

This document provides you with key investor information about this fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this fund. You are advised to read it so you can make an informed decision about whether to invest.

## First Trust Global Capital Strength ESG Leaders UCITS ETF

A sub-fund of First Trust Global Funds plc (the "Company")

First Trust Global Portfolios Management Limited is the manager of the Company (the "Manager")

ISIN: IE00BKPSPT20

Share class: Class A USD

## Objective and Investment Policy

**Objective:** The objective of the fund is to seek to provide investors with long term returns, through capital growth.

The fund intends to pursue an actively managed investment strategy. The fund will invest primarily in a diversified portfolio of global equity securities issued by companies which the investment manager considers to have leading or superior metrics based on environmental, social and governance ("ESG") related criteria (relative to their industry peers) and which, in addition, the investment manager considers to exhibit "capital strength" in that they are well capitalised and have strong balance sheets.

The investment manager will consider an issuer to have leading or superior ESG metrics if it is included in the MSCI ACWI ESG Leaders Index (the "MSCI ESG Index"). The MSCI ESG Index is a capitalisation-weighted index that provides exposure to companies with high ESG performance relative to their peers. The MSCI ESG Index is designed for investors seeking a broad, diversified sustainability benchmark with relatively low tracking error to the underlying equity market, represented by the MSCI ACWI Index.

The investment manager applies its proprietary "capital strength" investment methodology to screen issuers of stocks in the MSCI ESG Index for inclusion in the fund's portfolio. The capital strength methodology evaluates issuers on the following criteria: minimum three-month average trading volume of USD 5 million, minimum of USD 1 billion in cash and short-term investments, long-term debt to market capitalisation ratio of less than 30%, and return on equity of greater than 15%. Issuers in the MSCI ESG Index that do not meet those criteria are discarded, and the resulting portfolio is then adjusted to 50 stocks from the MSCI ESG Index by taking the issuers with the lowest combined three- and 12-month volatility and applying the following concentration limits: country weighting in the fund's portfolio must be within percentage points of the country weight in the MSCI ESG Index, and sector concentration is limited to 30%.

In the event that the application of the capital strength methodology results in fewer than 50 stocks, then the securities that had the highest return on equity that initially failed that criterion but passed the debt to market capitalisation ratio and cash and short-term investments constraints are added back to the eligible universe until there are 60 eligible securities. The top 50 securities are then selected based on the volatility criteria subject to the sector and country concentration limits. The 50 stocks are then equally weighted. The investment manager screens the stocks in the MSCI ESG Index on a semi-annual basis using its "capital strength" methodology. As the fund is actively managed the investment manager reviews the current MSCI ESG Rating for each issuer in the fund's portfolio on a weekly basis and issuers with a current rating of lower than "BB" are removed from the fund's portfolio even if they have not yet been removed from the MSCI ESG Index; in such

cases the portfolio may consist of slightly fewer than 50 stocks. Similarly, there may be circumstances where a security creates new shares of its existing business in a separate company which could result in holdings in excess of 50 holdings. The fund's portfolio is subject to the investment manager's ongoing internal research and analysis, and may be adjusted in the investment manager's discretion at or between the weekly and semi-annual evaluations in line with the fund's investment objective; in such cases the portfolio may consist of slightly more or fewer than 50 stocks.

The fund's performance is compared against both the MSCI ESG Index and the MSCI ACWI Index. The fund is actively managed which means that the investment manager has discretion over the composition of the fund's portfolio but the MSCI ESG Index constrains the composition of the fund's portfolio as the investment manager will not add securities to the fund's portfolio which are not components of the MSCI ESG Index at the time of purchase. The investment manager may use its discretion to invest the fund in assets with weightings different to that of the MSCI ESG Index and also not to invest in all of the components of the index by virtue of the capital strength screening it applies. There is no guarantee that the fund's performance will match or exceed the performance of either benchmark index.

**Sustainable Finance:** The Manager has adopted the investment manager's policy on the integration of ESG criteria (including Sustainability Risks) in its investment decision-making process. The investment policy for the fund is an actively managed fund which includes the promotion of ESG characteristics. As part of the management process, the portfolio managers periodically review third-party risk ratings of the portfolio securities to ensure that it continues to promote ESG characteristics during the periods between the dates when the index is reconstituted. Information on the Manager's approach to Sustainability Risks is also available at [www.ftglobalportfolios.com/Content/ESG\\_CONSIDERATIONS](http://www.ftglobalportfolios.com/Content/ESG_CONSIDERATIONS) and [www.ftglobalportfolios.com/Content/UCITS\\_REMUNERATION\\_POLICY](http://www.ftglobalportfolios.com/Content/UCITS_REMUNERATION_POLICY).

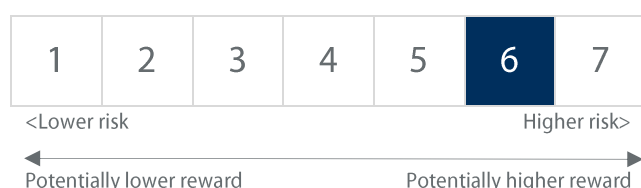
**For more information about the investment policy, see "Investment Objectives and Policies of the Funds" in the fund's prospectus (the "Prospectus") available at [www.ftglobalportfolios.com](http://www.ftglobalportfolios.com).**

You may sell your shares usually by sending your request to the administrator on any day on which the London Stock Exchange is open for business.

Income is reinvested into the Fund for this share class.

**Recommendation:** this fund may not be appropriate for investors who plan to withdraw their money within the short term.

## Risk and Reward Profile



The risk category is based on simulated and historical data and may not be a reliable indicator of the future risk profile of the fund. The risk category shown is not guaranteed and may change over time.

The indicator is designed to help investors understand the uncertainties for both loss and for growth that may affect their investment. The lowest category does not mean that the investment is risk free.

The fund is ranked at 6 because funds of this type have experienced high rises and falls in value in the past.

**The following risks are materially relevant to the fund which may not be adequately captured by the indicator:**

The fund may not achieve its investment objective and the value of shares in the fund may fall.

Because the fund has an investment objective including ESG related investment criteria and promotes ESG characteristics, any investor must be able to accept temporary capital losses due to the potentially restricted number of companies that the fund can invest in due to those ESG criteria and, consequently, should view investment in the fund as a long-term investment. The fund will seek to exclude holdings deemed inconsistent with its ESG criteria. As a result, the universe of investments available to the fund will be more limited than other funds that do not apply such criteria. The fund will be precluded from purchasing, or required to sell, certain investments that otherwise meet its objective and strategy and that might otherwise be advantageous to hold. The application of the ESG criteria could result in performance that is better or worse than the performance of the other funds in the umbrella, depending on the performance of the excluded investments and the investments included in place of such excluded investments.

See also the description of the risks applicable to the fund in "Risk Factors" in the Prospectus.

## Charges

The charges you pay are used to pay the costs of running the fund, including the costs of marketing and distributing it. These charges reduce the potential growth of your investment.

### One-off charges taken before or after you invest

Entry charge	None*
Exit charge	None*

This is the maximum that might be taken out of your money before it is invested or before the proceeds of your investments are paid out.

### Charges taken from the fund over a year

Ongoing charges	0.75%
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### Charges taken from the fund under certain specific conditions

Performance fee	None
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Any entry and exit charges shown are maximum figures, and in some cases investors may pay less. You can find out the actual charges from your financial adviser or distributor.

A switching charge of up to 3% may be payable for switching shares (except in the case of an entry/exit charge paid by the fund when buying or selling units in another sub-fund).

In the event that the Fund's operational expenses exceed the stated ongoing charges figure of 0.75%, the Investment Manager shall discharge any excess out of its own assets.

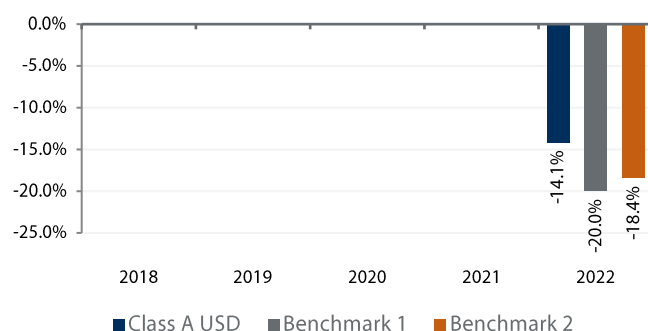
The ongoing charges figure is based on the fund's annual management fee, whereby the Investment Manager shall be responsible for the fund's operational expenses.

For more information about charges, please see "Fees, Costs and Expenses" in the Prospectus.

\*Not applicable to secondary market investors. Investors dealing on a stock exchange will pay fees charged by their stock brokers. Such charges are publicly available on exchanges on which the shares are listed and traded, or can be obtained from stock brokers.

\*Authorised participants dealing directly with the fund will pay related transaction costs. Authorised participants switching between the fund and other funds within the Company will be subject to a switching fee of up to 3% of the Net Asset Value per share.

## Past Performance



- The fund was approved in 2021.
- Past performance is not a reliable guide to future performance.

## Practical Information

**Depositary:** The Bank of New York Mellon SA/NV, Dublin Branch

**Prospectus and Fund Information:** Information about the umbrella First Trust Global Funds plc, its sub-funds and available share classes, including the Prospectus and the latest annual and semi-annual reports of the umbrella, can be obtained in English and free of charge at [www.ftglobalportfolios.com](http://www.ftglobalportfolios.com).

**Share Prices/NAV:** The share price is published daily and is available, along with any indicative net asset value, online at [www.ftglobalportfolios.com](http://www.ftglobalportfolios.com) and on [www.bloomberg.com](http://www.bloomberg.com).

**Tax Treatment:** The fund is subject to tax laws and regulations in Ireland. This may have an impact on your personal tax position. Consequently, you should seek independent advice from your tax adviser.

**Responsibility for Information:** The Manager may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the Prospectus for the Company.

**Umbrella Fund:** The fund is a sub-fund of the Company which is an umbrella fund with segregated liability between sub-funds. This means that the assets and liabilities of each sub-fund are segregated by law.

**Switching:** Subject to the prior approval of the directors of the Company, you may switch your investment into shares of another sub-fund of the Company. For further details please see the section "Conversion of Shares" in the fund's Prospectus. A charge will be applied if you choose to switch (see above under "Charges").

**Remuneration Policy:** Information on the current remuneration policy of the Company and the Manager, including a description of how remuneration and benefits are calculated and the identity of persons responsible for awarding the remuneration and benefits is available at [https://www.ftglobalportfolios.com/Content/UCITS\\_REMUNERATION\\_POLICY](https://www.ftglobalportfolios.com/Content/UCITS_REMUNERATION_POLICY). A paper copy of the information is available free of charge upon request from the Manager.