

# KEY INVESTOR INFORMATION

This document provides you with key investor information about this fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this fund. You are advised to read it so you can make an informed decision about whether to invest.

## ECOFIN ENERGY TRANSITION UCITS FUND a Sub-Fund of GATEWAY UCITS FUNDS PLC

Class E Founder EUR ISIN: IE00BYVQ9740

Class E Founder GBP ISIN: IE00BYVQ9963

Class E Founder NOK ISIN: IE00BYVQ9C97

Class E Founder CHF ISIN: IE00BYVQ9D05

The Manager of the Fund is Equity Trustees Fund Services (Ireland) Limited

### Objectives and investment policy

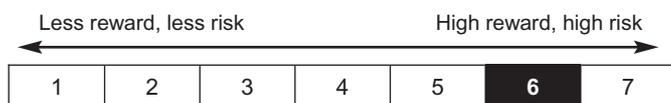
The investment objective of the Fund is to maximize returns by investing in a portfolio of equities issued by companies that have at least 25% of their revenues derived from sustainability initiatives. The investment policy set for the Fund is to invest predominantly in equities issued by companies focused on the following seven Target Sectors:

- Advanced Mobility
- Energy & Industrials
- Power & Energy Infrastructure
- Air & Environment
- Renewable Energy
- Energy Efficiency
- Water

The Fund is actively managed, with reference to the net dividend total return of the MSCI ACWI Index for performance comparison purposes as well as for the purposes of calculating the performance fee.

The Fund will utilise a broad approach to security selection, with the investable universe spanning more than 400 publicly traded equities issued by high quality companies focused on the Target Sectors and located in developed markets that have at least 25% of their revenues derived from sustainability initiatives, such as energy efficiency, renewable energy, waste management, clean transportation, industrial efficiency etc. Geographic exposure will be predominantly to high quality companies focussed on the Target Sectors located primarily in North America, in Europe and in Asia-Pacific.

### Risk and reward profile



The indicator above indicates the position of the Fund on a standard risk/reward category scale.

The risk/return indicator suggests the relationship between risk and potential returns when investing in the Fund.

The Fund's risk level is classified as category 6, indicating a higher than average risk but with the potential for higher reward

Historical data, such as is used in calculating the synthetic indicator, may not be a reliable indication of the future risk profile of the Fund.

The risk and reward category shown is not guaranteed to remain unchanged and the categorisation of the Fund may shift over time.

The lowest category does not mean a risk-free investment.

The Fund may also be exposed to the following risks which are not adequately taken into account by the risk indicator above:

• **Liquidity Risk:** The Fund may not be able to sell an investment at the time and price that is most beneficial to the Fund and may be unable to raise cash to meet redemption requests as a result.

The equities invested in by the Fund will be listed or traded on Recognised Exchanges. The Fund may also hold or maintain cash deposits (denominated in such currency or currencies as the Sub-Investment Manager may determine) and/or cash equivalents (such as short term commercial paper, certificates of deposit, treasury bills, and fixed or variable rate commercial paper listed or traded on one or more Recognised Exchanges) and subject to the conditions and within the limits laid down by the Central Bank. The amount of cash and/or cash equivalents that the Fund will hold will vary depending on the Sub-Investment Manager's timing of adding or removing positions to or from the Fund. Investment in the foregoing ancillary investments may be made during abnormal market conditions or pending re-investment in any of the Target Sectors asset classes disclosed above. The Fund will not invest in such instruments that are unrated or rated below BBB- (or equivalent).

The Fund is categorised as an Article 8 product for the purposes of Sustainable Finance Disclosure Regulation ("SFDR"), meaning it promotes environmental and/or social characteristics.

The portfolio construction process restricts investments to companies that align with certain of the Manager's Sustainable Investment Themes. Specifically this Fund invests across four Sustainable Investment Themes, "Electrification", "Clean Transportation", "Industrial and Building Efficiency", and "Circular Economy".

The Sub-Fund does not intend to pay a dividend.

You can buy & sell shares on every day excluding bank holidays in Dublin, London, New York, China and Japan

Recommendation: this Fund may not be appropriate for investors who plan to withdraw their money within 5 years.

• **Operational Risk:** The Fund relies heavily on its financial, accounting and other data processing systems. There is a risk the systems may not be able to accommodate a high volume of transactions. There is also a risk of mistakes in the confirmation or settlement of transactions.

• **Reliance Risk:** The Fund's success will depend upon the ability of the Sub-Investment Manager to implement its investment policy and strategy so as to achieve the investment objective. The death, disability or withdrawal of one or more of the key employees of the Sub-Investment Manager, or the financial or operational difficulties of the Sub-Investment Manager could adversely affect the Fund.

• **Market Disruption Risk:** The Fund may incur major losses in the event of disrupted markets and other extraordinary events which may affect markets in a way which is inconsistent with historical pricing relationships.

• **Market Risk:** The Fund is affected by general economic and market conditions, such as interest rates, availability of credit, inflation rates, economic uncertainty, changes in laws, trade barriers, currency exchange controls and national and international political circumstances. These factors may affect the level and volatility of the price of securities and the liquidity of the Fund's investments.

• **Equity Risk:** Investing in equities (which include common stock and preferred stock) may offer a higher rate of return than those investing in debt securities or other types of investments.

## Charges

The charges are used to pay the costs of running the Fund, including the costs of marketing and distributing the Fund. These charges reduce the potential growth of the investment.

### One-off charges taken before or after you invest

|              |             |
|--------------|-------------|
| Entry charge | 5.00%       |
| Exit charge  | up to 2.00% |

These are the maximum charges that we might take out of your money before it is invested and before we pay out the sale proceeds of your investment. In some cases, you might pay less and you should speak to your financial adviser about this.

The Directors do not currently intend to impose the entry charge

### Charges taken from the fund under certain specific conditions

|   |      |
|---|------|
| Performance-related fees above a hurdle | None |
|---|------|

### Charges taken from the fund over a year

|                |  |
|----------------|--|
| Ongoing charge | Class E Founder EUR: 1.59%<br>Class E Founder GBP: 1.60% |
|----------------|--|

This will include reimbursement to the Sub-Investment Manager for third party research charges, at a rate of 20 bps where Fund AUM is up to \$50m, 15bps where Fund AUM is \$50-100m, 10bps where Fund AUM is \$100m-\$200m and at 6bps where Fund AUM exceeds \$200m.

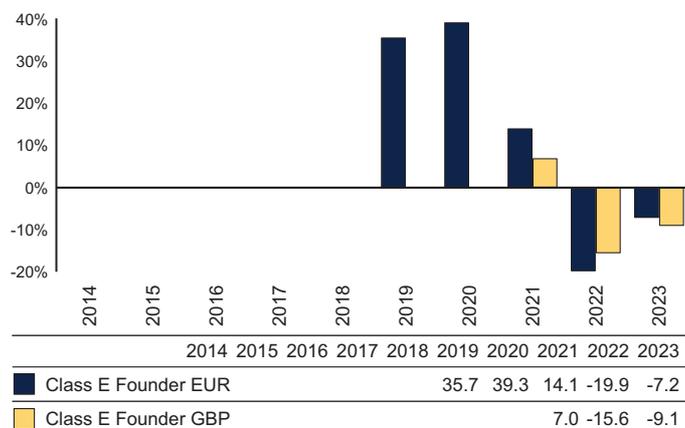
You can obtain further information about these charges, in the "fees and expenses" section of the prospectus and/or supplement which is available from the administrator, Société Générale Securities Services, SGSS (Ireland) Limited at 3rd Floor, IFSC House, IFSC, Dublin 1.

The ongoing charges figures are based on annualised expenses for the year ending 31/05/2023. These figures may vary from year to year, however the charges for the above classes will not exceed 1.6%. The ongoing charges figure shown do not include transaction costs incurred when the Fund buys or sells assets.

The ongoing charges figure for E (NOK) & E (CHF) is estimated because the share/unit class is relatively new and has insufficient track record for us to calculate it exactly.

The aggregate fees and expenses payable out of the assets of the Fund will not exceed (i) 160 basis points per annum of the Net Asset Value of the Fund for all Classes of Share other than Class D (Retail) Shares, and (ii) will not exceed 200 basis points per annum of the Net Asset Value of the Fund for Class D (Retail) Shares.

## Past performance



Past performance is not necessarily indicative of future performance.

The calculation of the past performance includes all fees and commissions.

The Fund was launched in 2018.

The share classes Class E Founder EUR launched on 14/09/2018 and Class E Founder GBP launched on 27/11/2020.

Class E Founder CHF and Class E Founder NOK have not yet launched.

The past performance has been calculated in EUR.

## Practical information

GATEWAY UCITS FUNDS PLC is authorised in Ireland and regulated by the Central Bank of Ireland.

The custodian is Société Générale S.A., Dublin branch.

The prospectus, supplement, the latest annual reports and half yearly reports and accounts are available in English from the administrator, Société Générale Securities Services, SGSS (Ireland) Limited at 3rd Floor, IFSC House, IFSC, Dublin 1.

The latest published prices of shares of each share class of the Fund are available on Bloomberg.

Details of the up-to-date remuneration policy of the Manager, including, but not limited to, a description of how remuneration and benefits are calculated and the identity of persons responsible for awarding the remuneration and benefits are available on [www.equitytrustees.com](http://www.equitytrustees.com). A paper copy will be made available free of charge upon request by the Manager.

The base currency of the Fund is EUR.

GATEWAY UCITS FUNDS PLC is an umbrella fund with segregated liability between sub-funds.

This means that the holdings of the Fund are maintained separately under Irish law from the holdings of other sub-funds of the umbrella

and your investment in the Fund will not be affected by any claims against any other sub-funds of the umbrella.

Subscription, redemption or conversion requests should be addressed to the administrator at Société Générale Securities Services, SGSS (Ireland) Limited, Transfer Agency Department, 3rd Floor, IFSC House, IFSC, Dublin 1.

Investors may request conversion of some or all of their Shares in one Fund or Class to Shares in another Fund or Class or another Class in the same Fund. Please see Prospectus heading "Conversion of Shares". There is no conversion fee

The Fund is subject to Irish tax laws which may have an impact on your personal tax position. For further details you should consult a tax advisor.

GATEWAY UCITS FUNDS PLC may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the prospectus and/or supplement.

The Manager is authorised in Ireland and regulated by the Central Bank of Ireland.

This Key Investor Information is accurate as at 9 February 2024.