

Application Form Plurima Funds (the “Fund”) excluding Earth Gold

Please complete this form in blue or black ink using BLOCK CAPITALS, and return it together with the applicable documentation required in connection with Anti-Money Laundering procedures detailed in Section 4 to the Administrator:

Plurima Funds

**C/o RBC Investor & Treasury Services
4th Floor, One George's Quay Plaza,
George's Quay | Dublin 2 | Ireland**

**Telefax: 353 1 613 04 01
Telephone: 353 1 440 65 55**

Or to the relevant Correspondent Bank

Instructions for completion of the Application Form

Please note that the original fully signed Application Form must be returned to the Administrator (or to the Correspondent Bank for forwarding to the Administrator) appointed by the Manager at the above address if this is your first investment in Units of the Fund. No redemption or conversion or transfer requests will be processed prior to receipt of this original form and supporting documentation.

Please refer to the Application Form Notes when completing this Form. Applicants should complete **all** sections in full and ensure that the application is signed by the applicant(s) and/or appropriate authorised signatories on page 17.

Existing investors should complete Section 1, the first part of Section 2 and again sign on page 17.

The Fund is valued at the close of business on days that are business days in Ireland, the UK and Luxembourg (a “Valuation Day”). An application form received in Ireland by 12:00 Irish time will be valued on that Valuation Day; applications received after that time will be carried forward to the next Valuation Day

SECTION 1 - Investment Details

I/We the undersigned having received and read a copy of the Key Investor Information Document (“KIID”) for the relevant Unit Class in which investment is being made hereby apply to subscribe for a number of Units or a cash amount as set out below and undertake to settle therefore in full by telegraphic transfer for:

Sub-Fund & Unit Class	ISIN	Fund Code	Subscription fee	Ccy	Cash Amount	Unit Amount
PLURIMA European Absolute Return - “A” Retail Unhedged Series 2	IE00B2Q0GZ45	567	up to 5%	EUR		
PLURIMA European Absolute Return - “A” Institutional	IE00B2Q0H069	571		EUR		
PLURIMA European Absolute Return - “A” No Load	IE00B5NLX611	572		EUR		
PLURIMA European Absolute Return - “B” Retail Unhedged Series 2	IE00B78B8R61	898	up to 5%	EUR		
PLURIMA Frame Alpha Strategy - “A” Hedged	IE00B8Q7Y428	PL1	up to 5%	USD		
PLURIMA Frame Alpha Strategy - “A” Retail Unhedged Series2	IE00B639R488	568	up to 5%	EUR		
PLURIMA Frame Alpha Strategy - “A” Retail Unhedged No Load	IE00B5MTVX91	575		EUR		
PLURIMA Frame Alpha Strategy - “A” Institutional Unhedged	IE00B639R595	570		EUR		
PLURIMA Frame Alpha Strategy Fund - “A” Premium	IE00B9SLH623	P90		CHF		
PLURIMA Frame Alpha Strategy - “A” Institutional	IE00B9SLH730	P91		USD		
PLURIMA Unifortune Global Strategy – “C”	IE00BK8FNZ21	PD1	up to 3%	EUR		
PLURIMA Unifortune Global Strategy - “E”	IE00B4VJTH30	409	up to 3%	EUR		
PLURIMA Unifortune Global Strategy - “F”	IE00B4ZFSS12	408	up to 3%	EUR		
PLURIMA Unifortune Global Strategy - “G”	IE00B4TXR840	407	up to 3%	EUR		
PLURIMA Unifortune Global Strategy - “H”	IE00BK8FP013	PD2	up to 3%	EUR		
PLURIMA Unifortune Global Strategy – “I”	IE00B4RC2P59	406	up to 3%	EUR		
PLURIMA Multi Selection - “A” Retail	IE00B9218876	531	up to 2%	EUR		
PLURIMA Multi Selection - “A” Institutional	IE00B43PCH08	532	up to 1%	EUR		
PLURIMA Multi Selection - “A” Retail Sterling	IE00B8DQ9X61	533	up to 4%	GBP		
PLURIMA Multi Selection - “A” Retail USD	IE00B91T9561	534	up to 3%	USD		
PLURIMA Multi Selection - “A” Retail CHF	IE00BC7GWD15	535	up to 2%	CHF		
PLURIMA VB Selection Opportunities Fund - “A” Institutional	IE00B92ZXK63	541	up to 3%	EUR		
PLURIMA VB Selection Opportunities Fund - “A” Retail	IE00B9G4TX44	542	up to 3%	EUR		
PLURIMA VB Selection Opportunities Fund - “A” Retail (No Load)	IE00B8HYCX75	543		EUR		
PLURIMA Junior Resources Fund - “A” Institutional	IE00B9LYG383	545	up to 5%	GBP		

PLURIMA Junior Resources Fund - "A" Premium	IE00B9LY4X46	546	up to 5%	GBP		
PLURIMA Junior Resources Fund - "A" Institutional Service	IE00B7WLDZ53	547	up to 5%	GBP		
UCapital Multi Alpha Plus - "A" Institutional	IE00BHFHZ093	CN2	up to 3%	EUR		
UCapital Multi Alpha Plus - "B" Institutional	IE00BHFHZ101	CN3	up to 3%	EUR		
UCapital Multi Alpha Plus - "A" Retail	IE00BHFHZ218	CN4	up to 3%	EUR		
UCapital Multi Alpha Plus - "B" Retail	IE00BHFHZ325	CN5	up to 3%	EUR		
PLURIMA Apuano Flexible Bond Fund- "A" Retail	IE00BLZGSH22	P85	up to 5%	EUR		
PLURIMA Apuano Flexible Bond Fund - "A" Institutional Premium	IE00BLY1R049	P81		EUR		
PLURIMA Apuano Flexible Bond Fund - "A" Institutional	IE00BLY1R155	P82		EUR		
PLURIMA Apuano Flexible Bond Fund - "A" Retail Premium	IE00BLY1R262	P83		EUR		
PLURIMA Apuano Flexible Bond Fund- "A" Retail Plus	IE00BLY1R379	P84	up to 1%	EUR		
PLURIMA Apuano Flexible Bond Fund- "A" Retail Premium	IE00BX RTP505	P98		CHF		
PLURIMA Apuano Flexible Bond Fund - "B" Institutional	IE00BD1RJ692			EUR		
PLURIMA Apuano Flexible Bond Fund - "B" Retail Premium	IE00BD1RJ700			EUR		
JRC Global FX Absolute Return Fund "A" Institutional	IE00BNB77M11	JR1	up to 3%	EUR		
JRC Global FX Absolute Return Fund - "A" Retail	IE00BNB77L04	JR2	up to 5%	EUR		
PLURIMA Pairstech Strategy Portfolio Fund - "A" Retail	IE00BVDPXL06	PP2	up to 3%	EUR		
PLURIMA Pairstech Strategy Portfolio Fund - "A" Institutional	IE00BVDPXM13	PP3	up to 3%	EUR		
PLURIMA VB Total Return Bond Fund - "A" Institutional	IE00BVDPXN20	PM1		EUR		
PLURIMA VB Total Return Bond Fund - "A" Retail	IE00BVDPXP44	PM2	up to 5%	EUR		
PLURIMA VB Total Return Bond Fund - "A" Retail Premium	IE00BVDPXQ50	PM3	up to 2%	EUR		
PLURIMA Global Star Return Fund - "A" Retail	IE00BWBXSY17	P45	up to 3%	EUR		
PLURIMA Global Star Return Fund - "A" Institutional	IE00BWBXSZ24	P46	up to 3%	EUR		
PLURIMA Global Star Return Fund - "A" I	IE00BZ2ZQT83	PG2	up to 3%	EUR		
PLURIMA Global Star Return Fund - "A" R	IE00BZ2ZQM15	PG1	up to 3%	EUR		
PLURIMA CSV China Opportunities Fund - "A" Institutional	IE00BYX1XL96	462	up to 3%	EUR		
PLURIMA CSV China Opportunities Fund - "A" Institutional	IE00BYX1XK89	461	up to 3%	USD		
PLURIMA VB Strategy Ranking Fund- "A" Institutional	IE00BYZ5XH51	MC1	up to 3%	EUR		
PLURIMA VB Strategy Ranking Fund- "A" Retail Class	IE00BYZ5XJ75	MC2	up to 3%	EUR		

PLURIMA Theorema European Equity Long-Short Fund – “A” Institutional EUR	IE00BZ6TF996	TL0		EUR		
PLURIMA Theorema European Equity Long-Short Fund – “A” Institutional USD	IE00BZ6TFC22	TL1		USD		
PLURIMA Theorema European Equity Long-Short Fund – “A” Institutional GBP	IE00BZ6TFD39	TL2		GBP		
PLURIMA Theorema European Equity Long-Short Fund – “B” Institutional EUR	IE00BZ6TFF52	TL3		EUR		
PLURIMA Theorema European Equity Long-Short Fund – “A” Retail EUR	IE00BZ6TFK06	TL4	Up to 5%	EUR		
PLURIMA Theorema European Equity Long-Short Fund – “A” Retail USD	IE00BZ6TFL13	TL5	Up to 5%	USD		
PLURIMA Theorema European Equity Long-Short Fund – “A” Retail GBP	IE00BZ6TFM20	TL6	Up to 5%	GBP		
PLURIMA Theorema European Equity Long-Short Fund – “A” Management EUR	IE00BZ6TFN37	TL7		EUR		
PLURIMA Theorema European Equity Long-Short Fund – “A” Management USD	IE00BZ6TFP50	TL8		USD		
PLURIMA Theorema European Equity Long-Short Fund – “A” Management GBP	IE00BZ6TFQ67	TL9		GBP		
PLURIMA Apuano Dynamic Equity Fund “A” Institutional Premium	IE00BYN2W268	AD1		EUR		
PLURIMA Apuano Dynamic Equity Fund “A” Institutional	IE00BYN2WH10	AD2		EUR		
PLURIMA Apuano Dynamic Equity Fund “A” Retail Premium	IE00BYN2WQ01	AD3		EUR		
PLURIMA Apuano Dynamic Equity Fund “A” Retail Plus	IE00BYN2WS25	AD4	Up to 2%	EUR		
PLURIMA Apuano Dynamic Equity Fund “A” Retail	IE00BD1DMJ18	AD5		EUR		
PLURIMA Apuano Romulus Equity Fund - “A” Institutional Premium	IE00BZ76SK11	PR1		EUR		
PLURIMA Apuano Romulus Equity Fund - “A” Institutional	IE00BZ76SL28	PR2		EUR		
PLURIMA Apuano Romulus Equity Fund - “A” Retail Premium	IE00BZ76SM35	PR3		EUR		
PLURIMA Apuano Romulus Equity Fund - “A” Retail Plus	IE00BZ76SN42	PR4	Up to 2%	EUR		
PLURIMA Apuano Romulus Equity Fund - “A” Retail	IE00BZ76SP65	PR5		EUR		
PLURIMA Theorema Alternative Credit Equity Fund - “A” Retail	IE00BZ77QN35	TAA		EUR		
PLURIMA Theorema Alternative Credit Equity Fund - “A” Retail Hedged	IE00BD1DH969	TAB		USD		
PLURIMA Theorema Alternative Credit Equity Fund - “A” Retail Hedged	IE00BD1DHB88	TAC		GBP		
PLURIMA Theorema Alternative Credit Equity Fund - “A” Institutional	IE00BD1DHC95	TAD		EUR		

PLURIMA Theorema Alternative Credit Equity Fund - "A" Institutional Hedged	IE00BD1DHD03	TAE		USD		
PLURIMA Theorema Alternative Credit Equity Fund - "A" Institutional Hedged	IE00BD1DHF27	TAF		GBP		
PLURIMA Theorema Alternative Credit Equity Fund - "A" Seed Investor	IE00BD1DHG34	TAG		EUR		
PLURIMA Theorema Alternative Credit Equity Fund - "A" Management	IE00BD1DHH41	TAH		EUR		
PLURIMA Theorema Alternative Credit Equity Fund - "A" Institutional Premium	IE00BD1DHJ64	TAI		EUR		
PLURIMA Theorema Alternative Credit Equity Fund - "A" Institutional Premium Hedged	IE00BD1DHK79	TAJ		USD		
PLURIMA Theorema Alternative Credit Equity Fund - "A" Institutional Premium Hedged	IE00BD1DHL86	TAK		GBP		
PLURIMA Theorema Alternative Credit Equity Fund - "B" Institutional	IE00BD1DHM93	TAL		EUR		
PLURIMA Multi Selection Fund – "A" Retail Premium	IE00BD5GH807	586	Up to 2%	EUR		
PLURIMA 10 Convictions Fund - "A" Institutional Class	IE00BF13LF49			EUR		
PLURIMA 10 Convictions Fund - "A" Retail Class	IE00BF13LG55			EUR		
PLURIMA Apuano Multi Strategy Fund - "A" Institutional Premium	IE00BF13LH62			EUR		
PLURIMA Apuano Multi Strategy Fund - "A" Institutional	IE00BF13LJ86			EUR		
PLURIMA Apuano Multi Strategy Fund - "A" Listed	IE00BF13LK91			EUR		
PLURIMA Apuano Multi Strategy Fund - "A" Retail	IE00BF13LL09			EUR		
PLURIMA Apuano Multi Strategy Fund - "A" Retail Plus	IE00BF13LM16		Up to 3%	EUR		
PLURIMA Apuano Multi Strategy Fund - "A" Retail Premium	IE00BF13LN23			EUR		

Investors should note that

- *In the case of Plurima European Absolute Return Fund – "B" Retail Unhedged Distribution Units Series 2 all recurring expenses will be charged against the capital of the relevant Class. This will have the effect of lowering the capital value of your investment.*
- *In certain cases, distributions may be payable out of capital. Where distributions, or a portion thereof, are paid out of capital, Unitholders should note that capital may be eroded and that distributions shall be achieved by foregoing the potential for future capital growth. The policy of paying distributions or a portion thereof out of capital seeks to maximise distributions but it will also have the effect of lowering the capital value of a Unitholder's investment and constraining the potential for future capital growth. This cycle may continue until all capital is depleted. Distributions out of capital may have different tax implications to distributions out of income, accordingly, investors should seek tax advice in this regard.*
- *The Manager may at its sole discretion waive the subscription fee or fees or differentiate between applicants as to the amount of such fee or fees within the permitted limits set out in the Prospectus.*

SECTION 2 - Details of Applicant(s)

Existing Investor If you are an existing investor in the Fund ("Existing Investor") please provide your account details below.	
Name of Account	
Fund Name	
Existing Account Number	

First Applicant	
Individuals: Complete your full name and address below	
Title	Mr Mrs Miss Ms Other (please specify)
Surname	
Forename(s) in full	
Nationality	Date of birth

Registered Address¹	
Address	
City/Town	
Post Code	Country
Email Address	
Tel No	Fax No

Correspondence Address² Please complete the section if correspondence is to be sent to a different address to that set out above	
Address	
City/Town	
Post Code	Country

Declaration of U.S. Citizenship or U.S. Residence for Tax purposes Please tick either (a) or (b) and complete as appropriate	
(a) <input type="checkbox"/> I confirm that I am a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows: _____.	
OR	
(b) <input type="checkbox"/> I confirm that I am not a U.S. citizen or resident in the U.S. for tax purposes.	

Declaration and Undertaking

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature: _____

Date: (dd/mm/yyyy): _____

Corporate Applicants: Complete your full name and address below										
Title	Mr		Mrs		Miss		Ms		Other (please specify)	
Surname										
Forename(s) in full										
Nationality								Date of birth		
Company Name (required ONLY for corporate applicants)										
Nature of Business (required ONLY for corporate applicants)										
Country of Incorporation										

Registered Address ¹			
Address			
City/Town			
Post Code		Country	
Email Address			
Tel No		Fax No	

Correspondence Address ²			
Please complete the section if correspondence is to be sent to a different address to that set out above			
Address			
City/Town			
Post Code		Country	

Specified U.S. Person
Please tick either (a) or (b) and complete as appropriate:
(a) <input type="checkbox"/> The Entity is a Specified U.S. Person and the Entity's U.S. Federal Taxpayer Identifying number (US TIN) is as follows U.S. TIN _____.
OR
(b) <input type="checkbox"/> The Entity is not a Specified U.S. Person (please also complete the 'Declaration of Tax residency' and 'FATCA Classification' sections below)

Declaration of Tax residency	
<i>(Note: Declaration of Tax residency is requested in the context of the OECD Common Reporting Standard ("CRS"), an initiative to implement automatic exchange of financial account information on a global basis.)</i>	
Please indicate the Entity's place of tax residence (if resident in more than one country please detail all countries of tax residence and associated Tax ID numbers).	
Country of Tax Residency	Tax ID Number

Joint Applicants

Details of up to 3 additional holders may be added to the application. Please complete details in block capitals below.

First additional applicant details

Title	Mr	Mrs	Miss	Ms	Other (please specify)	
Surname						
Forename(s) in full						
Residential address						
Mailing address (if different)						
Nationality				Date of Birth		

Declaration of U.S. Citizenship or U.S. Residence for Tax purposes

Please tick either (a) or (b) and complete as appropriate

- (a) I confirm that **I am** a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number

(U.S. TIN) is as follows: _____.

OR

- (b) I confirm that **I am not** a U.S. citizen or resident in the U.S. for tax purposes.

Declaration and Undertaking

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature: _____

Date: (dd/mm/yyyy): _____

Second additional applicant details

Title	Mr	Mrs	Miss	Ms	Other (please specify)	
Surname						
Forename(s) in full						
Residential address						
Mailing address (if different)						
Nationality				Date of Birth		

Declaration of U.S. Citizenship or U.S. Residence for Tax purposes

Please tick either (a) or (b) and complete as appropriate

- (a) I confirm that **I am** a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number

(U.S. TIN) is as follows: _____.

OR

- (b) I confirm that **I am not** a U.S. citizen or resident in the U.S. for tax purposes.

Declaration and Undertaking

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature: _____

Date: (dd/mm/yyyy) : _____

Third additional applicant details						
Title	Mr	Mrs	Miss	Ms	Other (please specify)	
Surname						
Forename(s) in full						
Residential address						
Mailing address (if different)						
Nationality					Date of Birth	

Declaration of U.S. Citizenship or U.S. Residence for Tax purposes

Please tick either (a) or (b) and complete as appropriate

- (a) I confirm that I am a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number

(U.S. TIN) is as follows: _____.

OR

- (b) I confirm that I am not a U.S. citizen or resident in the U.S. for tax purposes.

(c)

Declaration and Undertaking

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature: _____

Date: (dd/mm/yyyy) : _____

¹ This address should be the address of the registered holder e.g. the nominee's address if Units are held by a nominee. In the case of individual applicants in their own name this address will appear on the register and should therefore be a residential address. The applicant will be required to provide proof of this address in Section 4. PO Boxes or 'care of' addresses should not be provided.

² If a separate mailing address is being provided by a company, this must be in addition to the provision of details of the company's registered address.

Please note that by completing this Application Form you are providing personal data to the Manager for the purposes of applying for Units in the Fund. This data will be used for the purposes of administration, analysis, research and disclosure to the Manager its delegates and agents. Your consent is required for the use of your personal data in the "Declarations" section of the Application Form.

Additional applicants will be required to provide confirmation of residential address details for anti-money laundering verification purposes, in addition to tax information as required in Section 5.

Correspondence will be sent to the first named applicant of joint holders.

SECTION 3 - Bank Details

Subscription monies – for Settlement of Purchase of Units

All subscription payments must be made by electronic funds transfer to the relevant account detailed below for settlement no later than 14.30 GMT for EUR, 13.30 GMT for GBP subscriptions, 11.30 GMT for CHF subscriptions and 17.00 GMT for USD subscriptions three Business Days following the relevant Valuation Day (“Settlement Day”).

Settlement must be made in the designated currency of the Sub-Fund or Class in which you are investing.

EUR subscriptions banking details

Intermediary Bank: Bank of America London**
SWIFT: BOFAGB22**
Beneficiary Bank: RBC Investor Services Bank SA Luxembourg
SWIFT: FETALULL
Final Beneficiary: Plurima EUR Subscription Account
IBAN number: LU603412174301991800
Reference: Investor name and trade confirmation number

****NOTE for EUR Payments:** In order to benefit as much as possible from local clearing, it is recommended that you route your payment via one of the following of our Bank of America EUR correspondent banks:

Code Swift	Bank Name
BOFAGB22	Bank of America London
BOFAFRPP	Bank of America Paris
BOFADEFX	Bank of America Frankfurt
BOFAIT2X	Bank of America Milan
BOFAES2X	Bank of America Madrid
BOFABE3X	Bank of America Antwerp
BOFAIE3X	Bank of America Dublin
BOFANLNX	Bank of America Amsterdam

USD subscriptions banking details

Intermediary Bank: Bank of America New York
SWIFT: BOFAUS3N
Beneficiary Bank: RBC Investor Services Bank SA Luxembourg
SWIFT: FETALULL
Final Beneficiary: Plurima USD Subscription Account
IBAN number: LU043412174301938100
Reference: Investor name and trade confirmation number

GBP subscriptions banking details

Intermediary Bank: Bank of America London
SWIFT: BOFAGB22
Beneficiary Bank: RBC Investor Services Bank SA Luxembourg
SWIFT: FETALULL
Final Beneficiary: Plurima Funds Subscription Account
IBAN number: LU653412174301940300
Ref: Investor name

CHF subscriptions banking details

Intermediary Bank: Bank of America New York
SWIFT: BOFACH2X
Beneficiary Bank: RBC Investor Services Bank SA Luxembourg
SWIFT: FETALULL
Final Beneficiary: Plurima Funds Subscription Account
IBAN number: LU633412174301970600
Ref: Investor name and trade confirmation number

AUD subscriptions banking details

Intermediary Bank: Bank of America New York
 SWIFT: BOFAAUSX
 Beneficiary Bank: RBC Investor Services Bank SA Luxembourg
 SWIFT: FETALULL
 Final Beneficiary: Plurima Funds Subscription Account
 IBAN number: LU963412174301912000
 Ref: Investor name and trade confirmation number

Redemption monies – for Payment of Redemption Proceeds

The bank details for receipt of redemption proceeds are as outlined below. I/We undertake to inform the Administrator in writing of changes to those details immediately.

Name of Bank:	
Address of Bank:	
Country:	
Bank SWIFT/Sort code:	
Name of Account Holder:	
Account Number:	
Applicant's signature:	

Redemption proceeds will be returned to an account held in the name of the registered Unitholder(s)

Please disclose the purpose and intended nature of your investment in the Fund:

A) Regular Savings	
B) Lump Sum Investment- less than 1 year	
C) Lump Sum Investment- more than 1 year	
D) Periodic Investment	
E) Other (Please Specify)	

Please note that the Application may not be accepted until all the relevant information has been received. Additional confirmation of identity or authority of the applicant or the source of funds may be required in certain circumstances.

SECTION 4 - Declarations and Signatures

By signing below:

1. Receipt and Consideration of Fund Documentation

I/We hereby acknowledge that I/we have received or accessed by electronic means and considered the KIID relating to the relevant Class(es) in which investment is proposed to be made and this Application and any future investment in any Sub-Fund of the Fund is made on the terms thereof and subject to the provisions of the relevant KIID, the Prospectus of the Fund and the Trust Deed constituting the Fund as amended from time to time, all of which are subject to Irish law and the jurisdiction of the Irish courts.

I/we confirm that I/we received the KIID in electronic form by way of accessing the latest version of the document via the Manager's website, namely www.egifunds.com and I /we confirm that:

- (i) the provision of the KIID or the Prospectus in that medium is appropriate to the context in which the business between the Manager and I/us is, or is to be, carried on;
- (ii) when offered the choice between the KIID in paper or in electronic form by way of accessing the latest version of the KIID via www.egifunds.com, I/we specifically chose electronic form by way of accessing the latest version of the KIID via www.egifunds.com in respect of all subscriptions in the Fund present and future;
- (iii) I/We consent to the provision of the KIID or the Prospectus by means of the Manager's website (www.egifunds.com); and
- (iv) I/We have been notified electronically of the address of the Manager's website (i.e. www.egifunds.com), and the place on the Manager's website where the KIID or the Prospectus may be accessed (i.e. www.egifunds.com).
- (v) I/We confirm that I/we will access the KIID via www.egifunds.com before making any subsequent subscriptions for Units in any Sub-Fund of the Fund.

- 2. I/We confirm that I am/we are 18 years of age or over and I/we have the authority to make the investment pursuant to this Application Form whether this investment is my/our own name or is made on behalf of another person or institution.
- 3. I/We have made arrangements for payment to be made to the relevant bank account(s) specified above for subscriptions and acknowledge that the Manager reserves the right to reject any application in whole or part without assigning any reason therefor.
- 4. Politically Exposed Person – Tick either A) or B)

A)

I/We hereby represent and warrant that, to the best of our knowledge, none of:

- (1) the investor;
- (2) any person controlling or controlled by the investor;
- (3) if the investor is a privately held entity, any person having a beneficial interest in the investor; or
- (4) any person for whom the investor is acting as agent or nominee in connection with this investment is a politically exposed person,* or any immediate family member** or close associate of a politically exposed person as such terms are defined in the footnotes below

or

B)

I/We confirm that I/We meet the definition of a politically exposed person,* or any immediate family member** or close associate of a politically exposed person as such terms are defined in the footnotes below and will provide the necessary disclosures regarding source of wealth and where relevant the source of wealth of any beneficial owners.

* “politically exposed person” means an individual who is, or has at any time in the preceding year been, entrusted with a prominent public function, including either of the following individuals (but not including any middle ranking or more junior official): (a) a specified official; (b) a member of the administrative, management or supervisory body of a state-owned enterprise; “specified official” means any of the following officials (including any such officials in an institution of the European Communities or an international body): (a) a head of state, head of government, government minister or deputy or assistant government minister; (b) a member of a parliament; (c) a member of a supreme court, constitutional court or other high level judicial body whose decisions, other than in exceptional circumstances, are not subject to further appeal; (d) a member of a court of auditors or of the board of a central bank; (e) an ambassador, charge d’affaires or high-ranking officer in the armed forces.

**The definition also expands to a “close associate”, “immediate family member” of a politically exposed person and includes any of the following persons: a)any individual who has joint beneficial ownership of a legal entity or arrangement or any other close business relations with a politically exposed person b)any individual who has sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of a politically exposed person c)any spouse of a PEP c)any person who is the equivalent of a spouse under the national law of the place where the PEP resides e)any cohabitant, f) any child or parent of the politically exposed person or spouse of the child of the PEP g)any other family member who is of a prescribed class.

5. I/We agree to provide to the Manager or its appointed Administrator or Distributor with any additional documentation that it or they may require to verify my/our identity in accordance with current anti-money laundering/terrorist financing and/or taxation of savings legislation. I/We acknowledge that any delay by me/us in providing such documentation may result in delayed processing of my/our application and/or delayed payment of any future redemption payments to me/us or processing of unit transfer requests on my/our behalf. I/We hereby hold the Manager and the Administrator and the Distributor harmless and indemnify them against any loss arising as a result of a failure to process the application if such information has been required and has not been provided by me/us. I/We also warrant and declare that the monies being invested pursuant to this application do not represent directly or indirectly the proceeds of any criminal activity and the investment is not designed to conceal such proceeds so as to avoid prosecution for an offence or otherwise.
6. I/We declare that I/we am/are not a US Person and certify that the Units applied for are not being acquired for the benefit of, directly or indirectly, any US Person nor in violation of any applicable law or regulation, and I/we will not, subject to the conditions set forth in the Prospectus, sell or offer to sell or transfer Units to a US Person or any person in violation of any applicable law or regulation.
7. The Manager, the Distributor, and the Administrator of the Fund are hereby authorised to accept and execute any instructions in respect of the Units to which this application relates or which may in future be acquired by me/us which are given by me/us in written form or by facsimile or such other means as may from time to time be permitted by the Manager or its delegate including electronic means and in the case of joint account holders which are given by such means by the first named applicant (“Instructions”). I/We hereby agree to indemnify each of the Manager, the Distributors and the Administrator and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon Instructions. The Manager, the Distributor, and the Administrator may each rely conclusively upon and shall incur no liability in respect of any action taken upon any Instructions believed in good faith to be genuine and to be signed or given by properly authorised persons.
8. I/We hereby agree to indemnify and hold harmless each of the Manager, the Administrator and the Distributors and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us to any of them and shall notify the Manager immediately if any of the representations herein made are no longer accurate and complete in all respects.
9. I/We agree to provide to the Manager, the Administrator and/or the Distributor at such times as each of them may request such declarations, certificates or documents as each of them may reasonably require in connection with this investment. Should any information furnished to any of them become inaccurate or incomplete in any way, I/We hereby agree to notify the Manager or the Administrator immediately of any such change and further agree to request the redemption of Units in respect which such confirmations have become incomplete or inaccurate if requested to do so by the Manager. I/we agree to notify the Manager of any change to my/our tax residency status.
10. I/We understand that the confirmations, representations, declarations, indemnities and warranties made or given herein are continuous and apply to all subsequent purchases of Units by me/us in the Fund.
11. (In respect of joint applicants only) we direct that on the death of one of us the Units for which we hereby apply to be held in the name of and to the order of the survivor or survivors of us or the executor or administrator of the last survivor.

12. I/We hereby acknowledge that any notice or document may be served by the Manager on me/us in the manner specified from time to time in the Prospectus and, for the purposes of the Electronic Commerce Act 2000, if I have provided an e-mail address or fax number to the Manager or its delegate, consent to any such notice or document being sent to me/us by fax or electronically to the fax number or e-mail address previously identified to the Manager or its delegate which I/we acknowledge constitutes effective receipt by me/us of the relevant notice or document. I/we acknowledge that I/we are not obliged to accept electronic communication and may at any time choose to revoke my/our agreement to receive communications by fax or electronically by notifying the Manager in writing at the above address provided that my/our agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Manager of written notice of such revocation.
13. I/We have such knowledge and experience in business and financial matters that I/we am/are capable of evaluating the merits and risks of an investment by me/us in the Units.
14. We confirm that the persons listed below on the attached authorised signatories list and whose specimen signatures appear under the heading "Authorised Persons" on that list are duly authorised to give Instructions with respect to Units held by us in the Fund. (For corporate applicants only.)

15. Data Protection/Information about other investment services

In signing this application form, I/we acknowledge that each of the Manager and Trustee is a data controller and hereby consent to the Manager and Trustee and their respective delegates and duly authorised agents and any of their respective related, associated or affiliated companies obtaining, holding, using, disclosing and processing my data:

- To establish my/our identity and manage and administer my/our holding in the Fund and any related accounts on an on-going basis;
- For any other specific purposes where I/we have given specific consent to do so;
- To carry out statistical analysis and market research;
- To comply with legal or regulatory requirements applicable to the Fund or any of them or me;
- For disclosure or transfer whether in Ireland or countries outside of Ireland including without limitation the United States of America, which may not have the same data protection laws as Ireland, to third parties including my financial adviser (where appropriate), regulatory bodies, tax authorities, auditors, technology providers or to the Manager and Trustee and their respective delegates and duly appointed agents and any of their respective related, associated or affiliated companies for the purposes specified above;
- For disclosure to the tax authorities in Ireland and in my/our country of residence in accordance with the EU Taxation of Savings Directive (2003/48/EC) and applicable local regulations; and
- For other legitimate business interests of the Manager and Trustee relating to the Fund.

I /we hereby acknowledge my/our right of access to and the right to rectify my/our personal data.

The Manager and the Trustee is a data controller and will hold any personal information provided by me in accordance with the Data Protection Act 1988 as amended by the Data Protection (Amendment) Act, 2003.

I/we consent to the recording of telephone calls made to and received from me/us by the Manager, its delegates, its duly appointed agents and any of their respective related, associated or affiliated companies for record keeping, security and/or training purposes.

I/we consent to the sending by the Manager of information about other investment services to me/us, by letter, telephone or other reasonable means of communication.

Please tick this box if you do not wish to receive such communications:

I/We acknowledge my/our right to object to the processing of my/our data for direct marketing purposes by written request to the Manager free of charge.

I/We confirm that I/We have read the section at Appendix 5, entitled, Customer Information Notice, Common Reporting Standards.

16. EU Savings Directive Declaration

Council Directive 2003/48/EC of 3 June 2003 (the "EU Savings Directive"), which deals with the taxation of savings income in the form of interest payments, seeks to ensure that individuals that are resident and

“residual entities” that are established in an EU Member State or a “dependent or associated territory” who receives savings income from a paying agent in an EU Member State or dependent or associated territory are taxed in the EU Member State or dependent or associated territory in which he/she is resident for tax purposes. “Dependent or associated territory” includes Aruba, Netherlands Antilles, Jersey, Guernsey, Isle of Man, Anguilla, British Virgin Islands, Cayman Islands, Montserrat, Turks and Caicos Islands and may be updated from time to time. The Manager is obliged to establish the identity and residence of such individuals and “residual entities” as described above.

17. Declaration of residence outside the Republic of Ireland

Applicants resident outside the Republic of Ireland are required by the Irish Revenue Commissioners to make the following declaration, which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Units. Terms used in this declaration are defined in the Prospectus.

Please tick a) or b)

Either:

a) Declaration on own behalf

I/we* declare that I am/we are* applying for the units on my own/our own behalf/on behalf of a company* and that I am/we are/the company is* entitled to the units in respect of which this declaration is made and that

- I am/we are/the company is* not currently resident or ordinarily resident in Ireland and
- Should I/we/the company* become resident in Ireland, I/we* will so inform you, in writing, accordingly.

or

b) Declaration as Intermediary

I am/we are* applying units on behalf of persons:

- who will be beneficially entitled to the units, and
- who to the best of my/our* knowledge and belief are neither resident nor ordinarily resident in Ireland.

I/We* also declare that:

- unless I/we* specifically notify you to the contrary at the time of application, all applications for units made by me/us* from the date of this application will be made on behalf of such persons; and
- I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* holds units, becomes resident in Ireland.

** Please delete as appropriate*

If you are resident or ordinarily resident in Ireland and are a qualifying investor within the meaning of Section 739D(6) of the Taxes Consolidation Act, 1997 please delete the declarations on own behalf and as Intermediary and contact the Administrator for a separate declaration form suitable for you.

18. FATCA and the Common Reporting Standard (“CRS”)

I/We acknowledge that the Manager intends to take such steps as may be required to satisfy any obligations imposed by (i) the Foreign Account Tax Compliance Act (“FATCA”) or (ii) any provisions imposed under Irish law arising from the inter-governmental agreement between the Government of the United States of America and the Government of Ireland (“IGA”) so as to ensure compliance or deemed compliance (as the case may be) with FATCA or the IGA from 1 July 2014.

Furthermore, I/We hereby acknowledge that the Manager intends to also take such steps as may be required to satisfy any obligations imposed by (i) the Standard for Automatic Exchange of Financial Account Information in Tax Matters (“the Standard”) and, specifically, the Common Reporting Standard (“CRS”)

therein or (ii) any provisions imposed under Irish law arising from the Standard or any international law implementing the Standard (to include the Multilateral Competent Authority Agreement on Automatic Exchange of Financial Account Information or the EU Council Directive 2011/16/EU (as amended by Council Directive 2014/107/EU)) so as to ensure compliance or deemed compliance (as the case may be) with the Standard and the CRS therein from 1 January 2016.

In order for the Fund to comply with the above FATCA and CRS obligations, I/We agree to provide to the Manager / Administrator the necessary declarations, confirmations and/or classifications at such times as each of them may request and furthermore provide any supporting certificates or documents as each of them may reasonably require in connection with this investment by reason of FATCA or CRS, as described above, or otherwise. Should any information furnished to any of them become inaccurate or incomplete in any way, I/we hereby agree to notify the Manager / Administrator immediately of any such change and further agree to immediately take such action as the Manager may direct, including where appropriate, redemption of our Units in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the Manager / Administrator. If relevant, I/we agree to notify the Manager / Administrator of any change to my/our tax residency status. I/we hereby also agree to indemnify and keep indemnified the Fund / Manager / Administrator against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly as a result of a failure to meet our obligations pursuant to this section or failure to provide such information which has been requested by the Manager / Administrator and has not been provided by me/us, and from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us to the Manager / Administrator. I/We further acknowledge that a failure to comply with the foregoing obligations or failure to provide the necessary information required may result in the compulsory redemption of our entire holding in the Fund, and that the Fund / Manager / Trustee are authorized to hold back from redemption proceeds or other distributions to me/us such amount as is sufficient after the deduction of any redemption charges to discharge any such liability and I/we shall indemnify and keep indemnified the Fund / Manager / Trustee against any loss suffered by them or other Unitholders in the Fund in connection with any obligation or liability to so deduct, withhold or account.

I/We confirm that we have accurately and correctly completed the relevant self-certification form included at Appendices 3 and 4. I/We further confirm that if any information included in the self-certification forms subsequently becomes inaccurate or incorrect we will notify the Manager / Administrator immediately of any such change and agree to immediately take such action as the Manager may direct, including where appropriate, redemption of our Units.

SECTION 5 - Signatures

Signatures and Date of Application									
<p>First Applicant (Authorised Signatory):</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	<p>Second Additional Applicant or third Authorised Signatory (if applicable)</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>								
<p>Capacity of Authorised Signatory, if applicable</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	<p>Capacity of Authorised Signatory, if applicable</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>								
<p>First Additional Applicant or second Authorised Signatory (if applicable)</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	<p>Third Additional Applicant or fourth Authorised Signatory (if applicable)</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>								
<p>Capacity of Authorised Signatory, if applicable</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	<p>Capacity of Authorised Signatory, if applicable</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>								
<p>Application Date</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> </tr> </table>									<p>Company Seal, if applicable</p> <div style="border: 1px solid black; height: 80px; width: 100%;"></div>

Notes to assist in completion

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration. The application form including the non-resident declaration may also therefore be disclosed to the Irish Revenue Commissioners.
2. To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a certified copy of the power of attorney must be furnished in support of the signature.
4. "Intermediary" means a person who:
 - a. carries on a business which consists of, or includes, the receipt of payments from an investment undertaking resident in Ireland on behalf of other persons, or
 - b. hold units in an investment undertaking on behalf of other persons.
5. The Fund is regulated in Ireland by the Central Bank of Ireland.
6. Investors should not complete this Application Form until they have received the KIID relating to the Class in which investment is being made. See Section 5(1).
7. Copies of the most recently published annual and semi-annual reports of the Fund are available free of charge from the registered office of Manager and the Correspondent Bank. Copies of the Prospectus are available free of charge from the registered office of the Administrator.
8. A corporation should affix its common seal and have it countersigned by persons authorised to countersign the seal or otherwise should execute under the hand of a duly authorised official or officials who should state his/their representative capacity. A certified copy (certified by a director or secretary of the company) of the resolution or other authority authorising one or more signatories should be provided with the application form.
9. If any of the details provided by the applicant(s) in this application form change during the lifetime of this investment, please advise the Administrator immediately, in order to avoid any possible settlement delays at some future date.

Appendix 1

BENEFICIAL OWNERSHIP DECLARATION

To be completed by persons who directly, or indirectly, are the beneficial owners of more than 25% of the capital / assets / voting rights of the Applicant (the "Beneficial Owner")

Ref: Investment in *[Insert Class and Sub-Fund]* _____ in Plurima Funds (the "Fund").

I, *[insert full name of the Beneficial Owner]* _____, herewith confirm that I am the ultimate economic beneficiary of *[Insert percentage holding]* _____ % of the capital / assets / voting rights of *[insert name of Applicant]* _____

I further confirm that the investments made in the Fund:

- are not made on behalf of a third party;
- the funds made available to the Fund are not from a criminal origin, of whatsoever nature, and in particular do not constitute the proceeds of money laundering or terrorism;
- originate from the following source (tick-off the right box):
 - Capital of the company
 - Other (please describe).

Should this situation change, I will advise RBC Investor Services Ireland Limited immediately.

Signature: _____
(Please print name in block capitals)

Date: _____

Appendix 2

Entity Self-Certification for FATCA and CRS

Instructions for completion

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

(Mandatory fields are marked with an *)

Investors that are individuals should not complete this form and should complete the form entitled "Individual Self-Certification for FATCA and CRS".

Section 1: Investor Identification

Investor Name*: _____
(the "Entity")

Country of Incorporation or Organisation:

Current Registered Address*:

Number: _____ Street _____

City, town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Mailing address (if different from above):

Number: _____ Street _____

City, town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Section 2: FATCA Declaration Specified U.S. Person:

Please tick either (a), (b) or (c) below and complete as appropriate.

a) The Entity **is** a *Specified U.S. Person* and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows:

U.S. TIN: _____

Or

b) The Entity is **not** a *Specified U.S. Person* (please also complete Sections 3, 4 and 5)

Or

c) The Entity is a US person but **not** a *Specified U.S. Person*
(please also complete Sections 3, 4 and 5)

Section 3: Entity's FATCA Classification*

(the information provided in this section is for FATCA, please note your classification may differ from your CRS classification in Section 5):

3.1 Financial Institutions under FATCA:

If the Entity is a *Financial Institution*, please tick one of the below categories and provide the Entity's GIIN at 3.2

I.	<i>Irish Financial Institution or a Partner Jurisdiction Financial Institution</i>	
II.	<i>Registered Deemed Compliant Foreign Financial Institution</i>	
III.	<i>Participating Foreign Financial Institution</i>	

3.2 Please provide the Entity's *Global Intermediary Identification number (GIIN)*

3.3 If the Entity is a *Financial Institution* but unable to provide a *GIIN*, please tick one of the below reasons:

I.	The Entity has not yet obtained a <i>GIIN</i> but is sponsored by another entity which does have a <i>GIIN</i> Please provide the sponsor's name and sponsor's <i>GIIN</i> : Sponsor's Name: _____ Sponsor's <i>GIIN</i> : _____	
II.	<i>Exempt Beneficial Owner</i>	
III.	<i>Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the Agreement)</i>	
IV.	<i>Non-Participating Foreign Financial Institution</i>	
V.	<i>Excepted Foreign Financial Institution</i>	

3.4 Non-Financial Institutions under FATCA:

If the Entity is not a *Financial Institution*, please tick one of the below categories

I.	<i>Active Non-Financial Foreign Entity</i>	
II.	<i>Passive Non-Financial Foreign Entity</i> (If this box is ticked, please include self-certification forms for each of your Controlling Persons)	
III.	<i>Excepted Non-Financial Foreign Entity</i>	

Section 4: CRS Declaration of Tax Residency

(please note that you may choose more than one country) *

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")).

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a (TIN).

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

Country of Tax Residency	Tax ID Number

Section 5: Entity's CRS Classification*

(The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3):

For more information please see the CRS Standard and associated commentary. <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

5.1 Financial Institutions under CRS:

If the Entity is a *Financial Institution*, please tick one of the below categories

I.	<i>Financial Institution under CRS (other than (II) below)</i>	
II.	An <i>Investment Entity</i> located in a <i>Non-Participating Jurisdiction</i> and managed by another <i>Financial Institution</i> (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity and complete a separate individual self-certification forms for each of your Controlling Persons **)	

5.2 Non Financial Institutions under CRS:

If the Entity is a *Non Financial Institution*, please tick one of the below categories

I.	<i>Active Non-Financial Entity</i> – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation	
II.	<i>Active Non-Financial Entity</i> – a Government Entity or Central Bank	
III.	<i>Active Non-Financial Entity</i> – an International Organisation	
IV.	<i>Active Non-Financial Entity</i> – other than (I)-(III) (for example a start-up NFE or a non-profit NFE)	
V.	<i>Passive Non-Financial Entity</i> (If this box is ticked, please complete a separate Individual Self-Certification Form for each of your Controlling Person(s))	

**Controlling Person's:

NB: Please note that each Controlling Person must complete a Separate Individual Self-Certification form.

If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard. <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

Section 6: Declarations and Undertakings

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature(s)*:

Print Name(s)*: _____

Capacity in which declaration is made*: _____

Date: (dd/mm/yyyy):* _____

Appendix 3

Individual (Controlling Person's) Self-Certification for FATCA and CRS

Instructions for completion

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint or multiple account holders each investor is required to complete a separate Self-Certification form.

Sections 1, 2, 3 and 5 must be completed by all investors.

Section 4 should only be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity. For further guidance see. <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314> (*Mandatory fields are marked with an **)

Section 1: Investor Identification

Investor Name*: _____

Current Residential Address*: Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Mailing address (if different from above):

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Place Of Birth*:

Town or City of Birth*: _____ Country of Birth*: _____

Date of Birth*: _____

Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes*:

Please tick either (a) **or** (b) and complete as appropriate.

- (a) I confirm that [**I am**]/[the investor is] a U.S. citizen and/or resident in the U.S. for tax purposes and [my]/[its] U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

OR

- (b) I confirm that [**I am not**]/[the investor is not] a U.S. citizen or resident in the U.S. for tax purposes.

Section 3: CRS Declaration of Tax Residency (please note you may chose more than one country)*

Please indicate your/ the investor’s country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers (“TIN”). Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency	Tax ID Number

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

Section 4 – Type of Controlling Person

(ONLY to be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)

For joint or multiple Controlling Persons please complete a separate Self-Certification form for each Controlling Person

<i>Please Confirm what type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box.</i>	Please Tick	Entity Name
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person – control by other means		
Controlling Person of a legal person – senior managing official		
Controlling Person of a trust - settlor		
Controlling Person of a trust – trustee		
Controlling Person of a trust – protector		
Controlling Person of a trust – beneficiary		
Controlling Person of a trust – other		
Controlling Person of a legal arrangement (non-trust) – settlor-equivalent		
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent		
Controlling Person of a legal arrangement (non-trust) – protector-equivalent		
Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent		
Controlling Person of a legal arrangement (non-trust) – other-equivalent		

Section 5: Declaration and Undertakings:

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature*: _____

Print Name*: _____

Date: (dd/mm/yyyy)*: _____

Capacity*: _____

Appendix 4

Customer Information Notice – Common Reporting Standard

The Manager intends to take such steps as may be required to satisfy any obligations imposed by (i) the Standard for Automatic Exchange of Financial Account Information in Tax Matters (“**the Standard**”) and, specifically, the Common Reporting Standard (“**CRS**”) therein or (ii) any provisions imposed under Irish law arising from the Standard or any international law implementing the Standard (to include the Multilateral Competent Authority Agreement on Automatic Exchange of Financial Account Information or the EU Council Directive 2011/16/EU (as amended by Council Directive 2014/107/EU)) so as to ensure compliance or deemed compliance (as the case may be) with the Standard and the CRS therein from 1 January 2016.

The Manager is obliged under Section 891F of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to that section to collect certain information about each Applicant’s tax arrangements.

Please note that in certain circumstances the Manager may be legally obliged to share this information and other financial information with respect to an Applicant’s interests in the Fund with the Irish Revenue Commissioners. In turn, and to the extent the account has been identified as a Reportable Account, the Irish Revenue Commissioners will exchange this information with the country of residence of the Reportable Person(s) in respect of that Reportable Account.

In particular, the following information will be reported by the Manager to the Irish Revenue Commissioners in respect of each Reportable Account maintained by the Manager.

- The name, address, jurisdiction of residence, tax identification number and date and place of birth, in the case of an individual, of each Reportable Person that is an Account Holder of the account and, in the case of any Entity that is an Account Holder and that, after application of the due diligence procedures consistent with CRS is identified as having one or more Controlling Persons that is a Reportable Person, the name, address, jurisdiction of residence and tax identification number of the Entity and the name, address, jurisdiction of residence, TIN and date and place of birth of each such Reportable Person.
- The account number (or functional equivalent in the absence of an account number);
- The account balance or value as of the end of the relevant calendar year or other appropriate reporting period or, if the account was closed during such year or period, the closure of the account;
- The total gross amount paid or credited to the Account Holder with respect to the account during the calendar year or other appropriate reporting period with respect to which the Reporting Financial Institution is the obligor or debtor, including the aggregate amount of any redemption payments made to the Account Holder during the calendar year or other appropriate reporting period.

Please note that in certain limited circumstances it may not be necessary to report the tax identification number and date of birth of a Reportable Person.

In addition to the above, the Irish Revenue Commissioners and Irish Data Protection Commissioner have confirmed that Irish Financial Institutions such as the Fund may adopt the “wider approach” for CRS. This allows the Manager to collect data relating to the country of residence and the tax identification number from all non-Irish resident Applicants.

The Manager can send this data to the Irish Revenue Commissioners who will determine whether the country of origin is a Participating Jurisdiction for CRS purposes and, if so, exchange data with them. Revenue will delete any data for non-Participating Jurisdictions.

The Irish Revenue Commissioners and the Irish Data Protection Commissioner have confirmed that this wider approach can be undertaken for a set 2-3 year period pending the resolution of the final CRS list of Participating Jurisdictions.

Applicants can obtain more information on the Fund’s tax reporting obligations on the website of the Irish Revenue Commissioners (which is available at <http://www.revenue.ie/en/business/aeoi/index.html>) or the following link in the case of CRS only: <http://www.oecd.org/tax/automatic-exchange/>

All capitalised terms above, unless otherwise defined above, shall have the same meaning as they have in the Standard.

Appendix 5

Customer Information Notice – Fund Asset Model

I/we acknowledge that RBC Investor Services Bank S.A (the “Trustee”) on behalf of the Trust has established, pursuant to an instruction from the Manager, a number of cash accounts in different currencies at umbrella level in the name of the Trustee for and on behalf of the Trust into which (i) subscription monies received from investors who have subscribed for Units are deposited and held until Units are issued as of the relevant Dealing Day; and (ii) redemption monies due to investors who have redeemed Units are deposited and held until paid to the relevant investors; and (iii) dividend payments owing to Unitholders are deposited and held until paid to such Unitholders (hereinafter referred to as “Umbrella Cash Account(s)”). We acknowledge that all subscriptions, redemptions and dividends payable to or from a Sub-Fund are channelled and managed through the Umbrella Cash Accounts.

I/we acknowledge that my / our subscription monies/ redemption monies / dividend monies will be paid into the Umbrella Cash Account, that such monies will be treated as an asset of the relevant Sub-Fund and I/we will not benefit from the application of any investor money protection rules and that I/we will be an unsecured creditor of the relevant Sub-Fund (i) with respect to the amount subscribed for Units and held in the Umbrella Cash Account until such Units are issued to me/us as of the relevant Dealing Day or (ii) with respect to the redemption/dividend amount to be paid and held in the Umbrella Cash Account until such amount is paid to me/us (whichever is applicable).

I/we acknowledge that in accordance with applicable anti money-laundering and terrorist financing requirements (the “AML Requirements”), redemption monies or dividend payments shall not be paid on un-verified accounts. In the event that I/we fail to submit the necessary documentation requested by the Manager or its delegate as required under the AML Requirements, redemption monies or dividend monies will be held in an Umbrella Cash Account and shall remain an asset of the relevant Sub-Fund and I/we will not benefit from the application of any investor money protection rules. In such circumstances, I/we acknowledge that I/we will be unsecured creditor of the relevant Sub-Fund in respect of such redemption monies or dividend payments until such time as the relevant documentation required by the Manager or its delegate has been received to its satisfaction and the redemption monies/dividend payments have been paid to me/us.

I/we acknowledge that in the event that the Trust or the relevant Sub-Fund has insufficient assets to discharge its liabilities while my/our monies are held in an Umbrella Cash Account, there is no guarantee that the Trust or the relevant Sub-Fund will have sufficient funds to pay unsecured creditors in full. I/we acknowledge that we have been advised by the Manager to ensure that all relevant documentation requested by the Manager or its delegate in order to comply with AML Requirements is submitted to the Manager or its delegate promptly on subscribing for Units in the Trust.

I/we acknowledge that the following risks arise in relation to the operation of the Umbrella Cash Account:-

- (i) in the event that subscription monies received and held in an Umbrella Cash Account are lost (to include in the event of the insolvency of the bank with which such monies are held) prior to the issue of Units to the relevant investor as of the relevant dealing day, the relevant Sub-Fund may be obliged to make good any losses suffered by the investor (in its capacity as a general creditor of the Sub-Fund), in which case such loss will need to be discharged out of the assets of the relevant Sub-Fund and therefore will represent a diminution in the net asset value per Unit for existing Unitholders of the relevant Sub-Fund; and

- (ii) in the event that redemption or dividend monies held in a Sub-Fund cash account are lost (to include in the event of the insolvency of the bank with which such monies are held) prior to payment to the relevant investor/ Unitholder, the relevant Sub-Fund may be obliged to make good any losses suffered by the investor/ Unitholder (in its capacity as a general creditor of the Sub-Fund), in which case such loss will need to be discharged out of the assets of the relevant Sub-Fund and therefore will represent a diminution in the net asset value per Unit for existing Unitholders of the relevant Sub-Fund; and
- (iii) in the event that the Trust or the relevant Sub-Fund has insufficient assets to discharge its liabilities, there is no guarantee that the Sub-Fund or the Trust will have sufficient funds to pay unsecured creditors in full. Investors who have forwarded subscription monies in advance of a dealing day and which are held in the Umbrella Cash Account and investors / Unitholders due redemption / dividend monies which are held in the Umbrella Cash Account will rank equally with all other unsecured creditors of the relevant Sub-Fund and will be entitled to a pro-rata share of monies which are made available to all unsecured creditors. Therefore, in such circumstances, the investor subscribing for Units may not recover all monies originally paid into the Umbrella Cash Account in relation to the application for Units and the redeeming investor entitled to redemption monies and the Unitholder entitled to a dividend payment may not recover all monies originally paid into the Umbrella Cash Account for onward transmission to that investor/Unitholder;
- (iv) in addition, investors should note that in the event that the Trust or the relevant Sub-Fund has insufficient assets to discharge its liabilities, recovery of any amounts to which a relevant Sub-Fund is entitled, but which may have been used by such other insolvent Sub-Fund as a result of the operation of the Umbrella Cash Account(s) will be subject to the principles of Irish trust law and the terms of the operational procedures for the Umbrella Cash Accounts. There may be delays in effecting and/or disputes as to the recovery of such amounts, and the insolvent Sub-Fund may have insufficient funds to repay the amounts due to the relevant Sub-Fund.