

# First Trust Indxx Innovative Transaction & Process UCITS ETF

The Fund is a passively managed index-tracking fund that seeks to provide investors with investment results that correspond generally to the price and yield of the Indxx Blockchain Index (the "Index"), before fees and expenses. The rules-based Index is designed to track a global universe of companies that are either actively using, investing in, developing, and/or have products poised to benefit from blockchain technology.

## Performance

The figures shown relate to past performance and do not predict future returns

Performance Since Inception (%)

■ Acc USD



Performance Summary (%)	1 M	3 M	YTD	1 Y	3 Y	5 Y	Since Inception
Acc USD	2.86	5.37	5.37	18.01	4.79	10.13	9.15
Tracking Index	2.95	5.69	5.69	18.91	5.40	10.79	9.78

Performance for periods under one year is cumulative and over one year is annualised.

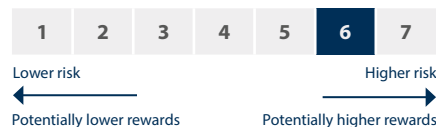
12-Month Performance Periods (%)	31.03.19 31.03.20	31.03.20 31.03.21	31.03.21 31.03.22	31.03.22 31.03.23	31.03.23 31.03.24
Acc USD	-12.48	60.89	2.76	-5.11	18.01
Tracking Index	-12.00	61.98	3.25	-4.62	18.91

Fund performance is the performance of the share class since its inception, shown net of fees including re-invested dividends in the base currency of the share class which is in USD. Performance can go up as well as down, and investors may lose some or all of their capital. Returns may increase or decrease as a result of currency and exchange rate fluctuations. Please refer to the 'Risks' section on Page 3 for more details on risks associated with an investment in the fund.

<sup>1</sup>The ongoing charges figure is based on the Fund's annual management fee, whereby the Investment Manager is responsible for the costs involved in running the Fund. In the event that the Fund's running costs exceed the stated figure the Investment Manager shall pay the excess from its own assets. The ongoing charges figure excludes portfolio transaction costs. Details of the transaction costs are available from your broker or financial advisor and at [www.ftgportfolios.com](http://www.ftgportfolios.com). The figure may vary from year to year.

BLOK	Acc USD
ISIN	IE00BF5DXP42
Asset Class	Equity
Fund Inception	09.04.2018
Share Class Inception	09.04.2018
Ongoing Charges <sup>1</sup>	0.65%
Base Currency	USD
Income Treatment	Accumulating
Total Fund AUM	\$48.09 million
Outstanding Shares	1,425,002
UCITS Compliant	Yes
Domicile	Ireland
Investment Manager	First Trust Advisors
Rebalance Frequency	Semi-Annual
Replication Method	Physical - Full Replication
SFDR Classification	Article 6
Tracking Index	Indxx Blockchain Index

## RISK AND REWARD PROFILE



The Synthetic Risk and Reward Indicator (SRRI) illustrates a fund's risk and reward profile through a number between 1 and 7.

## Trading Information

Exchange	Ccy	Ticker	SEDOL
London Stock Exchange	GBP	BLOK LN	BZ14KR2
London Stock Exchange	USD	LEGR LN	BZ14KQ1
Borsa Italiana	EUR	BLOK IM	BF1DY99

☒ ISA
 ☒ SIPP
 ☒ UK Reporting Status

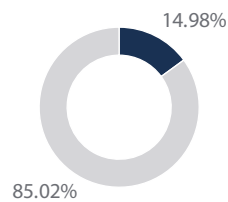
## Portfolio

### TOP 10 HOLDINGS (%)

Micron Technology, Inc.	1.63
JD.com, Inc. (ADR)	1.57
PayPal Holdings, Inc.	1.57
Samsung Electronics Co., Ltd.	1.52
Oracle Corporation	1.50
Baidu, Inc. (ADR)	1.48
Swisscom AG	1.46
AT&T Inc.	1.42
Emirates Telecommunications Group Company PJSC	1.42
Mastercard Incorporated	1.41

Top 10 holdings as a percentage of Total Net Assets. Portfolio Holdings are subject to change at any time. References to specific securities should not be construed as a recommendation to buy or sell and should not be assumed profitable.

Top 10 Holdings as % of Total



### SECTOR ALLOCATION (%)

Financials	40.11	
Information Technology	26.47	
Communication Services	10.19	
Consumer Discretionary	9.84	
Industrials	5.24	
Utilities	2.94	
Consumer Staples	1.57	
Materials	1.55	
Health Care	1.29	
Energy	0.80	

### COUNTRY ALLOCATION (%)

United States	38.50
China	11.89
Germany	7.87
India	7.50
France	5.15
United Kingdom	4.79
Switzerland	3.03
Japan	2.86
Spain	2.52
Australia	2.28
United Arab Emirates	2.20
Other	11.41

### FUND CHARACTERISTICS

Max Market Cap	\$3,126.1bn
Median Market Cap	\$91.8bn
Min Market Cap	\$19.7bn
Price/Earnings	13.64
Price/Book	1.61
Price/Cash Flow	9.20
Price/Sales	1.69

- The Indxx Blockchain Index tracks the performance of exchange-listed companies across the globe that are either actively using, investing in, developing, or have products that are poised to benefit from blockchain technology. The index seeks to include only companies that have devoted material resources to the use of blockchain technologies.
- Each security in the Index must meet certain eligibility criteria based on liquidity, size and trading minimums.
- Eligible securities are classified into the following three categories: i. Tier One: Active Enablers – Companies actively developing blockchain technology products or systems for their own internal use and for the sale and support of other companies; companies that are direct service providers for blockchain technology; or, companies that have business models that rely on delivering products or services that use blockchain technology. Companies in this category are assigned a score of 1; ii. Tier Two: Active Users – Companies that are using blockchain technology that is generally supported by an Active Enabler or have at least one use or test case of using blockchain technology. Companies in this category are assigned a score of 2; iii. Tier Three: Active Explorers – Companies that have publicly disclosed that they are active in exploring the incorporation of blockchain technology into their business or have a press release on their website or a news article stating that they have started working on the blockchain technology space. These companies do not have at least one use case or test case on blockchain technology and are not currently directly incorporating blockchain technology into their business. Companies in this category are assigned a score of 3.
- Companies with a score of 1 or 2 are selected for the index and weighted with Active Enablers receiving 50% and Active Users receiving 50%. The selected companies are weighted equally within each category. The index is capped at 100 constituents.
- A maximum weighting of 0.50% is applied to constituents in the Active Enabler category with a market cap of less than \$500 million, as well as a 20 day and 3 month average daily turnover volume of less than \$3 million.
- The Index is reconstituted and rebalanced semi-annually.

## Risks

- **The Fund may not achieve its investment objective and the value of shares in the Fund may fall.**
- Neither First Trust Global Portfolios Management Limited ("FTGPM") nor any of its affiliates, guarantees the performance or the future returns of the Fund.
- The Fund is subject to blockchain technology risk. Blockchain technology is an entirely new and relatively untested technology. The risks associated with blockchain technology may not emerge until the technology is widely used. Blockchain systems could be vulnerable to fraud, particularly if a significant minority of participants colluded to defraud the rest. Access to a given blockchain requires an individualised key, which, if compromised, could result in loss due to theft, destruction or inaccessibility. There is little regulation of blockchain technology other than the intrinsic public nature of the blockchain system.
- Any future regulatory developments could affect the viability and expansion of the use of blockchain technology. Because blockchain technology systems may operate across many national boundaries and regulatory jurisdictions, it is possible that blockchain technology may be subject to widespread and inconsistent regulation. Blockchain technology is not a product or service that provides identifiable revenue for companies that implement, or otherwise use it. Therefore, the values of the companies included in the Indxx Blockchain Index may not be a reflection of their connection to blockchain technology, but maybe based on other business operations. Currently, blockchain technology is primarily used for the recording of transactions in digital currency, which are extremely speculative, unregulated and volatile. Problems in digital currency markets could have a wider effect on companies associated with blockchain technology. Blockchain technology also may never be implemented to a scale that provides identifiable economic benefit to the companies included in the Index. There are currently a number of competing blockchain platforms with competing intellectual property claims. The uncertainty inherent in these competing technologies could cause companies to use alternatives to blockchain. Finally, because digital assets registered in a blockchain do not have a standardized exchange, like a stock market, there is less liquidity for such assets and greater possibility of fraud or manipulation.
- There is no assurance that the Index will continue to be calculated and published on the basis described in the Prospectus. In addition, the Fund's return may not match the return of the Index.
- See also the description of the risks applicable to the Fund in "Risk Factors" in the Prospectus.

## Important Information

This marketing communication is issued by First Trust Global Portfolios Management Limited ("FTGPM") whose place of business is Fitzwilliam Hall, Fitzwilliam Place, Dublin 2, D02 T292. FTGPM is authorised and regulated by the Central Bank of Ireland ("CBI") (C185737). The Fund is also regulated by the CBI.

Nothing contained herein constitutes investment, legal, tax or other advice and it is not to be solely relied on in making an investment or other decision, nor does the document implicitly or explicitly recommend or suggest an investment strategy, reach conclusions in relation to an investment strategy for the reader, or provide any opinions as to the present or future value or price of any fund. It is not an invitation, offer, or solicitation to engage in any investment activity, including making an investment in the Fund, nor does the information, recommendations or opinions expressed herein constitute an offer for sale of the Fund.

The Fund is an open-ended sub-fund of First Trust Global Funds PLC (the "Company"), an umbrella UCITS fund with segregated liability between sub-funds, incorporated with limited liability as an investment company with variable capital under the laws of Ireland with UCITS registered number 514357.

The material in this marketing communication is not comprehensive and must therefore be read in conjunction with the Fund's prospectus and the UCITS key investor information document ("KIID") or the PRIIPS key information document ("KID") which contain material information not contained herein, including the terms of investment and information regarding investment risks and restrictions, fees and expenses and conflicts of interests. Potential investors should pay particular attention to the risk disclosures in the "Risk Factors" section of the Fund's prospectus (available in English) and KIIDs (available for each share class of each of the sub-funds of the Company and in one of the official languages of each of the EU Member States into which each sub-fund has been notified for marketing under the Directive 2009/65/EC (the UCITS Directive)). No assurance can be given that the Fund's investment objective will be achieved or that the Fund will generate a positive return. Please contact FTGPM or visit

[www.ftglobalportfolios.com](http://www.ftglobalportfolios.com) to obtain a prospectus and/or KIID/KID. Investors and potential investors can obtain a summary in English of investor rights and information on access to collective redress mechanisms in the event of litigation at the following website: [https://www.ftglobalportfolios.com/Content/SUMMARY\\_OF\\_INVESTOR\\_RIGHTS](https://www.ftglobalportfolios.com/Content/SUMMARY_OF_INVESTOR_RIGHTS).

The Fund is currently notified for marketing into a number of EU Member States under the UCITS Directive. The Company may however decide to terminate the arrangements made for the marketing of any share class of the Fund at any time using the process contained in the UCITS Directive.

Any UCITS ETF's units / shares purchased on the secondary market cannot usually be sold directly back to UCITS ETF. Investors must buy and sell units / shares on a secondary market with the assistance of an intermediary (e.g. a stockbroker) and may incur fees for doing so. In addition, investors may pay more than the current net asset value when buying units / shares and may receive less than the current net asset value when selling them.

The Company's portfolio holdings policy is designed to be transparent, whilst being in the best interest of the Fund and protecting the confidentiality of each Fund's portfolio holdings. The full portfolio holdings for the Fund shall generally be available daily, with a one-day lag, on [www.ftglobalportfolios.com](http://www.ftglobalportfolios.com). Any portfolio holdings information which may otherwise be provided on request shall be provided on a confidential basis.

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