



UNION BANCAIRE PRIVÉE

UBAM - SWISS SMALL AND MID CAP EQUITY

Class AC CHF (capitalisation share)

Factsheet | June 2022

FUND CHARACTERISTICS

Legal form	UBAM is a Luxembourg SICAV (UCITS, Part I of the Luxembourg law of 17/12/2010)
Fund domicile	LUXEMBOURG
Currency	CHF
NAV C	141.34
Fund's AUM	CHF 87.93 mio
Track record since	14 July 2015
Minimum investment	-
Subscription	Daily
Redemption	Daily
Price publication	www.ubp.com
Management fee	1.00 %
Number of holdings	62
ISIN	LU1088703548
Telekurs	24867877
Bloomberg ticker	USSMACC LX
Index	SWISS SPI EXTRA - RI - CHF

The benchmark is for information purposes only. The investment objective does not aim at replicating the benchmark.

Lower risk, Higher risk,
potentially lower rewards potentially higher rewards

1 2 3 4 5 **6** 7

SPECIFIC RISKS

- Concentration risk: To the extent that the Fund's investments are concentrated in a particular country, market, industry or asset class, the Fund may be susceptible to loss due to adverse occurrences affecting that country, market, industry or asset class.
- Full details of risks are disclosed in the chapter 'Risk Factors' in the prospectus.

	Fund	Index
ESG Quality Score	7.68	8.00
Environmental	5.26	5.45
Social	5.30	5.21
Governance	6.53	6.40
Weighted Average Carbon Intensity*	30.04	40.54

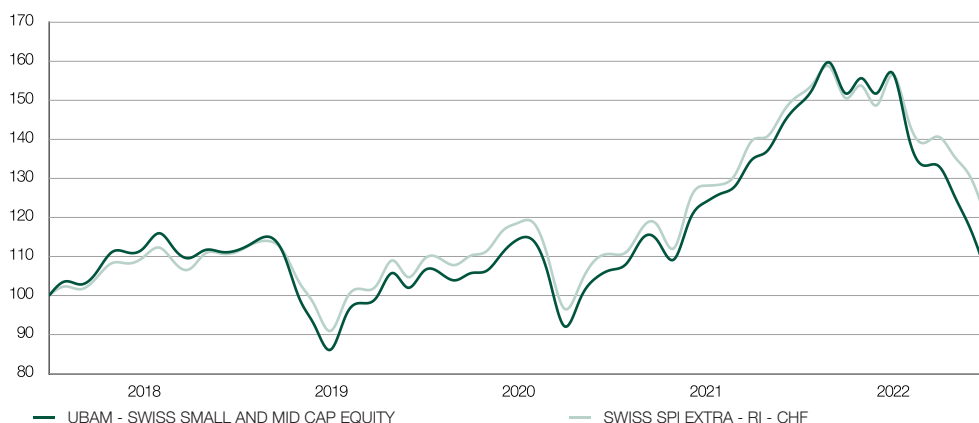
Source: ©2022 MSCI ESG Research LLC - Reproduced by permission. The MSCI methodology, used to calculate the ESG quality score, was changed in April 2019.
 *(tons CO2e/\$M Sales)



ABOUT THE FUND

The fund offers access to global growth opportunities through high-quality Swiss small- and mid-cap stocks. UBAM - Swiss Small and Mid Cap Equity is actively managed and integrates ESG considerations along its investment process. The concentrated portfolio is a reflection of the investment team's high-conviction ideas in the Swiss non-large cap space.

PERFORMANCE EVOLUTION CHF (NET OF FEES)



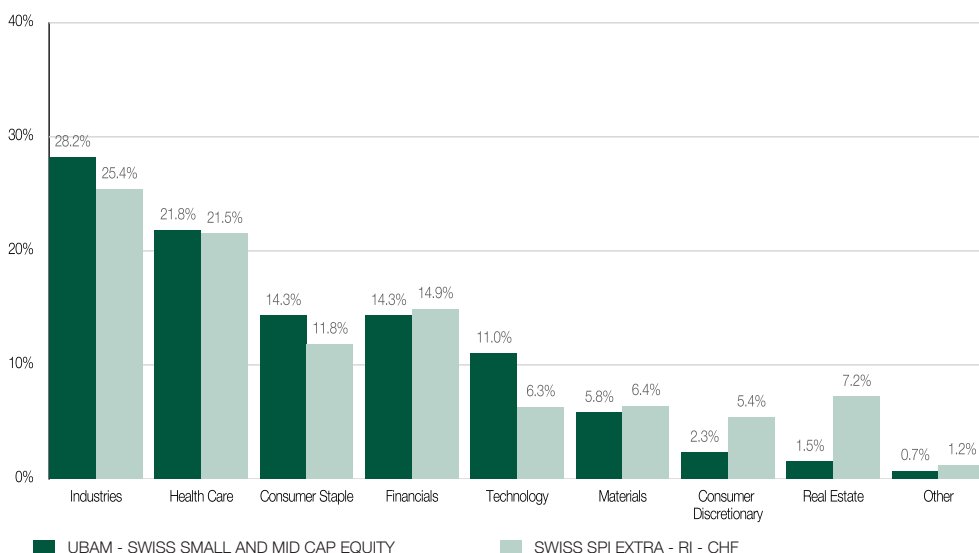
Performance over 5 years or since inception. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise.

PERFORMANCE HISTORY CHF (NET OF FEES)

	YTD	2021	2020	2019	2018	2017
UBAM - SWISS SMALL AND MID CAP EQUITY	-32.12 %	26.75 %	8.36 %	32.80 %	-23.19 %	37.26 %
SWISS SPI EXTRA - RI - CHF	-23.12 %	22.19 %	8.07 %	30.42 %	-17.23 %	29.73 %
	June 2022	3 months	1 year	3 years	5 years	Since inception
UBAM - SWISS SMALL AND MID CAP EQUITY	-9.14 %	-19.95 %	-28.31 %	0.40 %	6.61 %	41.34 %
SWISS SPI EXTRA - RI - CHF	-7.81 %	-14.43 %	-20.38 %	10.34 %	20.36 %	63.30 %

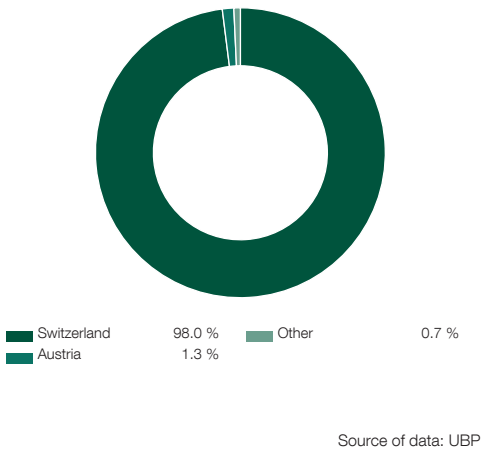
Since launch. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise. Past performance figures are stated in the currency of the share class and calculated with dividends reinvested; they are free of ongoing charges. The calculation does not take into account sales commissions and other fees, taxes and applicable costs to be paid by the investor. For example, with an investment of EUR 100, the actual investment would amount to EUR 99 in the case of an entrance fee of 1%. At investor level, additional costs may also be incurred (e. g. front-end load or custody fee charged by the financial intermediary).

SECTOR COMPARISON



Source of data: UBP

COUNTRY BREAKDOWN



MAIN HOLDINGS

Asset	Sector	Fund weight	Index weight
SONOVA HOLDING AG	Health Care	6.6%	5.6%
CHOCOLADEFABRIKEN LINDT &	Consumer Staples	6.5%	3.6%
KUEHNE UND NAGEL INTERNATIONAL AG	Industrials	6.5%	4.5%
STRAUMANN HOLDING AG	Health Care	6.1%	4.5%
BARRY CALLEBAUT AG	Consumer Staples	4.6%	2.6%
BALOISE HOLDING AG	Financials	3.7%	2.5%
SIG GROUP N AG	Materials	3.4%	2.5%
VAT GROUP AG	Industrials	3.3%	2.1%
SENSIRION HOLDING AG	Information Technology	2.4%	0.4%
BELIMO N AG	Industrials	2.3%	1.2%

Source of data: UBP

PORTFOLIO COMPOSITION MONTHLY EVOLUTION

	June	May	April	March	February
Weight of the top ten holdings	45.55 %	44.27 %	43.78 %	44.14 %	40.22 %
Number of holdings	62	62	61	61	60
Caps > USD 10 billion	29.06 %	29.01 %	29.19 %	36.77 %	32.97 %
Caps USD 2-10 billion	40.39 %	44.44 %	46.43 %	42.78 %	45.43 %
Caps < USD 2 billion	30.54 %	26.55 %	24.38 %	20.45 %	21.59 %

Source of data: UBP

ADMINISTRATION

Management Company
UBP Asset Management (Europe) S.A., 287-289, route d'Arlon, L-1150 Luxembourg

General distributor, Swiss representative and Swiss paying agent
Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Geneva 1

Administrative agent, registrar and transfer agent
CACEIS Bank Luxembourg Branch, 5, allée Scheffer, L-2520 Luxembourg

Custodian bank
BNP Paribas Securities Services Luxembourg Branch
60 avenue J.F. Kennedy, L-1855 Luxembourg

Auditor
Deloitte Audit S.à.r.l, 20 Boulevard de Kockelscheuer, L-1821 Luxembourg

Legal form
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REGISTRATION AND DOCUMENTATION

Countries where Distribution is Authorised Depending on the country, certain share classes may or may not be registered for public distribution. The registered share classes are recorded in a Key Investor Information Document (KIID). Investors are invited to inform themselves about the registered share classes or to request copies of the relevant KIIDs from the fund's headquarters, the general distributor (Union Bancaire Privée, UBP SA, Geneva), or from the local representative for their country.	
Registered Office	UBP Asset Management (Europe) S.A., 287-289, route d'Arlon, L-1150 Luxembourg
Representatives	
Belgium	Caceis Belgium Fund Administration Service & Technology Network Belgium, Avenue du Port 86 C, b320, B-1000 Bruxelles
Portugal	BEST - Banco Electronico de Serviço Total S.A., Praça Marquês de Pompal, no. 3 - 3º 1250 - 161 Lisboa
Singapore	Union Bancaire Privée, UBP SA, Singapore branch, Level 24, One Raffles Quay, South Tower, Singapore 048583
Spain	Alfunds Banks S.a., 57 Nuria, E-28034 Madrid UBP Gestion Institucional S.A., Avenida Diagonal 520 2º, 2a-B, E-08006 Barcelona
Sweden	Skandinaviska Enskilda Banken AB (PUBL) ("SEB"), 106 40 Stockholm
Switzerland	Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Genève 1
United Kingdom	Union Bancaire Privée, 26 St James's Square, London SW1Y 4JH
OTHER COUNTRIES	Countries where distribution is authorised : Finland, Netherlands

GLOSSARY

Benchmark
Index used as basis for measuring the performance of an investment fund. Also called reference index or comparison index.

Derivatives
Derivatives are financial instruments whose prices depend on the price movements in a reference variable, known as the underlying. Underlying assets may be shares, equity indices, government bonds, currencies, interest rates, commodities like wheat and gold, or

also swaps. Derivative financial instruments may be unconditional forward transactions or they may be options. They are traded either on futures and options exchanges on standardised terms, or over-the-counter (OTC) on freely negotiated terms. Changes in the price of the underlying lead in certain situations to considerably higher price fluctuations in the derivative. Derivatives can be used to hedge against financial risks, to speculate on price changes (trading) or to take advantage of price differences between markets (arbitrage).

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