

Please return your completed form, together with all relevant identity documents listed in the Appendix to the Administrator by fax, (with the original to follow by mail) at the following address:

Transfer Agency, The Jupiter Global Fund, J.P. Morgan Bank Luxembourg S.A., European Bank & Business Centre, 6 Route de Trèves, L-2633 Senningerberg, Grand Duchy of Luxembourg.

Fax: + 352 22 74 43 Email: talux.funds.queries@jpmorgan.com Telephone: +352 46 26 85 973.

Alternatively, for investors who are resident in the Asia Pacific region, you may contact and return your completed form, together with all relevant identity documents listed in the Appendix to JPMorgan Chase Bank, N.A. Hong Kong Branch (the 'Hong Kong Representative'), 21/F, JPMorgan Tower, 138 Shatin Rural Committee Road, Shatin, New Territories, Hong Kong.

Fax: +852 2800 0351 Email: Jupiter.asia.investorservices@jpmorgan.com Telephone: +852 2800 1523.

### Please note:

- The cut off time for receipt of cleared funds can be found in the prospectus of the Company available on <https://www.jupiteram.com/lu/en>
- This Application Form should be read in conjunction with the current prospectus of the Company (the 'Prospectus') and the most recent Key Investor Information Document(s) of the relevant share class, as applicable. Save where otherwise defined, all capitalised terms shall have the same meaning in the Prospectus.
- In the case of more than one account holder please copy this page, complete it with each account holder's details (maximum of four) and attach it to the main application.
- All holders must sign the declaration at the end of this Application Form.
- Please note that the full registered address is required for individual and corporate applicants. Kindly note the registered address cannot be a PO Box (or similar).
- Applications for Shares may be made directly to the Administrator or through a Distributor. Initial Application Forms must be sent to the Administrator by mail, or by a method otherwise specified from time to time at the discretion of the Board of Directors. Subsequent Application Forms may be sent to the Administrator by fax by email in PDF to the address provided. The Administrator will accept subsequent subscription requests (following an initial subscription submitted by mail) in electronic format (in such format or method and under such conditions as shall be deemed acceptable by the Administrator from time to time and subject to applicable legal and regulatory provisions).
- The acceptance of Application Forms will be subject to the Minimum Initial Investment and/or Minimum Holding requirements and the receipt and acceptance by the Administrator of any information and documentation required under relevant laws, regulations and Internal procedures relating to anti-money laundering ('AML').

## 1 Registration Details Block capitals and black ink please

Family or Company name									
Title		Designation				First name(s)			
Date of birth <small>(applicants must be 18 or over)</small>						Place of birth			
Nationality(ies)									
Permanent residential or company address <small>(we will refer to this as your registered address. Please note that this is a mandatory field. No c/o address or PO Box allowed)</small>									
Correspondence address <small>(please include a mailing address if different to your residential address. c/o and PO Box allowed)</small>									
Country						Postcode			
Telephone number <small>(day)</small>						Telephone number <small>(other)</small>			
Email						Fax number			
Source of funds									
Occupation									
Expected level of investment									
Expected holding period									
TIN (Tax Identification number)						Tax Residence			
Please tick if you are an existing client						Client number			

# 1 Registration Details continued. Block capitals and black ink please

## Registration Details (for Joint Shareholders)

Family or Company name									
Title			Designation				First name(s)		
Date of birth <small>(applicants must be 18 or over)</small>							Place of birth		
Nationality(ies)									
Permanent residential or company address <small>(we will refer to this as your registered address. Please note that this is a mandatory field. No c/o address or PO Box allowed)</small>									
Correspondence address <small>(please include a mailing address if different to your residential address. c/o and PO Box allowed)</small>									
Country							Postcode		
Telephone number <small>(day)</small>							Telephone number <small>(other)</small>		
Email							Fax number		
Source of funds									
Occupation									
Expected level of investment									
TIN (Tax Identification number)							Tax Residence		
Please tick if you are an existing client							Client number		

## Registration Details (for Non-Private Investors)

Name	
Email	Telephone number
Position	Fax number

## Entity Type

<input type="checkbox"/>	Bank/Financial Institution	<input type="checkbox"/>	Investment Fund	<input type="checkbox"/>	Private trust
<input type="checkbox"/>	Corporate	<input type="checkbox"/>	Pension Fund	<input type="checkbox"/>	Partnership
<input type="checkbox"/>	Government Body	<input type="checkbox"/>	Foundation/Charity/NGO	<input type="checkbox"/>	Institutional/Other <small>(Provide information below)</small>
<input type="checkbox"/>	Listed companies	<input type="checkbox"/>	Intermediaries	Type of Institution or Other Entity	
<input type="checkbox"/>	Distributors	<input type="checkbox"/>	Nominees		

## 2 Investment Details Block capitals please

Please enter your Fund choices and investment amounts below.\*

Settlement for subscriptions should be made by electronic transfer in the relevant base currency of the Class subscribed for. Minimum initial and incremental investment amounts can be found in the prospectus of the Company.

### Payment currency

Please specify\*\* (Please tick only one currency box)

EUR	GBP	CHF	USD	SGD	AUD	SEK	CNY	JPY	HKD	NOK	CAD	Share Class currency
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Security Code (ISIN)	Share Class Name	Quantity	Amount to Invest***	Income <small>Tick if you require income to be paid out and complete Section 4.3****</small>
Example: LU0459992896				

\*UK Investors should note that some Classes of Funds have been accepted by the H.M.Revenue and Customs as 'Reporting Funds' for UK tax purposes. A list of all Funds certified by H.M.Revenue and Customs as reporting funds can be found at <http://www.hmrc.gov.uk/collective/rep-funds.xls>. Further information is set out in the Prospectus and at the above website.

\*\*If the currency of payment is not specified, it will be assumed that payment will be made in the currency in which the Fund is denominated. If an investment is not made in the same currency as the currency of denomination of the Class applied for, the Administrator will arrange for the necessary foreign exchange to be performed at the expense and risk of the applicant. Normal banking charges will be included in the foreign exchange rate given.

\*\*\*If a foreign exchange is required, amount to invest needs to be instructed in settlement currency.

\*\*\*\*For some Classes of Funds the income (if any) will be accumulated (where accumulation share classes are available).

I/We the undersigned hereby apply to subscribe for shares ('Shares') in the sub-fund (the 'Fund') of the Company as set out above at the applicable Subscription Price and in accordance with the terms and conditions of the most recent Prospectus relating to the offering of Shares in the Company, copies of which documents the undersigned has/have received, duly read and understood. Shares shall be issued in accordance with the provisions contained in the Prospectus governing the issue of Shares on the basis of the subscription monies provided by me/us and after deduction of any Initial Charge (if levied). Subscription monies must be paid in the reference currency of the relevant Class.

I/We agree to be bound by the Articles and the terms and conditions of the Prospectus. I/We agree and acknowledge that the Company reserves the right to withdraw, cancel or modify this offering, as well as to reject my/our application to subscribe for Shares whether in whole or in part, for any reason.

### Dividend payments

Unless otherwise instructed to you in writing, all dividends distributed in respect of my/our Shares shall be paid to:

Name of Bank/Building Society									
Address									
Country						Postcode			
Telephone number					Fax number				
Account name*					ABA/IBAN number				
Account number					Currency of account				
SWIFT sort code					BIC number				

\*The Account name must be in the name of the registered shareholder(s).

### 3 Payment for Shares

**Payments must be made by electronic transfer.**

**Please enter the details of the bank which will effect the electronic transfer of your funds. Note: we do not initiate payment – you must instruct your bank to send the funds to us (net of any bank charges).**

I/We acknowledge and agree that under the terms and conditions of the Prospectus and subject to compliance with Customer Due Diligence rules as set out below, cleared funds (and the relevant confirmation) representing the subscription monies must be rapped on the contractual settlement date, electronic payment instructions need to be released by the paying party (debtor) by the following cut-off times:

Currency	Electronic Instruction Deadline
GBP	17:00 (VD)
EUR	15:00 (VD)
USD	21:00 (VD)
CHF	14:30 (VD)
SEK	12:30 (VD)
SGD	21:30 (VD-1)

Currency	Electronic Instruction Deadline
AUD	21:30 (VD-1)
JPY	21:30 (VD-1)
CNY	21:30 (VD-1)
HKD	20:00 (VD-1)
NOK	12:00 (VD)

All times quoted are London times (GMT). VD = Value Date, e.g. 21.30 VD-1 = 21.30 1 day prior. Anything received after the cut-offs will be processed as best efforts. Cleared funds are evidenced either by confirmation from the Depositary or an entry on the Depositary's statements. If cleared funds (or the relevant confirmation) are received after this time, the Company may treat this Application Form as an application to subscribe for Shares on the next Valuation Day at a Subscription Price per Share equal to the Net Asset Value per Share as of such subsequent Valuation Day.

By prior agreement with the Company, the subscription monies relating to an application for the allotment of Shares, on a particular Valuation Day may be paid to the Administrator: (i) up to three Business Days in the case of any Funds other than a Feeder Funds; and (ii) up to two Business Days in the case of Feeder Funds, after the applicable Valuation Day (or on such other basis as may be indicated in the Information Sheet for the relevant Fund and agreed between the Company and the applicant). Such late payments may only be made in respect of applications for which this arrangement has been specifically approved by the Company, such approval being delegated to the Investment Manager.

The Company may levy an interest charge on the late receipt of subscription monies. If not paid in cleared funds, such interest charge may be recovered by the compulsory redemption of Shares registered in my/our name.

I/We have arranged payment by bank transfer to the account(s) below:

	For Euro payments	For UK Sterling payments	For US dollar payments	For CHF payments
Pay to	JPMorgan Chase Bank	JPMorgan Chase Bank	JPMorgan Chase Bank, N.A. New York. Swift code CHASUS33 Under direct SWIFT advice to JPMorgan Chase Bank, N.A., CHASGB2L	UBS AG, Zürich. Swift code UBSWCHZH80A Under direct SWIFT advice to JPMorgan Chase Bank, N.A., CHASGB2L
For the account of	JPMorgan Chase Bank, N.A., Swift code CHASGB2L	JPMorgan Chase Bank, N.A., Swift code CHASGB2L	JPMorgan Chase Bank, N.A., Account number 0010962009	JPMorgan Chase Bank, N.A., Account number 023000000441290500008
For further credit to ultimate beneficiary	The Jupiter Global Fund Account number 41310604	The Jupiter Global Fund Account number 41311913	The Jupiter Global Fund Account number 41311912	The Jupiter Global Fund Account number 41312477
IBAN	GB81CHAS609242 41310604	GB46CHAS609242 41311913	GB73CHAS609242 41311912	GB47CHAS609242 41312477
Reference	Shareholder's name – account number – deal reference	Shareholder's name – account number – deal reference	Shareholder's name – account number – deal reference	Shareholder's name – account number – deal reference

  

	For SGD payments	For SEK payments	For AUD payments	For CNY payments
Pay to	Oversea Chinese Banking Corporation Limited, Singapore. Swift code OCBSCGSG Under direct SWIFT advice to JPMorgan Chase Bank, N.A., CHASGB2L	Svenska Handelsbanken, Stockholm Swift Code HANDSESS Under direct SWIFT advice to JPMorgan Chase Bank N.A., CHASGB2L	Australia and New Zealand Banking Group Limited, Melbourne Swift Code ANZBAU3M Under direct SWIFT advice to JPMorgan Chase Bank N.A., CHASGB2L	JPMorgan Chase, Hong Kong Swift Code CHASHKHH Under direct SWIFT advice to JPMorgan Chase Bank N.A., CHASGB2L
For the account of	JPMorgan Chase Bank, N.A., Account number 501474191001	JPMorgan Chase Bank, N.A., Account number 40386589	JPMorgan Chase Bank, N.A., Account number 218032AUD00001	JPMorgan Chase Bank, N.A., Account number 6748000111
For further credit to ultimate beneficiary	The Jupiter Global Fund Account number 41311916	The Jupiter Global Fund Account number 41280337	The Jupiter Global Fund Account number 41280335	The Jupiter Global Fund Account number 41280338
IBAN	GB62CHAS609242 41311916	GB65CHAS609242 41280337	GB22CHAS609242 41280335	GB38CHAS609242 41280338
Reference	Shareholder's name – account number – deal reference	Shareholder's name – account number – deal reference	Shareholder's name – account number – deal reference	Shareholder's name – account number – deal reference

  

	For JPY payments	For HKD payments	For NOK payments	For CAD payments
Pay to	JPMorgan Chase Bank, Tokyo Swift Code CHASJPJT Under direct SWIFT advice to JPMorgan Chase Bank N.A., CHASGB2L	JPMorgan Chase Bank Hong Kong Branch, Hong Kong. Swift Code CHASHKHH Under direct SWIFT advice to JPMorgan Chase Bank, N.A. CHASGB2L	Nordea Bank Norge Asa Swift Code NDEANOKK Under direct SWIFT advice to JPMorgan Chase Bank, N.A CHASGB2L	Royal Bank of Canada, Toronto / Swift code ROYCCAT2 Under direct SWIFT advice to JPMorgan Chase Bank, N.A CHASGB2L
For the account of	JPMorgan Chase Bank, N.A., Swift Code CHASGB2L	JPMorgan Chase Bank, N.A. London. Swift Code CHASGB2L	JPMorgan Chase Bank, N.A. London. Swift Code CHASGB2L	JPMorgan Chase Bank, N.A. Account number 095912194132
For further credit to ultimate beneficiary	The Jupiter Global Fund Account number 41392839	The Jupiter Global Fund Account Number 10008041	The Jupiter Global Fund Account Number 10008038	The Jupiter Global Fund Account number 10014606
IBAN	GB66CHAS60924241392839	GB37CHAS60924210008041	GB21CHAS60924210008038	GB98CHAS60924210014606
Reference	Shareholder's name – account number – deal reference	Shareholder's name – account number – deal reference	Shareholder's name – account number – deal reference	Shareholder's name – account number – deal reference

### 3 Payment for Shares *continued.*

#### Client fee instructions

This is to authorise Jupiter Asset Management International S.A. ("Jupiter") to pay my/our IFA the sum of	and this amount should be deducted from my/our overall subscription.
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**Important Notice:** Due to international banking laws, your bank is required to send a SWIFT MT 103 message and complete field 50 ('Ordering Customer') and field 52D ('Beneficiary') on subscription wires. Subscription monies must come from an account in the name of the registered shareholder. When sending payment please specify the name of the applicant, amount, value date of transaction and the name of the Fund to be credited.

Please indicate the name and address of the Bank and the name of the account from which the transfer will be made:

Name of Bank	
Address	
	Postcode
ABA/IBAN number	Account name
Account number	BIC number

**Please note:** We do not initiate payment – you must instruct your bank to send the funds to us (net of any bank charges).

### 4 Private Investors *Individual Self-Certification*

**To be completed and signed if you are an individual investor. Once completed please go to section 6.**

Tax regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with the Luxembourg Tax Authorities. If you have any questions about your tax residency, please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the changes promptly.

#### Tax residency

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number.

Country/Countries of Tax Residency	Tax Reference Number

#### Declaration

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I/We confirm that I/we are the only beneficial owner(s) of this investment?	Yes	No
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If you have ticked NO please provide the required information about the beneficial owner(s) below.

Beneficial Owner 1	Beneficial Owner 2
Name of person or entity	Name of person or entity
Permanent residential address	Permanent residential address
Date of Birth (if applicable)	Date of Birth (if applicable)
Beneficial Owner 3	Beneficial Owner 4
Name of person or entity	Name of person or entity
Permanent residential address	Permanent residential address
Date of Birth (if applicable)	Date of Birth (if applicable)

## 4 Private Investors Individual Self-Certification continued.

Name									
Permanent Residence Address:									
Place of birth:									
Signature:						Date (dd/mm/yyyy):			

### For Joint Shareholders

#### Tax residency

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number.

Country/Countries of Tax Residency	Tax Reference Number

#### Declaration

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

Name									
Permanent Residence Address:									
Place of birth:									
Signature:						Date (dd/mm/yyyy):			

<sup>1</sup> The term 'tax regulations' refers to regulations created to enable automatic exchange of information and include FATCA2, and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information.

<sup>2</sup> The term 'FATCA' refers to The Foreign Account Tax Compliance provisions contained in the US Hire Act 2010.

## 5 Non-Private Investors Entity Self-Certification

Tax regulations<sup>1</sup> require us to collect certain information about each investor's tax residency and tax classifications. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information about your account(s) with the relevant tax authorities. If you have any questions about your organisation's classifications in the form below, please contact your tax adviser. Should any information provided change in the future, please ensure you advise us promptly. If we do not receive a valid completed form, your account cannot be opened.

**\*\*IN ORDER FOR THIS FORM TO BE VALID ALL SECTIONS 1 TO 5 MUST BE COMPLETED\*\***

### Section 1: Tax residency information

If your organisation has more than one country of tax residency, please complete one self-certification form for each country.

1.1	Please state the country in which your organisation is resident for tax purposes:	
1.2	Please provide us with your organisation's Tax Identification Number:	

### Section 2A: Organisation's classification under FATCA<sup>2</sup>

Please tick only one box with reference to the tax residency stated in box 1.1

**If your organisation is a Financial Institution<sup>5</sup>, please specify which type:**

2.1	Luxembourg Financial Institution <sup>5</sup> or a Partner Jurisdiction Financial Institution <sup>6</sup>	<input type="checkbox"/>
2.2	Participating Foreign Financial Institution (in a non-IGA jurisdiction <sup>7</sup> )	<input type="checkbox"/>
2.3	Non-Participating Foreign Financial Institution <sup>8</sup> (in a non-IGA jurisdiction <sup>7</sup> )	<input type="checkbox"/>
2.4	Financial Institution resident in the USA or in a US Territory <sup>9</sup>	<input type="checkbox"/>
2.5	Exempt Beneficial Owner <sup>10</sup>	<input type="checkbox"/>
2.6	Deemed Compliant Foreign Financial Institution <sup>11</sup> (besides those listed above)	<input type="checkbox"/>

**If your organisation is not a Financial Institution<sup>5</sup>, please specify the entity's FATCA status below:**

2.7	Active Non-Financial Foreign Entity <sup>12</sup>	<input type="checkbox"/>
2.8	Passive Non-Financial Foreign Entity <sup>13</sup> (If you tick this box, please provide details for each of your Controlling Persons <sup>4</sup> in Section 4 below)	<input type="checkbox"/>

### Section 2B: Complete this *only* if your organisation is US Tax Resident (box 1.1)

Tick this box if your organisation is any of the following and therefore **not** a Specified US Person<sup>16</sup> ☐

- A regularly traded corporation on a recognised stock exchange
- Any corporation that is a member of the same expanded affiliated group as a regularly traded corporation on a recognised stock exchange
- A government entity
- Any bank as defined in section 581 of the U.S. Internal Revenue Code
- A retirement plan under section 7701(a)(37), or exempt organization under section 501(a) of the U.S. Internal Revenue Code
- OR any other exclusion listed in Explanatory Note 16

### Section 3: Organisation's classification under the Common Reporting Standard (CRS)<sup>2</sup>

Please tick only one box in this section with reference to the tax residency stated in box 1.1

3.1	Financial Institution <sup>17</sup> (this includes Non-Reporting Financial Institutions <sup>18</sup> such as a pension scheme, government entity, international organisation and other entities listed in Explanatory Note 18).	<input type="checkbox"/>
3.2	A professionally managed Investment Entity <sup>23</sup> outside of a CRS Participating Jurisdiction <sup>24</sup> (If you tick this box, please include details for each of your Controlling Persons <sup>4</sup> in section 4 below)	<input type="checkbox"/>
3.3	Active Non-Financial Entity <sup>20</sup> which is regularly traded on an established securities market or affiliated thereto, a Governmental Entity or an International Organisation	<input type="checkbox"/>
3.4	Active Non-Financial Entity <sup>20</sup> (other than those listed in 3.3 above)	<input type="checkbox"/>
3.5	Passive Non-Financial Entity <sup>21</sup> (If you tick this box, please include details for each of your Controlling Persons <sup>4</sup> in section 4 below)	<input type="checkbox"/>

## 5 Non-Private Investors Entity Self-Certification continued

### Section 4: Controlling Persons of Organisation

If you have ticked boxes 3.2 or 3.5 above, also complete the following table for each Controlling Person.

Name	
Residential Address	
Date of birth (dd/mm/yyyy)	Town of birth
Country of birth	Controlling person type*
Country(ies) of tax residence	TIN (Please complete for each jurisdiction of tax residence)

Name	
Residential Address	
Date of birth (dd/mm/yyyy)	Town of birth
Country of birth	Controlling person type*
Country(ies) of tax residence	TIN (Please complete for each jurisdiction of tax residence)

Name	
Residential Address	
Date of birth (dd/mm/yyyy)	Town of birth
Country of birth	Controlling person type*
Country(ies) of tax residence	TIN (Please complete for each jurisdiction of tax residence)

Name	
Residential Address	
Date of birth (dd/mm/yyyy)	Town of birth
Country of birth	Controlling person type*
Country(ies) of tax residence	TIN (Please complete for each jurisdiction of tax residence)

\*The list of values for controlling person's type should be referred to on page 10 under 'Classification under CRS'.



## 5 Non-Private Investors Entity Self-Certification continued

### Section 5: Declaration for FATCA and CRS<sup>5</sup>

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete. I agree to notify Jupiter Asset Management International S.A. immediately in the event that information on this self-certification form changes (including any changes to Controlling Persons).

Signed by (please print name):	
On behalf of (organisation name):	
Position (in organisation):	
Organisation address:	
Country of Incorporation or Organisation:	
Signature of person authorised to sign:	Date:

#### Explanatory Notes

The following explanatory notes are based on Tax Regulations as implemented in Luxembourg. If you have any questions about your organisation's classification, please contact your tax adviser.

#### Definitions common to FATCA and CRS

##### <sup>1</sup>Tax Regulations

The term 'tax regulations' refers to regulations created to enable automatic exchange of information and include Foreign Account Tax Compliance Act (FATCA), and the OECD Common Reporting Standard (CRS) for Automatic Exchange of Financial Account Information<sup>2</sup>.

##### <sup>2</sup>FATCA and CRS

##### FATCA

FATCA regulations in sections 1471 to 1474 of the US Internal Revenue Code and the Treasury regulations and official guidance issued thereunder, as amended from time to time.

##### CRS

The OECD Common Reporting Standard (CRS) which has been adopted by Luxembourg.

##### <sup>3</sup>Non-Profit Organisation

An entity that meets ALL of the following criteria:

- (i) it is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;
- (ii) it is exempt from income tax in its country of residence;
- (iii) it has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
- (iv) the applicable laws of the entity's country of residence or the entity's formation documents do not permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or non-charitable entity other than pursuant to the conduct of the entity's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the entity has purchased; and
- (v) the applicable laws of the entity's country of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organisation, or escheat to the government of the entity's country of residence or any political subdivision thereof.

#### <sup>4</sup>Controlling Persons

The term 'Controlling Persons' means the natural persons who exercise control over an Entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term 'Controlling Persons' must be interpreted in a manner consistent with the Financial Action Task Force Recommendations ('FATF').

#### Control

'Control' over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest in the Entity. A 'control ownership interest' depends on the ownership structure of the legal person and is usually identified on the basis of a threshold applying a risk-based approach (e.g. any person(s) owning more than a certain percentage of the legal person, such as 25%). Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) is identified as exercising control of the Entity, the Controlling Person(s) of the Entity will be the natural person(s) who holds the position of senior managing official.

#### Classification under CRS

A list of values for controlling person's type can be found below:

a	Controlling Person of a legal person – control by ownership
b	Controlling Person of a legal person – control by other means
c	Controlling Person of a legal person – senior managing official
d	Controlling Person of a trust – settlor
e	Controlling Person of a trust – trustee
f	Controlling Person of a trust – protector
g	Controlling Person of a trust – beneficiary
h	Controlling Person of a trust – other
i	Controlling Person of a legal arrangement (non-trust) – settlor-equivalent
j	Controlling Person of a legal arrangement (non-trust) – trustee-equivalent
k	Controlling Person of a legal arrangement (non-trust) – protector-equivalent
l	Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent
m	Controlling Person of a legal arrangement (non-trust) – other-equivalent

## 5 Non-Private Investors Entity Self-Certification continued

### <sup>5</sup>Financial Institution

The term 'Financial Institution' means a Custodial Institution, a Depositary Institution, an Investment Entity, or a Specified Insurance Company as defined for the purposes of FATCA<sup>2</sup>. Please see the relevant Tax Regulations for the classification definitions that apply to Financial Institutions.

### <sup>6</sup>Partner Jurisdiction Financial Institution

A Partner Jurisdiction Financial Institution includes [(a) any Financial Institution resident in Luxembourg, but excluding any branches of such Financial Institution that are located outside Luxembourg and (b) any Luxembourg branch of a Financial Institution not resident in Luxembourg]. For these purposes, 'Partner Jurisdiction' means any jurisdiction that has in effect an agreement with the US to facilitate the implementation of FATCA.

### <sup>7</sup>Non-IGA jurisdiction

A non-IGA jurisdiction is one where there is no Model 1 or 2 Intergovernmental Agreement in place with the US in respect of FATCA<sup>2</sup>.

### <sup>8</sup>Non-Participating Foreign Financial Institution (NPFFI)

The term 'Nonparticipating Foreign Financial Institution' means a non-participating FFI, as that term is defined in relevant U.S. Treasury Regulations, but does not include a Luxembourg Financial Institution or other Partner Jurisdiction Financial Institution other than a Financial Institution identified as a Non-participating Financial Institution pursuant to a determination by IRS that there is significant non-compliance with FATCA obligations.

### <sup>9</sup>US Territory

This term means American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, the Commonwealth of Puerto Rico or the US Virgin Islands.

### <sup>10</sup>Exempt Beneficial Owner

The term 'Exempt Beneficial Owner' means.

- (i) a Luxembourg Governmental Organisation;
- (ii) an International Organisation (examples of which include The International Monetary Fund, The World Bank, The International Bank for Reconstruction and Development and The European Community – for a full list please see the relevant guidance issued by the IRS);
- (iii) a Central Bank; or
- (iv) a registered pension scheme falling within the definition of Exempt Beneficial Owner for the purpose of FATCA.

### <sup>11</sup>Deemed Compliant Foreign Financial Institution

The term 'Deemed Compliant Foreign Financial Institution' means

- (i) Those entities classified as such in Annex II of the Luxembourg IGA, which includes Non-profit Organisations and Financial Institutions with a Local Client Base, or
- (ii) Entities which otherwise qualify as such under the FATCA Regulations.

### <sup>12</sup>Active Non-Financial Foreign Entity (NFFE)

An Active NFFE is any Non-Financial Foreign Entity<sup>14</sup> that meets one of the following criteria:

- a) Less than 50 percent of the NFFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- b) The stock of the NFFE is regularly traded on an established securities market or the NFFE is a Related Entity<sup>15</sup> of an Entity the stock of which is traded on an established securities market;
- c) The NFFE is organized in a U.S. Territory and all of the owners of the payee are bona fide residents of that U.S. Territory;
- d) The NFFE is a non-U.S. government, a government of a U.S. Territory, an international organization, a non-U.S. central bank of issue, or an Entity wholly owned by one or more of the foregoing;
- e) Substantially all of the activities of the NFFE consist of holding (in whole or in part) the outstanding stock of, and providing financing and services to, one or more subsidiaries that engage in trades

or businesses other than the business of a Financial Institution, except that an NFFE shall not qualify for this status if the NFFE functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes. In these circumstances, the Entity will be a Passive NFFE<sup>13</sup>.

- f) The NFFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution; provided, that the NFFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFFE;
- g) The NFFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- h) The NFFE primarily engages in financing and hedging transactions with or for Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity<sup>15</sup> provided that <sup>3</sup>the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; or
  - i) The Entity is a Non-Profit organisation<sup>3</sup>
  - j) The NFFE is an 'Excepted NFFE' as described in relevant U.S. Treasury Regulations.

### <sup>13</sup>Passive Non-Financial Foreign Entity (PNFFE)

A Passive NFFE is any Non-Financial Foreign Entity<sup>14</sup> that is not an Active NFFE<sup>12</sup>.

### <sup>14</sup>Non-Financial Foreign Entity (NFFE)

The term 'NFFE' means any non-US Entity that is not treated as a Financial Institution<sup>6</sup>.

### <sup>15</sup>Related Entity

An entity is a Related Entity of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose control includes direct or indirect ownership of more than 50 per cent of the vote or value in an entity.

### <sup>16</sup>Specified US Person

The term 'Specified U.S. Person' means a U.S. Person, other than:

- (i) a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;
- (iv) ny State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the Securities Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;

## 5 Non-Private Investors Entity Self-Certification continued

- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State; or
- (xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code.A.

### <sup>11</sup>Deemed Compliant Foreign Financial Institution

#### <sup>17</sup>Financial Institution

The term 'Financial Institution' means a Custodial Institution, a Depositary Institution, an Investment Entity<sup>23</sup>, or a Specified Insurance Company.

#### <sup>18</sup>Non-Reporting Financial Institution

The term 'Non-Reporting Financial Institution' means any Financial Institution which is:

- a) a Governmental Entity, International Organisation or Central Bank, other than with respect to a payment that is derived from an obligation held in connection with a commercial financial activity of a type engaged in by a Specified Insurance Company, Custodial Institution, or Depositary Institution;
- b) a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; a Pension Fund of a Governmental Entity, International Organisation or Central Bank; or a Qualified Credit Card Issuer;
- c) any other Entity that presents a low risk of being used to evade tax, has substantially similar characteristics to any of the Entities described in (a) or (b) above, and is included in the list of Non-Reporting Financial Institutions provided to the European Commission by the [UK];
- d) an Exempt Collective Investment Vehicle; or
- e) a trust to the extent that the trustee of the trust is a Reporting Financial Institution and reports all information required to be reported pursuant to Section I with respect to all Reportable Accounts of the trust.

#### <sup>19</sup>Related Entity

An Entity is a 'Related Entity' of another Entity if (i) either Entity controls the other Entity; (ii) the two Entities are under common control; or (iii) the two Entities are Investment Entities, are under common management, and such management fulfils the due diligence obligations of such Investment Entities. For this purpose control includes direct or indirect ownership of more than 50% of the vote and value in an Entity.

#### <sup>20</sup>Active Non-Financial Entity (NFE)

The term 'Active NFE' means any NFE<sup>22</sup> that meets any of the following criteria:

- a) less than 50% of the NFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- b) the stock of the NFE is regularly traded on an established securities market or the NFE is a Related Entity<sup>19</sup> of an Entity the stock of which is regularly traded on an established securities market;
- c) the NFE is a Governmental Entity, an International Organisation, a Central Bank, or an Entity wholly owned by one or more of the foregoing;
- d) substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes. In these circumstances, the Entity will be a Passive NFE<sup>21</sup>

- e) the NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE does not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFE;
- f) the NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- g) the NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution, or
- h) the Entity is a non-profit organisation<sup>3</sup>

#### <sup>21</sup>Passive Non-Financial Entity (PNFE)

A Passive NFE is any Non-Financial Entity<sup>22</sup> that is not an Active NFE, or an Investment Entity<sup>23</sup> that is not a Participating Jurisdiction Financial Institution

#### <sup>22</sup>Non-Financial Entity (NFE)

The term 'NFE' means any Entity that is not a Financial Institution<sup>17</sup>

#### <sup>23</sup>Investment Entity

The term 'Investment Entity' means any Entity:

- a) which primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:
  - (i) trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
  - (ii) individual and collective portfolio management; or
  - (iii) otherwise investing, administering, or managing Financial Assets or money on behalf of other persons; or
- b) the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets, if the Entity is managed by another Entity that is a Depositary Institution, a Custodial Institution, a Specified Insurance Company, or an Investment Entity described in subparagraph A(6)(a) of the EU Directive on Administrative Co-operation 2014/107/EU

An Entity is treated as primarily conducting as a business one or more of the activities described in subparagraph A(6)(a), or an Entity's gross income is primarily attributable to investing, reinvesting, or trading in Financial Assets for the purposes of subparagraph A(6)(b), if the Entity's gross income attributable to the relevant activities equals or exceeds 50% of the Entity's gross income during the shorter of:

- (i) the three-year period ending on 31 December of the year preceding the year in which the determination is made; or
- (ii) the period during which the Entity has been in existence.

The term 'Investment Entity' does not include an Entity that is an Active NFE because that Entity meets any of the criteria in subparagraphs D(8)(d) through (g) of the EU Directive on Administrative Co-operation 2014/107/EU

This paragraph shall be interpreted in a manner consistent with similar language set forth in the definition of 'financial institution' in the Financial Action Task Force Recommendations.

#### <sup>24</sup>Participating Jurisdiction

The term 'Participating Jurisdiction' means a jurisdiction which has an agreement in place to exchange information in accordance with the OECD Common Reporting Standard.

## 6 Declaration and Signatures

### 1. General

I/We herby certify that: In relation to The Jupiter Global Fund:

- A.** I am/We are fully aware of and agree with the contents and terms of the Articles of Incorporation and the Prospectus, in particular with the section relating to 'Risk Factors' and 'Data Protection';
- B.** I/We have read and retained the most recently issued Prospectus and any supplementary information document(s), as applicable, together with the most recently issued Key Investor Information Document(s) relevant to the Fund(s) selected\*.  
\*documentation is available on the Jupiter website: [www.jupiteram.com](http://www.jupiteram.com) or from J.P. Morgan Bank Luxembourg S.A. (the 'Administrator'), on +352 46 26 85 973.
- C.** I am/We are aware that the Company may postpone or reject this Application Form in whole or in part;
- D.** All information contained in this Application Form is complete and correct and I/we hereby agree to indemnify and hold harmless the Company, the Administrator and the Depositary from and against all actions, suits, proceedings, claims, demands, costs, expenses, loss, liabilities and outgoings whatsoever which may be taken or made or sought from or against any of them in consequence of any false or misleading statements or omissions I/we made herein or which may result directly or indirectly from any misrepresentation or breach of any warranty, condition or covenant or agreement set forth herein or in any other document I/we delivered to the Company;
- E.** I am/We are aware of the applicable provisions for the prevention of money laundering and terrorism financing and confirm that the subscription monies do not represent the proceeds of any illegal activities as described in the regulations issued by the Financial Action Task Force on Money Laundering;
- F.** I/We confirm the source of funds is as disclosed in this Application Form; and

### 4. Redemption payments

I/We understand that redemption payment instructions sent by telegraphic transfer to a designated bank account are sent at my/our risk insofar as the onus to provide bank account details rests solely on me/us. Transfer charges will be levied.

Unless otherwise instructed to you in writing, all proceeds of my/our Shares upon redemption shall be paid to:

Name of Bank/Building Society									
Address									
Country						Postcode			
Telephone number					Fax number				
Account name*					ABA/IBAN number				
Account number					Currency of account				
SWIFT sort code					BIC number				

\*The Account name must be in the name of the registered shareholder(s).

- G.** I am/we are not (a) restricted person(s), nor am I/are we applying for Shares on behalf of (a) restricted person(s), nor am I/are we applying for Shares in order to sell, offer, assign, pledge or otherwise transfer such shares directly or indirectly to (a) restricted person(s). A restricted person is any person who is not eligible, by reason of nationality or otherwise, to hold Shares including, without limitation, a U.S. Person (as defined in the Prospectus and any supplementary information document(s)) unless such U.S. Persons is acting solely as the record holder or nominee for (a) beneficial owner(s) who is/are not (a) U.S. Person(s) OR a Canadian Person (as defined in the Prospectus and any supplementary information document(s)).
- H.** I/We have reached the age of majority under the laws of my/our country of nationality or domicile.

### 2. Documentation

I/We enclose the documents required under the appendix below.

I/We acknowledge that such documents must be provided before the application can be processed and the Company and the Administrator shall be held harmless and indemnified against any loss ensuing due to the failure to process this application, if I/we have not provided such information in due time.

I/We have provided the POWER OF ATTORNEY if applying in the capacity of a proxy.

### 3. Dividend payments

I/We understand that dividend payment instructions sent by telegraphic transfer to a designated bank account are sent at my/our risk insofar as the onus to provide bank account details rests solely on me/us. Transfer charges will be levied.

We have read the section headed 'Dividend Policy' in the Prospectus and understand that all dividends on Shares to the value of less than €100 (or its equivalent in the Base Currency of the Particular Fund) will be automatically reinvested for the account of the Shareholder.



## 6 Declaration and Signatures *continued*

### 5. Fax instructions

I/We hereby authorise the Administrator to act upon instruction received by fax.

Instructions given by fax are deemed to be valid and the Administrator shall not be liable when the execution of a subscription, redemption or switch received by fax has been proceeded in good faith.

The present fax authorisation applies to subscription/redemption/switch instructions only and it does not cover other communication to the Administrator for which original documents are required.

### 6. Beneficial ownership

**Unless one of the boxes is ticked in the list below, the Application Form will be rejected.**

I/We also confirm and certify that:

☐ I am/We are the beneficial owners of the Shares subscribed for.

Shall one of the below be ticked, please complete the application form's section 9: *Ultimate Beneficial Ownership declaration*:

☐ We are not the beneficial owner(s) of the Shares subscribed for within the scope of our regulated activity as a fully licensed Credit/Financial Institution within the EEA/EU or deemed by the Company to impose AML requirements equivalent to those in Luxembourg (details of which can be provided by the Administrator upon request). We have documented the identity of underlying beneficiaries in accordance with the FATF Recommendations (the international standards for combatting money laundering and the financing of Terrorism and Proliferation).

☐ We are not the beneficial owner(s) of the Shares subscribed for within the scope of our regulated activity as a fully licensed Credit/Financial Institution, and our parent company is regulated in a country that is a member of EEA/EU or deemed by the Company to impose AML requirements equivalent to those in Luxembourg (details of which can be provided by the Administrator upon request). As a result of the application of Group due diligence rules, we have documented the identity of underlying beneficiaries in accordance with the FATF Recommendations (the international standards for combatting money laundering and the financing of Terrorism and Proliferation).

☐ I am/we are not the beneficial owner(s) of the Shares subscribed for. The beneficial owner(s) of the Shares subscribed for is/are

Kindly note that as the investment is being made on behalf of another investor, the relevant due diligence will have to be provided according to the legal nature of the investor.

When investing on behalf of a third party please tick the relevant boxes:

☐ Pooled account (multiple underlying clients)

☐ Segregated account (a specific account for a single underlying third party)

If a designated account:

☐ The designation of the account will refer to the underlying client name

☐ The designation contains an internal reference, numbers or combination of letters

### 7. Personal data

I am/We are fully aware that in addition to the below, for details on how Jupiter Group processes personal data, I/We may refer to the Jupiter Group website privacy notice (as amended from time to time): <https://www.jupiteram.com/Shared-Content/Legal-content-pages/Privacy> (the '**Privacy Notice**').

I/We acknowledge and understand that Investors identifying information concerning the (prospective) Shareholders and other related individuals (the '**Data Subjects**') which is received by the Company and/or Management Company, the Investment Manager and/or the Administrator in their capacity as a service provider to the Company, or the information given in the subscription documents or otherwise collected or created in connection with (i) an application to subscribe for Shares or (ii) the holding of Shares, including details of their shareholding (in each case, whether received from the Shareholders or a third party acting on their behalf) (the '**Personal Data**') will be stored in digital form and processed in compliance with the applicable laws and regulations, including in particular Regulation (EU) 2016/679 of 27 April 2016, the 'General Data Protection Regulation' (together the '**Data Protection Legislation**'), as amended.

The Company and the Management Company will process the Personal Data as joint data controllers (the '**Controllers**'), which contact details are provided in the Prospectus and are also available at <https://www.jupiteram.com/Shared-Content/Legal-content-pages/Privacy>, while the Authorised Entities (defined in the Prospectus) will process the Personal Data as processors acting on behalf of the Company. In certain circumstances, the Authorised Entities may also process the Personal Data as controllers, in particular for compliance with their legal obligations in accordance with laws and regulations applicable to them (such as anti-money laundering identification) and/or order of any competent jurisdiction, court, governmental, supervisory or regulatory bodies, including tax authorities.

If Investors fail to provide the relevant Personal Data as requested by the Administrator, ownership of Shares may be prevented or restricted as further detailed in the section of the Prospectus headed 'How to Subscribe, Convert and Redeem'.

By subscribing for Shares and/or being invested in a Fund, the Shareholder mandates, authorises and instructs the Administrator to hold, process and disclose the Personal Data to the Authorised Entities, and to use communications and computing systems, as well as gateways operated by the Authorised Entities for the Permitted Purposes (as defined in the Prospectus), including where such Authorised Entities are present in a jurisdiction outside of Luxembourg or the European Union. By subscribing for Shares and/or being invested in a Fund, the investor: (i) acknowledges that this mandate, authorisation and instruction is granted to permit the holding, processing and disclosure of Personal Data by such Authorised Entities in the context of the Luxembourg statutory confidentiality and personal data protection obligations of J.P. Morgan Luxembourg, and (ii) waives such confidentiality and personal data protection in respect of such Personal Data for the Permitted Purposes.

By subscribing for Shares and/or being invested in a Fund, the Shareholder: (i) acknowledges that authorities (including regulatory, tax or governmental authorities) or courts in a jurisdiction (including jurisdictions where the Authorised Entities are established or hold or process Personal Data) may obtain access to Personal Data held or processed in such jurisdiction or access through automatic reporting, information exchange or otherwise in accordance with the applicable laws and regulations, and (ii) mandates, authorises and instructs the Administrator and the Authorised Entities to disclose or make available Personal Data to such authorities or courts, to the extent required by the applicable laws and regulations. In this respect, the Controllers and the Administrator may be required to report information (including name and address, date of birth and tax identification number (TIN), account number, balance on account) to the Luxembourg tax authorities (Administration des contributions directes) which will exchange this information with the competent authorities in permitted jurisdictions (including outside the European Economic Area) for the purposes provided for in FATCA and CRS or equivalent Luxembourg legislation. It is mandatory to answer questions and requests with respect to the Data Subjects' identification and Shares held in the Company and, as applicable, FATCA and CRS and failure to provide relevant Personal Data requested by the Controllers and/or the Administrator in the course of their relationship with the Company may result in incorrect or double reporting, prevent them from acquiring or maintaining their Shares in the Company and may be reported to the relevant Luxembourg authorities.

I am/We are fully aware that the purpose of the holding and processing of Personal Data by, and the disclosure to and within the Authorised Entities, is to enable the processing for the Permitted Purposes and compliance with applicable laws and regulations. By subscribing for Shares and/or being invested in a Fund, the Shareholder acknowledges and agrees that such disclosure of Personal Data is in order for it to be held and/or processed by Authorised Entities inside or outside Luxembourg or the European Union in countries which are not subject to an adequacy decision of the European Commission and where confidentiality and data protection laws might not exist or be of a lower standard than in the European Union, including in the United States of America, India and Hong Kong.

## 6 Declaration and Signatures *continued*

I/We acknowledge and understand that subject to the foregoing, J.P. Morgan Luxembourg shall inform the Authorised Entities which hold or process Personal Data (a) to do so only for the Permitted Purposes and in accordance with applicable laws and regulations, and (b) that access to such Personal Data within an Authorised Entity is limited to those persons who need to know the Personal Data for the Permitted Purposes or for compliance with laws and regulations.

I/We hereby acknowledge and understand that communications (including telephone conversations and e-mails) may be recorded by the Controllers and the Administrator including for record keeping as proof of a transaction or related communication in the event of a disagreement and to enforce or defend the Controllers' and Administrator's interests or rights in compliance with any legal obligation to which they are subject. Such recordings may be produced in court or other legal proceedings and permitted as evidence with the same value as a written document and will be retained for a period of 7 years starting from the date of the recording. The absence of recordings may not in any way be used against the Controllers and the Administrator.

Insofar as Personal Data is not provided by the Data Subjects themselves, investors hereby represent that they have authority to provide such Personal Data of other Data Subjects. If the investors are not natural persons, they hereby undertake and warrant to (i) adequately inform any such other Data Subjects about the processing of their Personal Data and their related rights as described in this section, in the Prospectus and in the Privacy Notice and, (ii) where necessary and appropriate, obtain in advance any consent that may be required for the processing of the Personal Data.

I am/We are fully aware that detailed data protection information is available in the Privacy Notice and also on demand by contacting the Controllers and/or the Administrator at the contact details mentioned in this Application Form, in the Prospectus, or, in particular in relation to the nature of the Personal Data processed by the Controllers and Authorised Entities, the legal basis for processing, recipients, safeguards applicable for transfers of Personal Data outside of the European Union. Data Subjects may request access to, rectification of or deletion of any Personal Data in accordance with applicable Data Protection Legislation by sending such a request to [talux.funds.queries@jpmorgan.com](mailto:talux.funds.queries@jpmorgan.com) or the other contact persons mentioned in this Application Form, in the Prospectus, or in the Privacy Notice. Personal Data shall not be held for longer than necessary with regard to the purpose of the data processing as described above, subject always to applicable legal minimum retention periods.

I am/We are fully aware that data Subjects are also entitled to address any claim relating to the processing of Personal Data concerning them in relation with the performance of the Purposes or for compliance with applicable laws and regulations by lodging a complaint with the relevant data protection supervisory authority, in particular in the Member State of their habitual residence, place of work or of an alleged infringement of the General Data Protection Regulation (e.g. in Luxembourg, the Commission Nationale pour la Protection des Données – [www.cnpd.lu](http://www.cnpd.lu)).

I/We acknowledge that reasonable measures have been taken to ensure confidentiality of the Personal Data transmitted within the Authorised Entities. However, due to the fact that the information is transferred electronically and made available outside of Luxembourg, the same level of confidentiality and the same level of protection in relation to data protection regulation as currently in force in Luxembourg may not be guaranteed while the information is kept abroad.

I/We hereby expressly recognise and accept that the Company, the Investment Manager and the Management Company will accept no liability with respect to any unauthorised third party receiving knowledge of or having access to Personal Data, except in the case of gross negligence or wilful misconduct by the Company, the Investment Manager or the Management Company, respectively.

The Data Subjects' attention is drawn to the fact that the data protection information contained herein, in the Prospectus and in the Privacy Notice is subject to change at the discretion of the Controllers in cooperation with the Administrator.

I/We agree to notify promptly both the Company and the Administrator of any changes in the information provided in this Application Form that may occur prior to or following an investment in the Company.

### 8. Acknowledgement

I/We understand the meaning of the representations, warranties and declarations contained in this Application Form and understand and acknowledge that the Company and the Administrator are relying upon the representations, warranties and declarations given by me/us contained in this Application Form in determining whether to accept the subscription tendered hereby and in meeting any applicable obligations of each of them to relevant authorities under the fight against money laundering and terrorist financing regulations, the EU Savings Directive and any other applicable regulations. I/We represent and warrant that the information provided in this Application Form is true and correct as of the date hereof and agree to notify immediately the Administrator of any changes in such information. I/We hereby agree to indemnify and hold harmless the Company, the Administrator and each member, shareholder, officer, director or employee thereof from and against any and all losses, damages, expenses, liabilities or reasonable attorneys' fees (including attorneys' fees and expenses incurred in any action in which no judgment in my/our favour is rendered) due to or arising out of any misrepresentation or breach of any representation or warranty contained in or provided as part of this Application Form.

In the event that this subscription is accepted, I/we agree that the representations, warranties, agreements and declarations set forth in this Application Form shall survive the acceptance of this subscription.

## 6 Declaration and Signatures *continued*

### 9. Investor declaration and signatures

I/We agree that the Administrator may act upon instruction issued as one/jointly by two/all of the undersigned/as per the company's signatures list powers (please delete as appropriate) given in accordance with all the instructions provided in this form, being understood that this power of attorney will remain valid upon the death of one of the undersigned.

In case of joint holders, all of them must sign this form..

Signature	Location (where signed)
Name (capacity)	Date
Second Signature	Location (where signed)
Name (capacity)	Date
Third Signature	Location (where signed)
Name (capacity)	Date
Fourth Signature	Location (where signed)
Name (capacity)	Date

### 10. Electronic Dealing Declaration

In the event that I/we, subject to prior written agreement with the Administrator, decide to send subsequent applications, redemptions and instructions electronically:

- a. I/We acknowledge that electronic communications, whether by SWIFT messaging or other electronic means, may be an unsafe method of communication and may be lost, subject to delays, interference by third parties, viruses and their confidentiality, security and integrity cannot be guaranteed. Further, I/we acknowledge that electronic communications cannot be guaranteed to be error-free.
- b. I/We hereby confirm that I/we will not hold the Company, the Investment Manager, the Administrator and the Depositary or any of their directors, officers, employees or agents liable now or at any time for any damage, financial or otherwise which I/we may suffer as a result of any interception or breach of confidentiality or integrity or as a result of any delays, inaccuracy, imperfection, lack of quality, ineffective transmission, viruses, alteration or distortion howsoever arising affecting such electronic communication.
- c. I/We undertake to keep each of the Company, the Investment Manager, the Administrator and the Depositary indemnified at all times against, and to save each of the Company, the Investment Manager, the Administrator and the Depositary harmless from all actions, proceedings, claims, losses, damages, costs and expenses which may be brought against any of the Company, the Investment Manager, the Administrator and the Depositary or suffered or incurred by any of the Company, the Investment Manager, the Administrator and the Depositary and which shall have arisen either directly or indirectly out of or in connection with me /us sending electronic communications.
- d. I/We confirm that I/we shall not send or transmit or arrange for any sending or transmitting on our behalf, any electronic communication which contains a virus or other media damaging to your property or computer systems or which may be defamatory, libellous, slanderous, obscene, abusive, offensive, menacing or immoral and will abide with all relevant laws and regulations and international conventions or treaties governing the content of and the transmission of such electronic communications.
- e. I/We understand and agree that the Company and the Administrator will rely on such electronic instructions received in good faith without further enquiry. I/We authorise the Company and the Administrator to rely on such electronic instructions, based on the terms contained under this section 'Electronic Dealing Declaration'. If further clarification is required, this includes the right for the Company and the Administrator to request original documentation. I/We understand and accept that using and relying on electronic communications involve increased risk of fraud and of miscommunications including those due to a telecommunications system or equipment failure, misdirected communications or illegibility of the instructions or documents. I/We agree that the Company and the Administrator cannot accept responsibility for any use of an incorrect email address, interception, failure, alteration or corruption of the electronic instructions, non-receipt of the electronic instructions, failure of the technical infrastructure, or any allotment, transfer, payment or other act done in good faith in accordance with electronic instructions;
- f. The Company, the Investment Manager, the Administrator and the Depositary may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction, electronic instructions, electronic subscriptions and redemptions or other instrument believed, in good faith, to be genuine.

Please make a copy of this document before sending it to the Administrator

## 7 Appendix – Customer Due Diligence Documentation Requirements

Pursuant to applicable Luxembourg laws and regulations, obligations have been outlined to prevent the use of undertakings for collective investment such as the Fund for money laundering and/or terrorism financing purposes. As a result of such provisions, the Administrator must document the identity of the subscriber and that of the beneficial owner of the subscription.

‘Beneficial owner’ means any natural person who ultimately owns or controls the customer and/or any natural person on whose behalf a transaction or activity is being conducted. The beneficial owner shall at least include:

- A.** in the case of corporate entities:
  - (i) any natural person who ultimately owns or controls a legal entity through direct or indirect ownership or control over a sufficient percentage of the shares or voting rights in that legal entity, including through bearer share holdings, other than a company listed on a regulated market that is subject to disclosure requirements consistent with Community legislation or subject to equivalent international standards; a percentage of more than 25% shall be deemed sufficient to meet this criterion;
  - (ii) any natural person who otherwise exercises control over the management of a legal entity;
- B.** in the case of legal entities, such as foundations and legal arrangements, such as trusts, which administer and distribute fund:
  - (i) where the future beneficiaries have already been determined, any natural person who is the beneficiary of 25% or more of the property of a legal arrangement or entity;
  - (ii) where the individuals that benefit from the legal arrangement or entity have yet to be determined, the class of persons in whose main interest the legal arrangement or entity is set up or operates;
  - (iii) any natural person who exercises control over 25% or more of the property of a legal arrangement or entity.

In case of an incomplete Application Form, the Administrator has the right to refuse to accept the application for subscription.

The Administrator may also ask for additional proof of identification where deemed necessary e.g. utility bill to prove address. Therefore, subscriptions will only be accepted by the Company upon completion of identification requirements to the satisfaction of the Administrator.

The below list of requirements only represents a reference guide for standard due diligence and cannot be deemed as exhaustive, due to the variety of different entities and geographical/legislative contexts the Administrator might be confronted with while performing its function of the Administrator. The Administrator reserves the right to apply Enhanced or Simplified Due Diligence in specific circumstances and to leverage KYC requirements according to the nature of the activity performed by the investor and/or to the jurisdiction of reference. Uncertified copy or originally certified true copy, may vary depending on the risk rating the Administrator will determine the account to represent.

### 1. Individuals

Copy of a valid, official and legible identity document (such as passport or identity card) bearing photo, name, nationality, date and place of birth, signature and expiration date.

### 2. Companies

#### 2.1 Companies listed on a recognised Stock Exchange in an equivalent jurisdiction

Copy of a valid, official and legible identity document (such as passport or identity card) bearing photo, name, nationality, date and place of birth, signature and expiration date for the individuals operating the account

Details of listing stock exchange and registration number (e.g. Bloomberg, Reuters or webpage of relevant eligible recognised Stock Exchange etc).

List of authorised signatories.

#### 2.2 Companies not listed on a recognised Stock Exchange in an equivalent jurisdiction

Copy of a valid, official and legible identity document (such as passport or identity card) bearing photo, name, nationality, date and place of birth, signature and expiration date for the individuals operating the account

Copy/original of the list of directors (Certificate of Incumbency – available in certain countries only), including names, date of birth and residence

Copy of certificate of incorporation or comparable statement from the relevant Registrar of Companies (Certificate of Good Standing – available in certain countries only)

Copy of articles of association/incorporation and/or Bye-Laws

Latest available audited financial report or audit engagement letter

Copy/original of the shareholders register showing their names, date and place of birth (if applicable), residence and percentage held

Copy of identification documents of the shareholders owning 25% or more of the capital of the company (if any) according to their legal nature

### 3. Other vehicles

#### 3.1 Partnerships

Copy of the founding partnership agreement

Copy/original of the list of directors/partners including names, date of birth and residence

Copy of identity documents according to their legal nature

Copy of certificate of incorporation or comparable statement from the relevant Registrar of Companies

Latest available audited financial report or audit engagement letter

Copy of a valid, official and legible identity document (such as passport or identity card) bearing photo, name, nationality, date and place of birth, signature and expiration date for the individuals operating the account

Copy/original of the shareholders register showing their names, date and place of birth (if applicable), residence and percentage held

Copy of identification documents of the shareholders owning 25% or more of the capital of the company according to their legal nature

#### 3.2 Trusts

Copy of the Declaration or Deed of Trust (initial/definitive deed and all subsequent deed of variation/amendment)

Copy of identity documents for the settlor/s, beneficiary/ies, trustees and for the protector (if applicable) of the Trust according to their legal nature

Copy of a valid, official and legible identity document (such as passport or identity card) bearing photo, name, nationality, date and place of birth, signature and expiration date for the individuals operating the account

Latest available audited financial report or audit engagement letter (if unavailable for the trust, financial statement of the corporate trustee should be obtained)

Copy/original of the list of directors of the corporate trustee including names, date of birth and residence



## 7 Appendix – Customer Due Diligence Documentation Requirements continued

### 3.3 Foundations

Copy of a valid, official and legible identity document (such as passport or identity card) bearing photo, name, nationality, date and place of birth, signature and expiration date for the individuals operating the account

Copy/original of an official document representing evidence of registration of the foundation

Copy/original of an official document explaining the rules governing the foundation and its purpose

Copy/original of the list of directors/representatives including names, date of birth and residence

Copy of a valid, official and legible identity document (such as passport and identity card) bearing photo, name, nationality, date and place of birth, signature and expiration date for the directors/representatives

Copy/original list of beneficial owners of the foundation

Copy of the identification documents of any direct beneficiaries

Latest available audited financial report or audit engagement letter (if available)

Copy of a valid, official and legible identity document (such as passport or identity card) bearing photo, name, nationality, date and place of birth, signature and expiration date for the administrator or settlor of the foundation where applicable.

### 3.4 Funds

- A.** Investment fund domiciled and regulated in one of the Company's approved list of countries imposing requirements equivalent to Luxembourg (the list can be provided by the Administrator upon demand)

Copy of visa or equivalent license from the competent supervisory authority (or independently obtained evidence of regulation via webpage of the supervisory authority)

An original or duly certified current and dated list on company letterhead of all authorised signatories or officially published booklet of authorised signatories (no certification is required for the booklet). This document should evidence the capacity and authority of the individuals signing the application form and sending any subsequent instruction

*The Prospectus or Offering Memorandum.*

### B. Other Funds

Copy of the Prospectus or Offering Memorandum bearing indication of the Administrator

Copy/original of the list of directors of the investment fund including names, date of birth and residence

Copy of identity documents for the directors of the fund according to their legal nature

Copy of certificate of incorporation or comparable statement from the relevant registrar of companies

Copy of articles of association/incorporation and/or Bye-laws (if applicable)

Latest available audited financial report or audit engagement letter

Copy of a valid, official and legible identity document (such as passport or identity card) bearing photo, name, nationality, date and place of birth, signature and expiration date for the individuals operating the account

Original written confirmation from the Administrator that no shareholders own 25% or more of the Fund (if any shareholder owns more than 25% or more of the fund, this shareholder will need to be verified according to their legal nature)

### 4. Enhanced Due Diligence

Applies where occasional change in EU/Luxembourg legislation and Administrator Group policies or the circumstances of any transaction may justify that the Administrator requires in its reasonable discretion additional documentation on registered holder the beneficiary or otherwise during the course of the relationship.

Enhanced Due Diligence ('EDD') is applied at the discretion of the Registrar and Transfer Agent based on the following: main residence of the investor, or the domicile or the place of incorporation, the location where the investor has its main business or the location where the investor has its investments, the country in which the investor has a correspondent, an agent, a representative, a shareholder, its main investors if such country is not listed on the Company's approved list of countries imposing requirements equivalent to Luxembourg (the list can be provided by the Administrator upon demand).

If you have any questions please do not hesitate to contact the Registrar and Transfer Agent by telephone at +352 46 26 85 973.

I/We agree that any dispute concerning our investment in the funds identified under section 2 above will be settled in Luxembourg according to Luxembourg Law.

## 8 Intermediary

**This needs to be completed by any distributor/intermediary that wants to be associated to this deal. Failure to complete this section may lead to a delay in the payment of any rebates due.**

Distributor/Intermediary Name

Address

### For completion by Professional Adviser

Agent stamp

Contact name

Telephone number

Date

**If Jupiter deem this application to have been advised, then no commission will be payable.**

## 9 Ultimate Beneficial Ownership Declaration

Please note this declaration is applicable to all entity types, including Listed Entities, Regulated Financial Institutions, Regulated Nominees and subsidiaries of Regulated Financial Institution or Listed Entities.

 Investors full name

 Fund name

 Account number (if existing investor)

Please tick one of the below boxes and complete the relevant section in block capitals. Please note that sections 9b, 9c and 9d are not alternative options but cascading measures. **Section 9d must only be completed if section 9b and 9c do not apply.**

☐

I/we confirm that the investing entity is a company whose shares are admitted to trading on a Regulated Market as per note 1 below. Please complete section 9a of this declaration. (This does not apply to subsidiaries, including wholly owned).

☐

I/we confirm there is a natural person(s) who ultimately owns or controls (directly or indirectly) equal or greater than 25% of the value of the shares, voting rights or ownership in the investing entity, including through bearer shares. Please complete section 9b of this declaration.

☐

I/we confirm there is a natural person(s) who control(s) the investing entity by other means. Please complete section 9c of this declaration.

☐

I/we confirm there is no natural person(s) who ultimately owns or controls (directly or indirectly) equal or greater than 25% of the value of the shares, voting rights or ownership in the investing entity, including through bearer shares or through control by other means. Please complete section 9d of this declaration.

### Section A: Listed on a Regulated Market

 Country in which the entity is traded

 Full name of the regulated market

 Stock exchange identifier

<sup>1</sup>Listed companies on a regulated market in the European Union, the European Economic Area, or in another third country that imposes obligations recognised as equivalent by the European Commission need only to disclose on which market they are listed as per EU Directive 2004/109/CE requirements.

### Section B: Control by Ownership

Beneficial Owner 1

Surname	First name(s)
Date of birth (DD-MM-YYYY)	Nationality
Place of birth	
Percentage Ownership	
Residential Address (PO or C/O will not be accepted)	
Street and number	City / Town
Postcode / Zipcode	Country
Identification number	

Beneficial Owner 2

Surname	First name(s)
Date of birth (DD-MM-YYYY)	Nationality
Place of birth	
Percentage Ownership	
Residential Address (PO or C/O will not be accepted)	
Street and number	City / Town
Postcode / Zipcode	Country
Identification number	

If needed, please add additional beneficial owners on a separate sheet.

Please provide a certified true copy of a valid passport or government issued ID for the individual(s) named in Section 9b.

## 9 Ultimate Beneficial Ownership Declaration *continued*

### Section C: Control by other means

Surname	First name(s)
Date of birth (DD-MM-YYYY)	Nationality
Place of birth	
Residential Address (PO or C/O will not be accepted)	
Street and number	City / Town
Postcode / Zipcode	Country
Identification number	

Please provide below an explanation on how the control is derived such as for example through a shareholder's agreement, the exercise of dominant influence or the power to appoint senior management. Please note depending on the details provided we may require further information or documentation.

**Please provide a certified true copy of a valid passport or government issued ID for the individual(s) named in Section 9c.**

### Section D: Control Senior Managing Official (SMO)

In the event that section 9b or 9c above do not apply, a Senior Managing Official\* will be recorded as the "Ultimate Beneficial Owner – Senior Managing Official", as defined in Directive (EU) 2015/849. This will apply at investing entity level in whose name the shares are registered. Where a collegial or jointly responsible body is in charge, details of more than one Senior Managing Official may be detailed below.

In completing the below, we confirm we have read and understood the definition of Senior Managing Official and we have provided below details of a Senior Managing Official who should be recorded as the "Ultimate Beneficial Owner – Senior Managing Official (SMO)".

#### Senior Managing Official 1

Surname	First name(s)
Date of birth (DD-MM-YYYY)	Nationality
Place of birth	
Business Address (PO or C/O will not be accepted)	
Street and number	City / Town
Postcode / Zipcode	Country
Identification number	
Name of Company	Position in company

#### Senior Managing Official 2

Surname	First name(s)
Date of birth (DD-MM-YYYY)	Nationality
Place of birth	
Business Address (PO or C/O will not be accepted)	
Street and number	City / Town
Postcode / Zipcode	Country
Identification number	
Name of Company	Position in company

If needed, please add additional beneficial owners on a separate sheet.

**Please provide a certified true copy of a valid passport or government issued ID for the individual(s) named in Section 9d.**

\*Senior Managing Official means any natural person(s) responsible for strategic decisions that fundamentally affect the business practices or general direction of the legal entity and any natural person(s) who exercises executive control over the daily and regular business of the legal entity through a senior management position, such as Director, Chief Executive Officer (CEO), Chief Financial Officer (CFO), Managing or Executive Director, President or Chairman.

## 9 Ultimate Beneficial Ownership Declaration *continued*

Please ensure you have provided a certified true copy of a valid passport or government issued ID for any individual(s) named in Sections 9b, 9c or 9d.

We, the undersigned, confirm that the above information accurately reflects all details relating to the beneficial owners of the investing entity. Should this information change we confirm that we will promptly notify J.P. Morgan Bank Luxembourg S.A. as appointed Transfer Agent of the fund immediately. We confirm that where the above information includes details of a senior manager, the individual(s) is aware their personal information has been provided and will be recorded by J.P. Morgan Bank Luxembourg S.A. in accordance with our privacy policy available at [www.jpmorgan.com/privacy/EMEA](http://www.jpmorgan.com/privacy/EMEA).

Authorised Signer's Signature	Authorised Signer's Signature
Full Name	Full Name
Title	Title
Date	Date

This form should be signed in accordance with the investors Authorised Signatory List.

