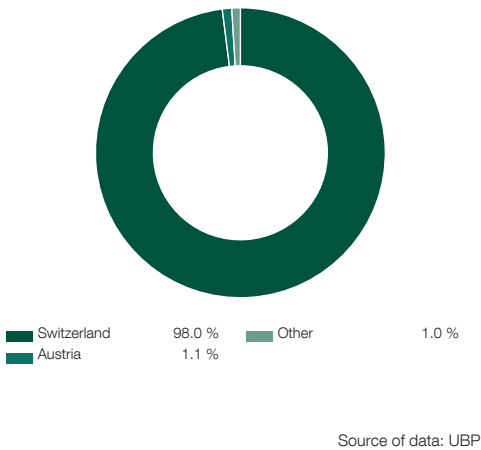
Since launch. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise. Past performance figures are stated in the currency of the share class and calculated with dividends reinvested; they are free of ongoing charges. The calculation does not take into account sales commissions and other fees, taxes and applicable costs to be paid by the investor. For example, with an investment of EUR 100, the actual investment would amount to EUR 99 in the case of an entrance fee of 1%. At investor level, additional costs may also be incurred (e. g. front-end load or custody fee charged by the financial intermediary).

## SECTOR COMPARISON



Source of data: UBP

COUNTRY BREAKDOWN



MAIN HOLDINGS

Asset	Sector	Fund weight	Index weight
CHOCOLADEFABRIKEN LINDT & STRAUMANN HOLDING AG	Consumer Staples	8.3%	3.7%
SANDOZ GROUP AG	Health Care	6.3%	5.3%
VAT GROUP AG	Health Care	5.7%	3.9%
SWISSQUOTE GROUP HOLDING SA	Industrials	5.5%	4.0%
GEORG FISCHER AG	Financials	3.1%	0.9%
SIG GROUP N AG	Industrials	3.0%	1.8%
BELIMO N AG	Materials	3.0%	2.0%
GALENICA AG	Industrials	2.8%	1.4%
FLUGHAFEN ZUERICH AG	Health Care	2.7%	1.3%
	Industrials	2.5%	1.2%

Source of data: UBP

PORTFOLIO COMPOSITION MONTHLY EVOLUTION

	February	January	December	November	October
Weight of the top ten holdings	42.92 %	43.43 %	42.19 %	41.10 %	41.28 %
Number of holdings	56	58	59	60	61
Caps > USD 10 billion	29.96 %	31.51 %	32.55 %	32.09 %	34.25 %
Caps USD 2-10 billion	55.08 %	48.49 %	49.13 %	50.40 %	45.48 %
Caps < USD 2 billion	14.95 %	20.00 %	18.32 %	17.51 %	20.26 %

Source of data: UBP

ADMINISTRATION

**Management Company**  
UBP Asset Management (Europe) S.A., 287-289, route d'Arlon, L-1150 Luxembourg

**General distributor, Swiss representative and Swiss paying agent**  
Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Geneva 1

**Administrative agent, registrar and transfer agent**  
CACEIS Bank Luxembourg Branch, 5, allée Scheffer, L-2520 Luxembourg

**Custodian bank**  
BNP Paribas S.A. Luxembourg Branch  
60 avenue J.F. Kennedy, L-1855 Luxembourg

**Auditor**  
Deloitte Audit S.à.r.l, 20 Boulevard de Kockelscheuer, L-1821 Luxembourg

**Legal form**  
UBAM is a Luxembourg SICAV (UCITS, Part I of the Luxembourg law of 17/12/2010)

REGISTRATION AND DOCUMENTATION

**Countries where Distribution is Authorised**  
Depending on the country, certain share classes may or may not be registered for public distribution. The registered share classes are recorded in a Key Investor Information Document (KIID). Investors are invited to inform themselves about the registered share classes or to request copies of the relevant KIIDs from the fund's headquarters, the general distributor (Union Bancaire Privée, UBP SA, Geneva), or from the local representative for their country.

Registered Office	UBP Asset Management (Europe) S.A., 287-289, route d'Arlon, L-1150 Luxembourg
Representatives	
Belgium	Caceis Belgium Fund Administration Service & Technology Network Belgium, Avenue du Port 86 C, b320, B-1000 Bruxelles
Portugal	BEST - Banco Electronico de Serviço Total S.A., Praça Marquês de Pompal, no. 3 - 3º 1250 - 161 Lisboa
Singapore	Union Bancaire Privée, UBP SA, Singapore branch, Level 24, One Raffles Quay, South Tower, Singapore 048583
Spain	Alfunds Banks S.a., 57 Nuria, E-28034 Madrid UBP Gestion Institucional S.A., Avenida Diagonal 520 2º, 2a-B, E-08006 Barcelona
Sweden	Skandinaviska Enskilda Banken AB (PUBL) ("SEB"), 106 40 Stockholm
Switzerland	Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Genève 1
United Kingdom	Union Bancaire Privée, 26-37 Seymour Mews, London, W1H 6BN
OTHER COUNTRIES	Countries where distribution is authorised : Finland, Netherlands

GLOSSARY

**Benchmark**  
Index used as basis for measuring the performance of an investment fund. Also called reference index or comparison index.

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